# Morphometric Evidence for Neuronal and Glial Prefrontal Cell Pathology in Major Depression\*

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**Background:** This report provides histopathological evidence to support prior neuroimaging findings of decreased volume and altered metabolism in the frontal cortex in major depressive disorder.

**Methods:** Computer-assisted three-dimensional cell counting was used to reveal abnormal cytoarchitecture in left rostral and caudal orbitofrontal and dorsolateral prefrontal cortical regions in subjects with major depression as compared to psychiatrically normal controls.

**Results:** Depressed subjects had decreases in cortical thickness, neuronal sizes, and neuronal and glial densities in the upper (II–IV) cortical layers of the rostral orbito-frontal region. In the caudal orbitofrontal cortex in depressed subjects, there were prominent reductions in glial densities in the lower (V–VI) cortical layers that were accompanied by small but significant decreases in neuronal sizes. In the dorsolateral prefrontal cortex of depressed subjects marked reductions in the density and size of neurons and glial cells were found in both supra- and infragranular layers.

**Conclusions:** These results reveal that major depression can be distinguished by specific histopathology of both neurons and glial cells in the prefrontal cortex. Our data will contribute to the interpretation of neuroimaging findings and identification of dysfunctional neuronal circuits in major depression. Biol Psychiatry 1999;45: 1085–1098 © 1999 Society of Biological Psychiatry

**Key Words:** Postmortem, neuroanatomy, frontal lobe, prefrontal cortex, neuropathology, neuroimaging

\*See accompanying Editorial, in this issue.

### Introduction

A lthough depressive illnesses can be serious, and even lead to suicide, nearly 80 percent of people suffering from depression can be treated successfully with medication, psychotherapy, or a combination of both. Pharmacologic, biochemical and neuroanatomical studies are beginning to establish that depressive disorders are brain diseases with unique histopathological features. Profuse neurochemical research suggests that the disruption of monoaminergic neurotransmitter pathways may be critical in the pathophysiology of major depressive disorder (MDD). In particular, the serotonin and norepinephrine systems have been implicated, because nearly all clinically effective antidepressant medications affect these neurotransmitters (Heninger and Charney 1987; Hollister and Claghorn 1993).

In contrast to the neurochemical literature, there are no systematic histopathological studies of neurons and glia on major depression or other mood disorders. Such studies may be highly relevant because reports in depressed patients and suicide victims reveal changes in monoamine receptors and transporters and related second messenger systems (Arango et al 1995; Biver et al 1997; Klimek et al 1997; Ordway et al 1994; Pacheco et al 1996; Stockmeier et al 1998) suggesting parallel cellular changes in the cortical projection areas of monoaminergic neurons. The prefrontal cortex is a particularly good candidate for a site of cellular pathology, because this region is an important target of extensive monoamine projections originating in the brainstem nuclei including the dorsal raphe, locus coeruleus and ventral tegmental area. Evidence for histopathology of glia in the subgenual region of the prefrontal cortex in major depression was recently reported by Öngür et al. (1998).

Interest in the long overlooked morphopathology of mood disorders has recently been kindled with the emergence of in vivo brain-imaging techniques. Although the results of neuroimaging studies are sometimes conflicting, the majority of such reports have implicated the prefrontal cortex as a site of functional and structural abnormalities in mood disorders (for review see Rajkowska 1997). In

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Control	Age/Gender/ Race	PMI	A-10	Т F А-47	A-9	Cause of Death	Medication	Toxicology	Drugs/ Alcoh <sup>a</sup>	Family History <sup>b</sup>	
CL-1	23/F/C	11.0	22.7	22.7	22.7	Ac	levonorgestril implant	Clean	NA	NA	
CL-2	24/M/AAm	15.0	15.4	36.5	24.1	Н	NA	Clean	NA	NA	
CL-3	27/F/C	15.0	20.5	21.5	20.5	Ν	Enalapril, Metoprolol, captopril, lopressor	Clean	NA	NA	
CL-4	30/F/C	9.0	8.9	31.6	19.4	Ν	NA	Clean	NA	NA	
CY-5	33/M/C	16.0	13.5	13.5	13.5	Ν	NA	NA	NA	NA	
CY-6	43/F/C	8.0	N/A	N/A	N/A	Ν	NA	NA	NA	NA	
CL-7	46/F/C	27.0	13.2	13.2	25.5	Н	maxitrol?	Clean	NA	NA	
CL-8	47/M/C	17.0	7.1	7.1	6.8	Ν	famotidine	Clean	None	AA	
CY-9	47/M/C	12.0	7.5	7.5	7.5	Ν	NA	NA	NA	NA	
CL-10	58/M/C	21.5	8.8	35.0	5.0	Ν	digoxin, lanoxin	EtOH-gastric	None	AA,D	
CY-11	71/F/C	24.0	5.5	5.5	5.5	Ν	NA	NA	NA	NA	
CL-12 <sup>c</sup>	71/M/C	24.0	8.8	24.0	5.0	Ν	NA	Clean	AD	AA	
Average	43.3	16.0	12.3	19.4	15.0						
Major Depression	Age/Gender/ Race	PMI	A-10	Т F А-47	A-9	Cause of Death	Medication	Toxicology	Drugs/ Alcoh <sup>a</sup>	Family History <sup>b</sup>	Duration of Depr. (years) <sup>d</sup>
CL-13	34/F/C	27.0	10.8	10.8	10.8	S	Trazodone <sup>e</sup> , alprazolam, risperidone, Amoxicillin, Valproic acid, nitrofurantoin	CO, EtOH-blood, alprazolam	NA	NA	20
$CL-14^{c}$	30/M/AAm	18.0	9.8	9.8	6.7	S	NA	EtOH-blood	AA	AD, MD, S, SA	3
CL-15 <sup>f</sup>	40/F/C	25.0	14.0	14.0	14.0	N+Ac	Temazepam, Fluoxetine,	Morphine, codeine,	DA	AD, D	5
							Hydrocodone, etodolac	hydrocodone, diphenhydramine			
CL-16	42/F/C	24.0	2.0	15.5	7.0	S	Fluoxetine, Amitrip., Paroxetine, Propoxyphene, Diazepam, Furosemide	Propoxyphene, acetaminophen	PS, DA	AD	26
CL-17	42/M/C	20.0	14.5	18.3	16.0	S	Sertraline	Sertraline, diphenhydramine, EtOH-urine, norsertraline	None	MD, SA	0.25
CL-18	46/M/AAm	17.0	11.6	18.9	7.7	Н	None	Clean	NA	SA	1
CL-19	50/F/C	23.0	23.1	16.9	14.7	S	Clomipramine, ranitidine, fluoxetine & thiothixene	Clean	None	AD, D	4
CL-20	54/M/C	23.0	6.7	12.2	12.9	Ac	Sertraline	CO, phenobarbital, phenytoin	None	AD	3
CL-21	63/F/C	24.0	6.8	12.2	6.8	Ν	Chlorpromazine, Clonazepam, Amitrip., amantadine	Amitrip., chlorpromazine, amantadine, lidocaine	PS, DA, AD	AD, I	30
CL-22	73/M/C	10.0	11.0	11.0	16.2	S	Nortriptyline	nortriptyline	None	None	5
CL-23 <sup>c</sup>	73/F/C	17.0	14.9	20.9	14.9	Ν	lithium, Nortriptyline, restoril, Clonazepam, levothyroxine	Clean	AD	AD, MD, SA	50
CL-24	86/M/C	21.0	5.6	5.6	5.6	S	Fluoxetine, Atenolol, leuprolide acetate, lupron	Clean	None	S	20
Average	52.8	20.8	10.9	13.8	11.1						

#### Table 1. Continued

A, area; AA, alcohol abuse; AAm, African American; AD, alcohol dependence; Ac, accident; C, Caucasian; D, drug abuse; DA, drug addiction; H, homicide; MD, major depression; N, natural; PMI, postmortem interval (hours)—defined as the time between death and the beginning of the formalin-fixation process; PS, polysubstance abuse; S, suicide; SA, suicide attempt; TF, time in formalin (months); NA, not available; I, institutionalized; EtOH, ethanol; Amitrip., amitrip-tyline.

CL, cases obtained from CWRU, Cleveland, OH.

CY, cases from the collection of Dr. P.S. Goldman-Rakic.

<sup>a</sup>Defined as psychoactive substance use disorder.

<sup>b</sup>Extended and immediate family.

 $^c\mathrm{The}$  two subjects with MDD previously met diagnostic criteria for alcohol abuse at 2 and 8 years prior to death.

<sup>d</sup>The duration of illness covers the time between the first display (and not necessarily diagnosis) of symptoms of a depressive illness and the date of death. <sup>e</sup>Capitalized drugs were prescribed in last month of life.

<sup>f</sup>Recurrent MD, in full remission.

major depression at least two different regions, the dorsolateral prefrontal cortex (dlPFC) and the orbitofrontalventral region have been implicated. For example, patients with MDD consistently have reduced glucose metabolism in the left dIPFC (Baxter et al 1989; Bench et al 1993; Biver et al 1994). Decreased brain metabolism was found recently in dIPFC as well as in orbitofrontal cortex (ORB) in patients with a relapse in depression induced by depletion of tryptophan (Bremner et al 1997). Abnormally decreased metabolic activity was also localized in the ventromedial subgenual region of the frontal lobe in MDD and bipolar disorder (Drevets et al 1997). In contrast, earlier studies report increased metabolism or blood flow in orbitofrontal and ventrolateral prefrontal regions in MDD patients (Biver et al 1994; Buchsbaum et al 1986; Drevets and Raichle 1992), although blood flow tended to be lower in subjects with the greatest severity of depression (Drevets et al 1992). These apparent inconsistencies found in the orbitofrontal/ventral region indicate either the existence of different metabolic patterns in specific subareas of this region or imprecise anatomical localization of the observed changes.

Pathology of cortical cells may be related to the recent neuroimaging findings in patients with mood disorders. A 7% reduction of the total volume of the frontal lobe was reported in MDD (Coffey et al 1993) and substantial 39–48% decreases in the subgenual prefrontal gray matter volume were found in patients with MDD and bipolar depression (Drevets et al 1997). The specific neuronal and glial pathology underlying these gross morphological changes has not been determined to date. The present study is the first attempt to use state-of-the art morphometric methods to test whether neuronal or glial cell pathology can be identified in ORB or dIPFC regions. We also tested whether the pattern of specific cortical pathology is similar or different between prefrontal regions as suggested by the neuroimaging studies.

#### **Methods and Materials**

#### Human Subjects

Human postmortem brain tissues were obtained at autopsies performed at the Cuyahoga County Coroner's Office in Cleveland, OH. Retrospective psychiatric assessments were established at Case Western Reserve University, Cleveland, OH in accordance with Institutional Review Board policies and written consent was obtained from the next-of-kin. Dr. P.S. Goldman-Rakic (Yale University School of Medicine) generously donated additional tissues from four control brains originally obtained from the University of Zagreb, Croatia, by courtesy of Dr. I. Kostovic. In all cases, a retrospective psychiatric assessment was used for establishing psychiatric histories of decedents based on information obtained from significant others or first degree family members and medical records, where available. Information pertaining to both current and lifetime psychiatric symptoms was gathered using the Schedule for Affective Disorders and Schizophrenia: Lifetime Versions (SADS-L) (Spitzer and Endicott 1978), as described by Stockmeier et al (1998). Diagnoses for major depression syndromes were based on the criteria reported in the Diagnostic and Statistic Manual of Mental Disorders-Revised (DSM-III-R) (American Psychiatric Association 1987). Consensus on diagnosis was derived during a group meeting of a psychiatrist (H.M. or B.R.) and a clinical psychologist (J.O.) after all available information had been thoroughly reviewed. Kelly and Mann (1996) have shown that there is good agreement between informant-based retrospective psychiatric assessments of deceased subjects and diagnoses by clinicians treating the same subjects before their deaths. In addition, information was obtained about previous hospital medical records, prior medical or substance abuse problems and toxicology reports. Subjects were excluded from the study if there was any evidence of head trauma, neurologic disease or a current psychoactive substance use disorder (within the last year of life). Brains were used from subjects who were younger than 73 years of age (except one subject, CL24 who was 86 years old), had a postmortem delay of less than 27 hours, and had a fixation time that did not exceed three years. Fixation times for each block of tissue are listed in Table 1.

#### **Tissue Sampling**

Tissues were collected from the left prefrontal regions in the brains of 12 subjects retrospectively diagnosed with MDD (nonpsychotic) and 12 psychiatrically normal controls each matched by age (no more than 9 years difference between the subjects of each matching pair), gender, race, postmortem delay, and fixation time (see Table 1). Morphometric parameters (cortical thickness, cell density and cell size) were collected from two orbitofrontal regions, rostral (rORB) and caudal orbitofrontal (cORB) cortex and from the dorsolateral prefrontal cortex (dlPFC; Brodmann's area 9) (Figure 1). In addition, in the middle orbitofrontal cortex only cortical thickness was measured. The human orbitofrontal cortex has been subdivided in our recent cytoarchitectonic study into several discrete cortical regions based on differences in cellular architecture (Rajkowska et al 1998a). The most rostral subdivision of the orbitofrontal cortex is located on the lower bank of the frontomarginal sulcus, possesses mixed cytoarchitectonic features of Brodmann's area 10 and area

47, and was designated as transitional area 10-47 (Figure 1). The middle orbitofrontal cortex covers the medial wall of the orbital sulcus and constitutes area  $47^2$ . The caudal orbitofrontal cortex covers the lateral wall of the orbitofrontal sulcus, constitutes the fourth subdivision of Brodmann's area 47 and is called area  $47^4$ . The precise location and extent of dorsolateral prefrontal area 9 has been described previously based on quantitative cytoarchitectonic criteria (Rajkowska and Goldman-Rakic 1995a, b).

Blocks of tissue ( $3 \times 3$  cm) sampled from each of the three left prefrontal regions were embedded in celloidin, cut into 40  $\mu$ m thick coronal sections and stained for Nissl substance. In each region selected for counting, three cortical probes spanning the entire gray matter were evenly spaced at 800  $\mu$ m intervals representing different rostra-caudal levels of the areas of interest.

#### 3-D Cell Counting

Computer-assisted image analysis with 3-dimensional cell counting capabilities was used to estimate neuronal and glial cell parameters (Williams 1989; Williams and Rakic 1988). This system is based on the optical disector method (Gundersen 1986) and allows for the estimation of the number of cells independent of cell shape. Neuronal and glial cell densities are expressed as number of cells/(mm<sup>3</sup>  $\times$  10<sup>-3</sup>), cell sizes are calculated as an equivalent diameter-circle, i.e.,  $(4 \times \text{area})/\text{circumference}$ , of the soma size (corresponding in the case of Nissl stained glial cells to glial nuclei), and cortical thickness is measured as the distance between the overlying pia and underlying white matter. Neurons were divided into 4 classes according to their size. The range of sizes included in each class was determined for each layer using the mean (M) and the standard deviation (a) of the control cases as follows: small (smaller than M-1 $\partial$ ), medium (M-1 $\partial$  to M), large (M to M + 1 $\partial$ ) and extra large (larger than  $M + 1\partial$ ) (for further details see Rajkowska, 1998b). The morphometric parameters were measured in three cortical probes sampled as mentioned above. Each probe consisted of an uninterrupted series of three-dimensional counting boxes  $(90 \times 60 \times 25 \ \mu\text{m})$ , optically defined by the computer software. These boxes spanned the entire depth of the cortex from the overlying pial surface to the underlying white matter (Figure 1, for further details on the method, see Rajkowska et al 1998b; Selemon et al 1995). This sampling method allowed us to measure 4000-7000 neurons per given area per group and 7000-12000 glial cells per area/per group, for a total of 33,407 neurons and 58,320 glial cells measured for the study. All brains used for the study were coded and counted by two independent researchers (G.R. and J.W. or J.W. and J.J.M.H.) who were unaware of the diagnoses of the subjects.

#### Statistical Analyses

Three separate statistical analyses were conducted. 1) The mean values from four morphometric parameters (neuronal density, glial density, cortical thickness and mean cell soma size) measured across all cortical layers and obtained from the three cortical probes were compared between the depressed and control groups for each cortical region separately using single factor (disease) analyses of variance (ANOVA, p < .05); 2) laminar differences between groups in each of the above men-

tioned parameters (analyzed separately), for each of the cortical region were analyzed using a repeated measures (six cortical layers or five sublayers) ANOVA followed by selected contrast analyses (p < .05; Systat 5.2.1); 3) differences between the groups in the density of different cell-size classes (small, medium, large and extra large) analyzed separately for neuronal and glial cells were compared by a repeated measures (cell-size classes) ANOVA followed by selected contrast analyses (p < .05). In addition, multiple correlation analysis was used to examine the influence of age, gender, postmortem delay, storage time in formalin, and duration of illness on cortical thickness, neuronal and glial densities, and soma sizes (p < .01). In addition, Pearson correlation matrixes were used to establish the relationship between neuronal and glial size and the density of size classes for each cortical layer and between layers.



### В

Figure 1. Localization of the three prefrontal regions from which postmortem tissues were sampled for the study. (A) Photograph of the lateral view of the left cerebral hemisphere from a healthy control. (B) Camera lucida drawings of representative coronal sections taken from each of the three studied regions. Black squares indicate cortical sites in orbitofrontal and dorsolateral prefrontal regions where measurements were taken. Abbreviations: rORB, rostral ORB: rostral orbitofrontal region; cORB, caudal ORB: caudal orbitofrontal region; dIPFC, dorsolateral PFC: dorsolateral prefrontal cortical region; FMs: fronto-marginal sulcus; SFs: superior frontal sulcus; ORBs: orbitofrontal sulcus.



Figure 2. Plots showing the individual values of cortical thickness (measured as a distance across layers I–VI) measurements in four regions of the prefrontal cortex from control and depressed subjects. Note that there was a significant difference in cortical thickness between control and depressed groups in rostral orbitofrontal (n = 12 for each group) (A) and middle orbitofrontal cortex (n = 8 for each group) (B), nonsignificant trend in the caudal orbitofrontal cortex (n = 9 for each group) (C), whereas no difference was found in the dorsolateral prefrontal cortex (n = 12 for each group) (D).

#### Results

#### Rostral Orbitofrontal Cortex (area 10-47)

Our morphometric analyses in subjects with MDD showed that the overall cortical thickness of the rORB was reduced by 12% (F[1,22] = 10.681, p = .004) as compared to normal controls (Figure 2A). These reductions in thickness were accompanied by smaller sizes of neuronal cell bodies (Figure 3). Laminar analysis of mean neuronal sizes and densities in each of the six cortical layers revealed reductions in mean neuronal size in supragranular layers (II-IIIc) of rORB in subjects with MDD (Table 2). The greatest reduction (9%) in mean neuronal size (F[1,22] = 23.598, p < .001) occurred in layer II (Figure 3). In addition, in this layer, and in layers III and IV, the densities of the largest neurons (belonging to the "large" or "extra-large" size classes) were significantly reduced by 20-60% (Table 2). Accompanying 30-70% significant increases in the densities of small neurons were found only in layer III (Table 2).

There was a trend for a reduction in the overall glial density in rORB of subjects with MDD as compared to controls, but these changes did not reach statistical significance (F[1,22] = 1.974, p = 0.17; Figure 4C). Laminar analysis of size-dependent glial densities revealed significant decreases in MDD as compared to control subjects. The density of medium-sized and large glial cells was significantly reduced by 30% in layers IIIa and IV, respectively, in MDD (Table 2).

#### *Caudal Orbitofrontal Cortex (area* 47<sup>4</sup>)

Unlike the rORB, the cORB revealed only mild (5%) and nonsignificant reductions in cortical thickness in MDD as compared to controls (Figure 2C). There was a significant reduction in mean neuronal size in layer II (6%, p = .035), although this reduction was less prominent than in rORB. In addition, layers IIIa and Va exhibited significantly lower densities of large-size neurons in MDD (Table 3).

Glial cell density and size were prominently different in cORB in MDD as compared to controls. Significant 15% decreases in overall (F[1,14] = 7.645, p = .015) and laminar (layers IIIc–VI) glial cell densities were evident in the diseased group (Figure 4B). These glial changes in



Figure 3. Changes in neuronal size and size-dependent density in layer II of rostral orbitofrontal cortex in subjects with MDD as compared to matched healthy control (both were Caucasian females, 73 and 71 years old, respectively, with postmortem delay less than 17 hours and fixation time less than 10 months). Photomicrograph of the cell composition across the six cortical layers in rORB (Upper left). Expanded print-outs of cortical layers with neuronal cell bodies represented by equivalent diameter circles with the area measured for the individual neuron in its equatorial plane (right panel). Note that in the depressed subject neuronal sizes are smaller in layers II and III than in control. Note especially dramatic increases in the density of small neurons in layer II associated with significant reductions in the density of the largest neurons of this layer (also see Table 2). This relationship is further illustrated on the histogram of the cell size distribution created for 12 MDD and 12 control subjects (lower left).

MDD were accompanied by a reduction in the density of large and medium-size glial cell nuclei predominantly in infragranular layers V and VI (Table 3, Figure 4E).

#### Dorsolateral Prefrontal Cortex (area 9)

The cortical thickness of the dIPFC was nearly the same in both diseased and control groups (Figure 2D), however, there were differences evident in cell density and size between the groups. In the dIPFC, as in orbitofrontal regions, we found significant reductions in neuronal sizes in specific cortical layers in MDD. The mean size of neuronal cell bodies was decreased in MDD by 5% (F[1,21] = 4.838, p = .039) in supragranular layer III and by 7% (F[1,21] = 5.666, p = .027) in infragranular layer VI (Table 4). In those layers as well as in layer II the density of the largest neurons in MDD was significantly reduced by 20–60% below control values with an accompanying 40% increase in the density of the smallest neurons (Table 4, Figure 3).

The neuronal changes in dIPFC in MDD were associated with marked 20–30% reductions in the mean glial density cells in layers III and V as well as the density of medium- and large-size glial cells in those layers (Table 4, Figure 4A,D). Interestingly, in layer III, glial cells of the

			Neu	irons				P Values							
		Co	ntrol			Depi	ressed		Mean	Mean	Size Class Densities				
Layers	Mean Neuron Density		Mean Neuron Size		Me Neu	an ron	Mean Neuron		Density	Size	Sm	Med	Lg	XLrg	
					Density		Size		Р	Р	Р	Р	Р	Р	
rORB	Avg	σ	Avg	σ	Avg	σ	Avg	σ	Р	Р	Р	Р	Р	Р	
Ι	24	8	9.2	0.6	22	7	9.0	0.6							
II	119	16	11.2	0.6	119	15	10.2	0.5		0.000↓			0.012↓	0.000↓	
III	63	6	14.3	0.9	60	5	13.5	0.7		0.015↓	0.051 ↑		0.011↓	0.043↓	
IIIa	77	10	13.7	1.3	71	8	12.7	0.8		0.029↓				0.017↓	
IIIb	53	5	14.6	0.7	51	5	13.9	0.7		0.024 ↓			0.012↓		
IIIc	71	15	14.4	0.9	69	10	13.5	0.9		0.019↓	0.009 <b>†</b>			$(0.054)\downarrow$	
IV	117	18	11.1	0.5	109	18	10.8	0.5					0.009↓		
V	60	9	13.7	0.8	59	9	13.7	1.1							
Va	71	13	13.7	0.9	70	13	14.0	1.4							
Vb	50	7	13.8	1.1	47	9	13.6	0.9							
VI	43	6	13.9	1.2	42	7	13.2	0.8							
All Layers	62	5	12.9	0.6	61	6	12.3	0.5		0.015↓	<i>0.035</i> ↑		0.028↓	(0.054)↓	
			G	lia							ΡV	alues			
		Co	ntrol		Depressed				Mean	Mean Mean Size Class Densities					
	Mean Mean				Mean M			an	Glia Density	Gha	Sm	Med	Lg	XLrg	
	Glia Glia		lia	Gl	ia	Glia			5110						
Layers	Density		Size		Density		Size		Р	Р	Р	Р	Р	Р	
	Avg	σ	Avg	σ	Avg	σ	Avg	σ	Р	Р	Р	Р	Р	Р	
I	97	24	5.1	0.2	88	23	5.3	0.4							
II	96	32	5.3	0.2	76	22	5.5	0.3							
III	96	18	5.2	0.2	84	19	5.3	0.3							
IIIa	<i>89</i>	16	5.3	0.1	78	22	5.4	0.3				0.040↓			
IIIb	92	19	5.2	0.2	83	19	5.3	0.3							
IIIc	103	20	5.2	0.3	88	21	5.3	0.2							
IV	109	17	5.1	0.2	95	23	5.2	0.3					0.004↓		
V	107	21	5.2	0.3	94	25	5.2	0.2							
Va	102	24	5.2	0.3	86	22	5.3	0.3							
Vb	113	22	5.2	0.3	101	31	5.2	0.2							
VI	140	22	5.2	0.2	141	22	5.2	0.2							
All Layers	110	17	5.2	0.2	100	17	5.3	0.2							

Table 2. Analysis of layer-specific soma size classes, mean densities, and mean soma sizes of neurons and glia in the *rORB* in brains of control and depressed subjects

ANOVA significant p-values (bold) and near significant values,  $0.05 (parentheses) are indicated. Arrows indicate the direction of change. Avg: mean values for individual cortical layers and sublayers and all layers combined; <math>\sigma$ : standard deviations. Mean cell densities are described in # cells/(mm<sup>3</sup> × 10<sup>-3</sup>) µm. Mean cell sizes are described in 4 × (Area/Circumference) µm. Size classes of neurons are described as small (Range: 4.3–11.4 µm), medium (7.1–15.7 µm), large (8.6–20.4 µm), and extra-large (10.2–35.5 µm). Size classes of glia are described as small (Range: 2.2–4.7 µm), medium (4.3–5.3 µm), large (5.0–6.1 µm), and extra-large (5.6–13.0 µm).

size-class with the largest nuclei also exhibited significant 60-120% (F[1,23] = 8.368, p = .008) increases in density in MDD.

#### Correlation Analysis

Decreases in cortical thickness, neuronal size and neuronal and glial density of specific size classes revealed by our study of MDD were not dependent on age, race, postmortem delay or storage time in formalin (Figure 5C–F). There was a trend toward negative correlation with duration of illness; however, values did not reach the level of significance. Mean neuronal size in layers II, III and IV of rORB in MDD however, was positively correlated with the density of extra large (r = .683, p < .001 for layer II; r = .891, p < .001 for layer III; r = .670, p < .001 for layer IV) and large (r = .500, p = .003 for layer II; r = .611, p = .002 for layer IV) neurons in corresponding layers and negatively correlated with the density of small (r = -.851, p < .001 for layer III; r = .758, p < .001 for layer IV) and medium-sized (r = -.502,



Figure 4. Plots representing individual values of the density of glial cells in layer V in three different regions of the prefrontal cortex of control and depressed subjects (A-C). Note that for areas 9 (A) and 47<sup>4</sup> (B) the density of glial cells is significantly smaller in depressed subjects compared to controls. The histograms in the bottom show the distribution of the density of glial cells somata (in our Nissl stained material corresponding to glial nuclei) into various defined size classes in areas 9 (D) and 47<sup>4</sup> (E). Note that in these areas the density of medium- and large-size glial cells is significantly decreased in depressed subjects compared to controls whereas density of glial cells with the largest nuclei is significantly increased in area 9.

p = .012 for layer III; r = -.708, p < .001 for layer IV) neurons in those layers (Figure 5A,B). Furthermore, the density of extra large neurons was negatively correlated with the density of small (r = -.665, p < .001) and medium-sized (r = -.615, p = .001) neurons in layer III of rORB. Thus, in MDD the smaller the mean size of the cell body, the lower the density of large and extra large neurons and the higher the density of small and medium-sized neurons.

In cORB and in dIPFC (both located caudally to rORB in the frontal lobe) the density of large or extra large neurons in MDD was also negatively correlated with the density of small neurons in layers II (r = -.692, p = .002) and VI (r =-.608, p = .013) of cORB and in layer III of dIPFC (r =-.586, p = .003). Moreover, the density of extra large neurons in layer II of cORB was positively correlated with the density of extra large glial cells in this layer (r = .631, p = .009) and with the density of large glial cells in layer I (r = -.645, p = .007). Finally, in MDD the densities of different size-classes of glial cells were correlated within a given cortical layer or between different layers. For example, the density of small glial cells was positively correlated with the density of small glial cells was positively correlated with the density of small glial cells was positively correlated with tively correlated with the density of large (r = -.656, p = .006) and very large glial cells in layers II (r = -.819, p < .001) and III (r = -.601, p = .014) of cORB. Densities of large glial cells were also positively correlated between layers V and VI of cORB (r = 736, p = .001); densities of extra large glia were correlated between layers III and IV of rORB (r = 689, p < .001) and densities of small glia were correlated between layers III and IV of rORB (r = 864, p < .001).

#### Summary of Cellular Changes in MDD

The results described above indicate that both neurons and glial cells are involved in the histopathology of major depression in prefrontal cortex. The degree of involvement of neurons and glia in the pathology differs among prefrontal regions and specific cortical layers. Neuronal changes were most prominent in the rORB region whereas changes in glial cells were most striking in more caudally located regions of the prefrontal cortex, the cORB and dlPFC (compare Tables 2, 3 and 4). Reductions in neuronal size and density found in the rORB in MDD were restricted to supragranular layers

			Neu	irons			P Values									
		Co	ntrol			Depi	ressed		Mean	Mean		Size Class Densities				
	Me Neu	an ron	Mean Neuron		Me Neu	an ron	Mean Neuron Size		Density P	Size	Sm	Med	Lg	XLrg		
Layers	Density		Si	ze	Den	sity				Р	Р	Р	Р	Р		
cORB	Avg	σ	Avg	σ	Avg	σ	Avg	σ	Р	Р	Р	Р	Р	Р		
I	21	9	8.7	0.6	17	6	8.4	0.5								
II	111	11	10.1	0.5	121	24	9.5	0.5		0.035↓						
III	60	4	14.3	0.6	59	6	13.7	0.7								
IIIa	83	14	12.6	0.9	76	9	11.9	0.8					0.013↓			
IIIb	52	5	14.4	0.9	51	8	13.9	0.7								
IIIc	61	5	15.3	0.8	59	7	14.8	1.2								
IV	108	4	10.6	0.5	104	17	10.5	0.4								
V	56	7	13.6	1.0	54	6	13.6	0.6								
Va	73	12	13.6	1.2	67	12	13.7	0.8					0.042↓			
Vb	42	9	13.7	1.0	42	7	13.4	0.9								
VI	37	5	13.5	1.2	40	4	13.3	1.0								
All Layers	57	4	12.6	0.6	57	5	12.2	0.5								
			G	ilia				P Values								
		Co	ntrol			Depi	ressed		Mean	Mean		Size Cla	ss Densities			
	Mean Mean				Mean Mean			Glia Density	Gha Size	Sm	Med	Lg	XLrg			
	Gl	ia	Glia		Glia		Glia Size		P	Р		Р	P	P		
Layers	Density		Size		Den	sity					Р					
	Avg	σ	Avg	σ	Avg	σ	Avg	σ	Р	Р	Р	Р	Р	Р		
I	<u>98</u>	12	5.0	0.3	86	15	5.0	0.3					0.034↓			
II	73	15	5.2	0.3	72	15	5.2	0.4								
III	92	11	5.1	0.2	81	13	5.1	0.2								
IIIa	74	7	5.1	0.2	67	13	5.2	0.3								
IIIb	87	13	5.1	0.2	79	16	5.2	0.3								
IIIc	108	17	5.0	0.3	91	17	5.1	0.2	0.046↓							
IV	110	13	5.0	0.2	90	19	5.0	0.3	0.019↓							
V	110	11	5.0	0.2	89	12	5.1	0.3	0.006↓				0.013↓			
Va	105	11	5.1	0.2	86	17	5.1	0.3	0.047↓			0.038↓				
Vb	115	16	5.0	0.2	94	13	5.0	0.2	0.015↓				0.003↓			
VI	132	18	5.2	0.2	117	10	5.1	0.2	0.043↓				0.033↓			
All Layers	105	7	5.1	0.2	91	14	5.1	0.2	<b>0.015</b> ↓				0.042↓			

Table 3. Analysis of layer-specific soma size classes, mean densities, and mean soma sizes of neurons and glia in the *cORB* in brains of control and depressed subjects

ANOVA significant p-values are indicated in bold. Arrows indicate the direction of change. Avg: mean values for individual cortical layers and sublayers and all layers combined;  $\sigma$ : standard deviations. Mean cell densities are described in # cells/(mm<sup>3</sup> × 10<sup>-3</sup>) µm. Mean cell sizes are described in 4 × (Area/Circumference) µm. Size classes of neurons are described as small (Range: 4.3–11.4 µm), medium (7.1–15.7 µm), large (8.6–20.4 µm), and extra-large (10.2–35.5 µm). Size classes of glia are described as small (Range: 2.2–4.7 µm), medium (4.3–5.3 µm), large (5.0–6.1 µm), and extra-large (5.6–13.0 µm).

and layer IV whereas decreases in glial cell density in MDD were found predominantly in infragranular layers of the cORB. Moreover, reductions in neuronal and glial cell density and in neuronal cell size were often found to be correlated in the same cortical layer. Significant decreases in cortical thickness in MDD were observed only in the most rostral prefrontal regions, involving rORB and middle orbitofrontal cortex (see Figure 2A, B).

#### Discussion

#### Cellular Changes

The densities of the largest neurons were consistently reduced in the rORB, dIPFC and, to a lesser degree, in the cORB of postmortem brains from subjects diagnosed with major depressive disorder. These decreases in densities of the largest neurons in specific layers of the rORB and dIPFC were accompanied by parallel increases in the

			Neu	rons					P Values						
		Co	ntrol			Depi	ressed		Mean	Mean	Size Class Densities				
	Mean Neuron Density		Mean Neuron Size		Me	Mean		an	Neuron Density	Neuron Size	Sm	Med	Lg	XLrg	
Layers					Density		Size		Р	Р	Р	Р	Р	Р	
dlPFC	Avg	σ	Avg	σ	Avg	σ	Avg	σ	Р	Р	Р	Р	Р	Р	
I	15	4	8.7	0.6	13	4	8.5	0.7							
II	106	22	10.9	0.9	105	15	10.3	1.0						0.043↓	
III	56	8	14.9	0.9	54	5	14.3	0.7		0.039↓			0.020↓	0.042 J	
IIIa	71	13	13.4	1.3	72	8	12.8	0.7							
IIIb	50	9	15.0	0.8	47	4	14.5	0.5		(0.051)					
IIIc	58	13	15.9	1.1	59	8	15.1	1.4			0.050 ↑				
IV	106	20	11.3	0.9	103	15	11.0	0.5							
V	53	7	14.6	1.2	52	7	14.3	0.7							
Va	65	10	14.9	1.5	65	7	14.7	0.8							
Vb	42	8	14.5	1.3	42	10	13.9	0.8							
VI	36	9	14.5	1.4	38	5	13.5	0.7		0.027↓	<i>0.033</i> ↑			0.033↓	
All Layers	54	9	13.4	0.9	53	6	12.8	0.6		0.043↓				0.012↓	
	Glia										ΡV	alues			
		Co	ntrol		Depressed				Mean	Mean		Size Cla	ss Densities		
	Me	an	Me	an	Me	an	Me	ean	Glia Density	Glia Size	Sm	Med	Lg	XLrg	
	Gl	ia	Gl	ia	Gl	ia	Gl	ia							
Layers	Den	sity	Size		Den	sity	Si	ze	Р	Р	Р	Р	Р	Р	
	Avg	σ	Avg	σ	Avg	σ	Avg	σ	Р	Р	Р	Р	Р	Р	
I	81	21	4.9	0.2	74	15	5.1	0.4							
II	71	19	5.2	0.3	70	17	5.4	0.4							
III	91	14	5.1	0.2	82	11	5.3	0.3				0.014↓		<i>0.029</i> ↑	
IIIa	76	19	5.1	0.2	68	17	5.4	0.4		<b>0.012</b> ↑		0.010 ↓		0.008	

Table 4. Analysis of layer-specific soma size classes, mean densities, and mean soma sizes of neurons and glia in the *dlPFC* in brains of control and depressed subjects

ANOVA significant p-values (bold) and near significant values,  $0.05 , (parentheses) are indicated. Arrows indicate the direction of change. Avg: mean values for individual cortical layers and sublayers and all layers combined; <math>\sigma$ : standard deviations. Mean cell densities are described in # cells/(mm<sup>3</sup> × 10<sup>-3</sup>) µm. Mean cell sizes are described in 4 × (Area/Circumference) µm. Size classes of neurons are described as small (Range: 4.3–11.4 µm), medium (7.1–15.7 µm), large (8.6–20.4 µm), and extra-large (10.2–35.5 µm). Size classes of glia are described as small (Range: 2.2–4.7 µm), medium (4.3–5.3 µm), large (5.0–6.1 µm), and extra-large (5.6–13.0 µm).

density of small neurons and were correlated with reductions in mean neuronal sizes. The latter observation suggests that neuronal shrinkage or a developmental deficiency rather than neuronal loss account for the overall smaller neuronal sizes in those cortical layers. If neuronal loss had occurred the density of large neurons would have been decreased without associated increases in the density of small neurons, as has been recently demonstrated in

IIIb

IIIc

Va

Vb

All Layers

IV

V

VI

90

103

107

103

107

102

132

100

13

19

22

13

19

18

21

13

5.1

5.1

5.1

5.1

5.2

5.1

5.2

5.1

0.2

0.2

0.3

0.2

0.2

0.2

0.2

0.2

80

93

94

92

87

93

128

92

9

15

15

11

12

16

14

9

5.3

5.3

5.3

5.3

5.3

5.2

5.3

5.3

0.3

0.3

0.3

0.3

0.4

0.3

0.3

0.3

**0.017** ↓

**0.042**  $\downarrow$ 

0.005↓

Huntington's disease, a well-characterized neurodegenerative disorder (Rajkowska et al 1998b).

0.017↓

0.050↓

0.023↓

*0.029* ↓

0.035↓

0.026↓

(0.057) ↑

0.027 ↑

(0.054) ↑

The changes in densities of large and extra large neurons in MDD were positively correlated with changes in glial cell densities. A lower density of the large neurons was accompanied by a lower density of glial cells in specific cortical layers. In the dlPFC, the lower overall glial density was accompanied by marked increases in the density of the



Figure 5. Plots of correlation analysis for several relevant parameters related to the brain samples used in the present study in specific layers, where significant changes were found. Plots A and B (both from layer II in rORB) show that the density of extra large neurons is positively correlated with mean neuronal soma size (A) and with the density of medium-sized glia (B). In plot C, we illustrate the absence of correlation between density of glial cells and postmortem interval in layer V of cORB, where we found the greatest changes in glial density. Plots D, E, F (all from layer II of rORB) show that the postmortem interval (PMI) (D), the time in fixative (E), and the age of the subjects (F) are not significantly correlated with mean neuronal soma size.

largest glial nuclei suggesting enlargement of the glial cells. Similar increases in glial nuclear sizes are reported in the same prefrontal region for Huntington's disease (Rajkowska et al 1998b). In Huntington's disease however, enlargement of glial cells is associated with marked increases in glial cell densities (Selemon et al 1995). Glial enlargement and proliferation in neurodegenerative brain disorders seem to occur in response to neuronal injury. Our present finding of a decrease rather than an increase in glial density in MDD seems unique to mood disorders and has not been observed in previous morphometric studies of the prefrontal cortex in subjects with schizophrenia or Huntington's disease (Rajkowska et al 1998b; Selemon et al 1995). Recently, we detected comparably lower glial densities in brain tissues from subjects with bipolar (manic-depressive) illness (Rajkowska et al 1997). Our observations on glial changes in both types of mood

disorders, MDD and bipolar illness, have been independently confirmed (Öngür et al 1998).

# Implications for the Link Between Cell Pathology and Neuroimaging

The neuronal and glial changes described here suggest that different regions of the prefrontal cortex might contribute differentially to the histopathology of MDD. Significant decreases in cortical thickness and neuronal sizes in rORB in MDD suggest that atrophy of cortical neurons may account for the reduced volume of cortical gray matter and be related to the decreased metabolic activity reported for this region in neuroimaging studies on depressed patients (Bremner et al 1997). Likewise, the marked reductions in glial densities and moderate reductions in neuronal size (without significant decreases in cortical thickness) found in the left cORB and dIPFC may be related to the altered metabolism reported in these regions. Glial cells are involved in the active processing of glucose and are reported as the primary sites of glucose uptake and phosphorylation during neuronal activity (Tsacopoulos and Magistretti 1996).

Our histopathological data therefore indicate that the two orbital regions and the dIPFC exhibit cytoarchitectural alterations involving both neurons and glial cells. The differences that we found between rostral (rORB) and caudal (cORB and dlPFC) prefrontal regions in MDD refer to the degree of neuronal or glial contribution to putative pathology of specific cortical layers in these prefrontal regions. Therefore, the present data suggest the existence of a rostral-to-caudal rather than a dorsal-to-ventral pattern of morphometric changes in the prefrontal cortex of subjects with MDD. This observation is of interest because previous computerized tomographic scans of brain lesions in depressed patients have shown that lesions in more rostral regions of the frontal pole are positively correlated with the occurrence of more severe depression (Robinson and Szetela 1981). It is tempting to speculate that the duration of depression may correlate with the degree and severity of the rostral frontal damage. In our cases a decrease in the size of neuronal somata in rORB showed a nonsignificant trend toward a negative correlation with the duration of depression. A challenging interpretation of the results that cannot be ruled out is that there is a temporal sequence in the appearance of histopathological changes in the frontal lobe that will spread through dysfunctional connections to neighboring cortical areas.

# Laminar-Specific Cell Pathology and Neurotransmitter Systems

The reductions in neuronal sizes described here in MDD were restricted to upper cortical layers (II-IV) in the rORB although in cORB and dlPFC other layers were also affected. The differential involvement of cortical layers suggests that specific populations of cortical neurons might be related to the histopathology of prefrontal cortex in MDD. Primate studies revealed that neurons of layers II-IV are involved in the establishment of connections with other cortical regions and are targets for subcortical inputs (Schwartz and Goldman-Rakic 1984; Selemon and Goldman-Rakic 1988). Recent findings in monkey prefrontal cortex show that serotonergic axons terminate predominantly on nonpyramidal neurons of layers I to IV (Lewis 1992; Smiley and Goldman-Rakic 1996). Moreover, layer II, where neuronal diminution was especially pronounced can be distinguished from other cortical layers of normal human prefrontal cortex by a particularly dense lamina of serotonergic receptors (Arango et al 1995; Pazos

et al 1987). Finally, the reports of an upregulation in serotonin-1A and serotonin-2A receptors and downregulation of serotonin transporters predominantly in layer II and in layers III–IV of the ventrolateral prefrontal cortex in (presumably depressed) suicide victims (Arango et al 1990; Arango et al 1995) suggest a neuropharmacological link to altered neuronal size in upper layers of this region. These morphometric and pharmacologic observations imply that serotonergic axons, and the receptors and cortical neurons that are recipients of these axons, may be important targets of antidepressant medications.

In cORB and dIPFC, in contrast to rORB, laminar-specific reductions in neuronal sizes and glial densities were found in the lower portions of layer III and in layers V and VI in MDD. From primate studies, layers III, V and VI are known to give rise to glutamatergic pathways descending to various subcortical structures, including the basal ganglia, that are involved in control of motor functions (Arikuni and Kubota 1986; Selemon and Goldman-Rakic 1985). It interesting to speculate that altered functioning in these pathways may be related to the psychomotor retardation often observed in depressed patients. Moreover, layer V of the non-human primates and human prefrontal cortex is the site of colocalization of dopamine-1 and dopamine-2 receptors (Goldman-Rakic 1998; Goldman-Rakic et al 1990; Joyce et al 1991; Williams and Goldman-Rakic 1998) further suggesting the prefrontal involvement of dopaminergic system in the neuropathology of MDD. In addition, neurons of layers V and VI are recipients of extensive noradrenergic projections (Lewis and Morrison 1989) coming from the locus coeruleus, that is known to be involved in the pathology of MDD (Klimek et al 1997; Ordway et al 1994). Thus, the layer-specific cellular changes reported here suggest an involvement of many other neurotransmitter systems in addition to the serotonin system.

# Conclusions

The cellular changes described here indicate that both types of brain cells, neurons and glia, are abnormal in major depression, and may contribute to pathologic changes in the prefrontal cortex related to the psychiatric disorder. The question remains whether depressed patients are genetically predisposed for the cortical cell changes found in their brains postmortem and they were born with smaller neurons or less glia or whether the cellular changes are a consequence of MDD. Alternatively, those genetically predisposed to the greatest histopathological alterations may exhibit the most pernicious psychiatric symptoms. It would be useful to examine the morphological and metabolic features of prefrontal cortex in identical twins discordant for MDD. Interestingly, cortical layers II and III, where we observed the most profound neuronal and glial changes, are among the last prefrontal layers to develop; their neurochemical maturation and the stabilization of their neuronal elements is prolonged beyond birth in the human prefrontal cortex (Koenderink et al 1994; Kostovic et al 1988; Mrzljak et al 1992). Of great relevance to the neurodevelopmental issue is the recent proton MRS study that found increased levels of cytosolic, choline-containing compounds in the orbitofrontal cortex of depressed adolescents (Steingard et al 1998). This study suggests that abnormal development of the orbitofrontal cortex may be associated with the early onset of depression.

The pathology of cortical cells in MDD must also be evaluated taking into consideration that those changes might be a result of exposure to antidepressant medications. It remains to be determined whether the morphological changes detected postmortem in subjects with MDD are trait or state dependent, and whether antidepressant medications have effect on cell morphology. In the present study, comparable cell pathology was detected even in the two MDD subjects who were never taking antidepressant medications. Further behavioral and morphometric studies on animals treated with antidepressant medications will shed more light on this crucial issue.

The present report represents the first morphometric evidence for the involvement of neurons and glial cells in the histopathology of major depression. These findings open new insights into the neurobiology of major depression and suggest it as a brain disease with distinct histopathological features.

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