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Neutralizing antibody vaccine for pandemic and pre-emergent coronaviruses

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Betacoronaviruses (betaCoVs) caused the severe acute respiratory syndrome (SARS) and Middle East Respiratory Syndrome (MERS) outbreaks, and the SARS-CoV-2 pandemic¹⁻⁴. Vaccines that elicit protective immunity against SARS-CoV-2 and betaCoVs circulating in animals have the potential to prevent future betaCoV pandemics. Here, we show that macaque immunization with a multimeric SARS-CoV-2 receptor binding domain (RBD) nanoparticle adjuvanted with 3M-052/Alum elicited cross-neutralizing antibody (cross-nAb) responses against batCoVs, SARS-CoV-1, SARS-CoV-2, and SARS-CoV-2 variants B.1.1.7, P.1, and B.1.351. Nanoparticle vaccination resulted in a SARS-CoV-2 reciprocal geometric mean neutralization ID50 titer of 47,216, and protection against SARS-CoV-2 in macaque upper and lower respiratory tracts. Importantly, nucleoside-modified mRNA encoding a stabilized transmembrane spike or monomeric RBD also induced SARS-CoV-1 and batCoV cross-nAbs, albeit at lower titers. These results demonstrate current mRNA vaccines may provide some protection from future zoonotic betaCoV outbreaks, and provide a platform for further development of pan-betaCoV vaccines.

SARS coronavirus 1 (SARS-CoV-1), SARS coronavirus 2 (SARS-CoV-2). and MERS coronavirus (MERS-CoV) emerged from transmission events where humans were infected with bat or camel CoVs⁵⁻⁸. BetaCoVs that circulate in civets, bats, and Malayan pangolins are genetically similar to SARS-CoV-1 and SARS-CoV-2, and use human ACE2 as a receptor 5.6,9-11. These SARS-related animal coronaviruses have the potential to be transmitted to humans¹². Cross-nAbs capable of neutralizing multiple beta-CoVs and preventing or treating betaCoV infection have been isolated from SARS-CoV-1 infected humans 13-24, providing proof-of-concept for development of betaCoV vaccines against Sarbecoviruses²⁵.

In mice, vaccine induction of cross-nAbs has been reported for CoV pseudoviruses^{26,27}. However, it is unknown whether spike vaccination of primates can elicit cross-nAbs against SARS-CoV-1, bat betaCoVs, or SARS-CoV-2 escape viruses. A target of cross-nAbs is the RBD of spike14,24,25. One such RBD cross-nAb is antibody DH1047, which cross-neutralizes SARS-CoV-1, SARS-CoV-2 and bat CoVs¹⁵. RBD immunogenicity can be augmented by arraying multiple copies on nanoparticles, mimicking virus-like particles^{26–29}. Thus, we designed a 24-mer SARS-CoV-2 RBD-ferritin nanoparticle vaccine. The RBD nanoparticle was constructed by expressing recombinant SARS-CoV-2 RBD with a C-terminal sortase A donor sequence, and by expressing a 24-subunit, self-assembling protein nanoparticle Helicobacter pylori ferritin with an N-terminal sortase A acceptor sequence³⁰. The RBD and ferritin nanoparticle were conjugated together by a sortase A reaction (Fig. 1a, Extended Data Fig. 1)30. Analytical size exclusion chromatography and negative stain electron microscopy confirmed that RBD was conjugated to the surface of the ferritin nanoparticle (Fig. 1a, Extended Data Fig. 1b,c). The RBD sortase A conjugated nanoparticle (RBD-scNP) bound to human ACE2, the receptor for SARS-CoV-2, and to potently neutralizing SARS-CoV-2-specific RBD antibodies

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(Abs) DH1041, DH1042, DH1043, DH1044, and DH1045¹⁵ (Fig. 1b). The cross-nAb DH1047 also bound to the RBD-scNP (Fig. 1b). The RBD-scNP lacked binding to SARS-CoV-2 spike Abs that bound outside of the RBD (Fig. 1b).

Five cynomolgus macaques were immunized three times intramuscularly four weeks apart with 100 µg of RBD-scNP adjuvanted with 5 μg of the TLR7/8 agonist 3M-052 absorbed to 500 μg of alum (Fig. 1c and Extended Data Fig. 1d,e)31. Immunizations were well-tolerated in macagues (Extended Data Fig. 2). Immunization with RBD-scNP adjuvanted with 3M-052/Alum elicited binding IgG against SARS-CoV-2 RBD and stabilized Spike ectodomain (S-2P) (Fig. 1d), but immunization with 3M-052/Alum alone did not (Extended Data Fig. 3a,b). Boosting once maximally increased SARS-CoV-2 binding IgG titers (Fig. 1d). ACE2 competitive binding assays demonstrated the presence of ACE2-binding site Abs in the serum of vaccinated macaques (Fig. 1e). Similarly, plasma Abs blocked the binding of ACE2-binding site-focused, RBD neutralizing antibody DH1041 (Fig. 1e). Vaccine induction of nAbs was assessed against a SARS-CoV-2 pseudovirus with an aspartic acid to glycine substitution at position 614 (D614G)³². Two RBD-scNP immunizations induced potent serum nAbs with fifty percent inhibitory reciprocal serum dilution (ID50) neutralization titers ranging from 21,292 to 162,603 (Fig. 1f,g). We compared these nAb titers to those elicited by cynomolgus macaques immunized twice with 50 µg of lipid-encapsulated nucleoside-modified mRNA (mRNA-LNP) encoding stabilized transmembrane (TM) Spike (S-2P) that is analogous to COVID-19 vaccines authorized for emergency use (Extended Data Fig. 1f). Serum neutralization titers against SARS-CoV-2 D614G pseudovirus elicited by RBD-scNP immunization were significantly higher than titers elicited by two S-2P mRNA-LNP immunizations (Fig. 1i, group geometric mean ID50 47,216 versus 6,469, P = 0.0079 Exact Wilcoxon test, n=5)33,34. When compared to natural human infection, RBD-scNP vaccination elicited higher ID50 neutralization titers (Fig. 1j). Thus, RBD-scNP adjuvanted with 3M-052/Alum elicits significantly higher neutralizing titers in macaques compared to current vaccine platforms or natural human infection.

The SARS-CoV-2 variant B.1.1.7 or United Kingdom variant is spreading globally, and has been suggested to have higher infectivity than the Wuhan-1 strain ^{35,36}. B.1.351 lineage viruses are widespread in the Republic of South Africa ³⁶⁻³⁸, and along with Brazilian variant P.1 are of concern due to their neutralization-resistant phenotype mediated by mutations in the RBD at K417N, E484K, and N501Y ³⁹. Each of these mutations were distal to the cross-nAb DH1047 binding site owing to its long HCDR3 used to contact RBD; however, the E484K mutation was within the binding site of RBD nAb DH1041 (Fig. 2a,b) ¹⁵. Thus, DH1041 binding to SARS-CoV-2 RBD was knocked out by the E484K mutation, but DH1047 binding to RBD was unaffected by K417N, E484K, or N501Y (Fig. 2c,d and Extended Data Fig. 3).

We determined whether RBD-scNP or mRNA-LNP immunization elicited nAbs against these particular SARS-CoV-2 variants. RBD-scNP macaque serum potently neutralized a pseudovirus bearing the D614G spike and the B.1.1.7 spike (Fig. 2d,e). Similarly, S-2P mRNA-LNP immunization elicited equivalent titers of nAbs against the B.1.1.7 and D614G variants of SARS-CoV-2, although titers were lower than RBD-scNP immunization (Fig. 2d,e). Macaque serum from RBD-scNP or mRNA-LNP immunization neutralized SARS-CoV-2 WA-1, B.1.351, and P.1 pseudoviruses, with ID80 titers being more potent for the RBD-scNP group (Fig. 2f-i). On average, the RBD-scNP group neutralization titers decreased by 3-fold against B.1.351 or P.1, whereas the mRNA-LNP group decreased by 6-fold for B.1.351 and 10-fold for P.1 based on ID50 titers (Fig. 2g,i). Additionally, we observed RBD-scNP and S-2P mRNA-LNP immune plasma IgG binding to SARS-CoV-2S was unaffected by mutations observed in Danish minks, B.1.351, P.1, and B.1.1.7 SARS-CoV-2 $strains ^{36,37,40} \, (Extended \, Data \, Fig. \, 3). \, In \, summary, \, both \, vaccines \, tested \,$ here elicited nAbs that were unaffected by the mutations in the B.1.1.7 strain. However, nAbs elicited by RBD-scNP more potently neutralized the difficult-to-neutralize B.1.351 and P.1 virus strains than nAbs elicited with S-2P mRNA-LNP immunization.

SARS-related CoVs that circulate in humans and animals remain a threat for future outbreaks^{12,41,42}. Therefore, we examined neutralization of SARS-CoV-1 and SARS-related group 2b batCoV-WIV-1 and SARS-related batCoV-SHC014 viruses by immune sera from macaques vaccinated with the RBD-scNP, mRNA-LNP encoding monomeric RBD or S-2P (Extended Data Fig. 1e-g)^{6,9,41,42}. After two immunizations, RBD-scNP, S-2P mRNA-LNP, and the RBD monomer mRNA-LNP elicited nAbs against SARS-CoV-1, batCoV-WIV-1, and batCoV-SHC014 (Fig. 3a, Extended Data Fig. 4). Neutralization was more potent for replication-competent SARS-CoV-2 virus compared to the other three SARS-related viruses (Fig. 3a, Extended Data Fig. 4), with neutralization titers varying up to 4-fold within the RBD-scNP group (Extended Data Fig. 4). Overall, RBD-scNP immunization elicited the highest neutralization titers (Fig. 3a, Extended Data Fig. 4). Small increases in neutralization potency were gained by boosting a third time with the RBD-scNP (Fig. 3b). Moreover, RBD-scNP immunization elicited cross-reactive plasma IgG against SARS-CoV-2, SARS-CoV-1, batCoV-RaTG13, batCoV-SHC014, pangolin CoV-GXP4L Spike proteins (Fig. 3c and Extended Data Fig. 5a,c). Binding antibody titers were high for these spikes, even in instances where neutralization titers were low suggesting non-nAbs contributed to binding titers. RBD-scNP immune plasma IgG did not bind spike from the four endemic human CoVs or MERS-CoV (Extended Data Fig. 5a,c). The lack of binding by plasma IgG to these latter five S ectodomains was consistent with RBD sequence divergence among groups 1, 2a, 2b and 2c coronaviruses (Fig. 3f and Extended Data Fig. 6-7). The SARS-CoV-2 spike induced cross-nAbs against multiple group 2b SARS-related betaCoVs, with the highest titers induced by RBD-scNP.

Immune sera from RBD-scNP-immunized macaques exhibited a similar cross-neutralizing profile as the cross-nAb DH1047. DH1047 bound with < 0.02 nM affinity to monomeric SARS-CoV-2 RBD (Extended Data Fig. 5b), and bound the RBD-scNP (Fig. 1b). The cross-reactive DH1047 epitope is adjacent to the N-terminus of the ACE2-binding site, distinguishing it from dominant ACE2 binding site-focused nAbs such as DH1041 (Fig. 3d)¹⁵, and it has high group 2b sequence conservation (Fig. 3e). Overall RBD sequences within betaCoV groups are more conserved than sequences from different groups (Fig. 3f and Extended Data Fig. 6-7). The presence of DH1047-like antibodies was determined with DH1047 blocking assays. Plasma from all RBD-scNP-immunized macagues blocked the binding of ACE2 and DH1047 to SARS-CoV-2S-2P ectodomain (Fig. 3g and Extended Data Fig. 5d). The DH1047-blocking antibodies were cross-reactive as they also potently blocked DH1047 binding to batCoV-SHC014 S-2P (Fig. 3g). RBD-scNP immunization elicited higher magnitudes of DH1047 blocking Abs than S-2P mRNA-LNP immunization of macaques, Pfizer/BNT162b2 mRNA-LNP immunization of humans, or SARS-CoV-2 human infection (Fig. 3h and Extended Data Fig. 5d). ACE2 blocking was high in all groups (Fig. 3h). While 5 of 5 RBD-scNP-vaccinated macagues exhibited potent DH1047 serum blocking activity, 3 of 4 immunized humans and 9 of 22 of COVID-19 convalescent humans had detectable serum DH1047 blocking activity (Fig. 3h). Thus, the DH1047-like antibody response was subdominant in infected or immunized humans and S-2P mRNA-LNP-immunized macaques, but was a dominant antibody response to RBD-scNP vaccination.

To determine vaccine protection against coronavirus infection, we challenged RBD-scNP-vaccinated and S-2P mRNA-LNP primed/RBD-scNP boosted monkeys with 10^5 plaque forming units of SARS-CoV-2 virus via intratracheal and intranasal routes after their last boost (Fig. 4a). NAbs were detectable in all macaques 2 weeks after the final immunization (Fig. 3b and Extended Data Fig. 4b,c). Bronchoalveolar lavage (BAL) fluid was collected 2 days post challenge (Fig. 4a). Infectious SARS-CoV-2 was detectable in BAL fluid from 5 of 6 unimmunized macaques, and undetectable in all RBD-scNP and

S-2P mRNA-LNP/RBD-scNP-immunized macagues (Fig. 4b), Copies of Envelope (E) and Nucleocapsid (N) subgenomic RNA in fluid from nasal swabs and bronchoalveolar lavage (BAL) two and four days after challenge was used to quantify SARS-CoV-2 replication (Fig. 4a). On day 2 after challenge, unimmunized macaques had an average of 1.3x10⁵ and 1.2x10⁴ copies/mL of E sgRNA in the nasal swab and BAL fluids, respectively (Fig. 4c,d). In contrast, RBD-scNP-vaccinated monkeys and 4 of 5 S-2P mRNA-LNP monkeys had undetectable levels of E sgRNA in the upper and lower respiratory tract (Fig. 4c,d). We sampled monkeys again 2 days later, and found no detectable E sgRNA in any vaccinated monkey BAL or nasal swab samples (Fig. 4b,c). Similarly, all RBD-scNP-vaccinated macaque had undetectable N sgRNA in BAL and the nasal swab fluid, except one macaque that had 234 copies/mL of N sgRNA detected on day 2 in nasal swab fluid (Fig. 4e.f). Virus replication was undetectable in this macaque by the fourth day after challenge (Fig. 4e). Additionally, all but one mRNA-LNP/RBD-scNP-immunized macaque had undetectable N sgRNA in BAL or nasal swab samples (Fig. 4e,f). Moreover, SARS-CoV-2 nucleocapsid antigen was undetectable in the lung tissue of all vaccinated macaques, but was detected in all control macaques (Fig. 4g and Extended Data Fig. 8). Hematoxylin and eosin staining of lung tissue showed a reduction in inflammation in immunized macaques compared to control macaques (Extended Data Fig. 8 and Extended Data Table 1).

Finally, mucosal immunity to SARS-CoV-2 were examined when possible both before and after SARS-CoV-2 challenge (Extended Data Fig. 9). IgG from concentrated BAL bound to spike and blocked ACE-2, DH1041, and DH1047 binding to spike (Extended Data Fig. 9b-d). Each response was higher in the BAL from monkeys immunized three times with RBD-scNP compared to monkeys immunized two times with S-2P mRNA-LNP and boosted once with RBD-scNP, although the BAL was collected from each group at different timepoints. Unconcentrated nasal wash samples from monkeys immunized with either RBD-scNPs or S-2P mRNA-LNP prime/RBD-scNP boost showed similar low levels of spike-binding IgG post challenge (Extended Data Fig. 9e). Nonetheless, RBD-scNP-immunization elicited RBD-specific mucosal antibodies.

As three coronavirus epidemics have occurred in the past 20 years, there is a need to develop effective pancoronavirus vaccines prior to the next pandemic²⁵. The epitopes of betaCoV cross-nAbs, such as DH1047, provide clear targets for vaccines aiming to protect against multiple CoVs^{13-15,43}. We have shown that immunization with RBD-scNP adjuvanted with a toll-like receptor agonist 3M-052, and spike mRNA-LNP to a lesser extent, induces cross-nAbs against multiple SARS-related human and bat betaCoVs in primates. These results demonstrate that SARS-CoV-2 vaccination with either the RBD-scNP or spike mRNA-LNP vaccines similar to those authorized for use in humans, will likely elicit cross-nAbs with the potential to prevent future group 2b betaCoV spillover from bats to humans 12,26.

The emergence of SARS-CoV-2 neutralization-resistant and highly infectious variants continues to be a concern for vaccine efficacy. RBD-scNP and SARS-CoV-2 spike mRNA-LNP immunizations elicited SARS-CoV-2 nAbs against SARS-CoV-2 D614G, B.1.1.7, P.1, and B.1.351 strains. The nAbs elicited by RBD-scNP and S-2P mRNA-LNP were of different specificities since RBD-scNP-induced nAbs showed a smaller reduction in neutralization potency across the different variants compared to S-2P mRNA-LNP immune sera. Our results are consistent with the demonstration that current COVID-19 vaccines have reduced efficacy against the B.1.351 SARS-CoV-2 variant 44-49.

The RBD-scNP vaccine is a promising platform for pancoronavirus vaccine development for the following reasons. The RBD-scNP vaccine induced apparent sterilizing immunity in the upper respiratory tract, which has not been routinely achieved with SARS-CoV-2 vaccination in macaques 50,51. Additionally, the extraordinarily high neutralization titers achieved by RBD-scNP vaccination bode well for an extended duration of protection. Despite the induction of high levels of antibody we observed no evidence of increased immunopathology,

inflammatory cytokines or virus replication indicative vaccine-elicited antibody-dependent enhancement. Lack of in vivo infection enhancement is consistent with studies using SARS-CoV-2 monoclonal Abs¹⁵. 3M-052 adsorbed to Alum is in clinical testing (NCT04177355) generating a potential translational pathway for RBD-scNP adjuvanted with 3M-052. The RBD-scNP/3M-052 vaccine represents a platform for producing pancoronavirus vaccines that could prevent, rapidly temper, or extinguish the next spillover of a coronavirus into humans.

Online content

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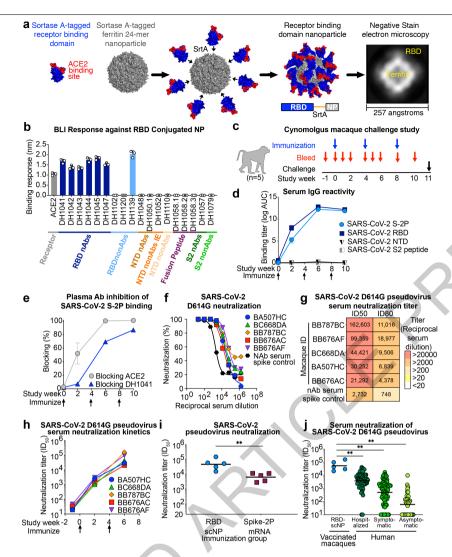


Fig. 1 | SARS-CoV-2 receptor binding domain (RBD) sortase-conjugated nanoparticles (scNPs) elicits extremely high titers of SARS-CoV-2 pseudovirus neutralizing antibodies (nAbs). a SARS-CoV-2 RBD (blue and red) Helicobacter pylori ferritin (gray) nanoparticle sortase conjugation. A model and $two\text{-}dimensional\, class\, average\, of\, negative\, stain\, electron\, microscopy\, of\, the$ resultant RBD nanoparticle are shown. **b** Biolayer interferometry SARS-CoV-2 antibody and ACE2 receptor binding to RBD nanoparticles. N-terminal domain (NTD), infection enhancing non-neutralizing antibody (nonAbs IE), non-neutralizing antibody (nonAb). Symbols represent values from 3 independent experiments and bars represent the mean and standard error of the mean (s.e.m.). c Cynomolgus macaque immunogenicity and challenge study design. d Macaque serum IgG binding titer as area-under-the curve of the log₁₀-transformed curve (log AUC) to recombinant SARS-CoV-2 stabilized Spike ectodomain (S-2P), RBD, NTD, and Fusion peptide (FP). Group mean \pm s.e.m. are shown in **d** and **e** (n = 5 macaques).

e Plasma antibody blocking of SARS-CoV-2 S-2P binding to ACE2-Fc and RBD neutralizing antibody DH1041. f,g f Dose-dependent serum neutralization of SARS-CoV-2 D614G pseudovirus infection of ACE2-expressing 293T cells and g $neutralization\,ID50\,and\,ID80\,titers.\,Serum\,was\,examined\,after\,two\,immunizations.$ The mean value of duplicates is shown in **f. h** SARS-CoV-2 D614G pseudovirus serum neutralization titer over time for individual macaques. i Serum neutralization ID50 titers from macaques immunized twice with protein RBD nanoparticles (blue) or nucleoside-modified mRNA-LNP expressing S-2P (burgundy) (**P=0.0079, Two-tailed Exact Wilcoxon test, n = 5 macaques). j Serum neutralization titers for macaques immunized twice with RBD-scNP (blue, n =5 macaques) or humans with $asymptomatic infection (n=34 \, individuals), symptomatic infection (n=71 \, individuals), and the symptomatic infection ($ individuals), or hospitalized (n=60 individuals) (**P<0.01, Two-tailed Wilcoxon test). Horizontal bars are the group geometric mean in ${f i}$ and ${f j}$. Pre-vaccination serum or nAb spiked serum were used as controls in f, g, and h.

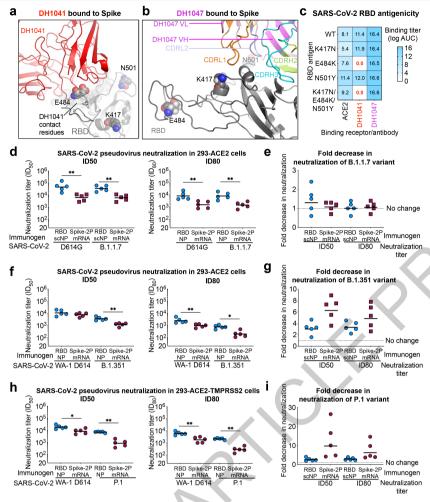


Fig 2 | RBD-scNP immunization elicits higher titers of nAbs against more transmissible or neutralization-resistant SARS-CoV-2 variants than stabilized spike mRNA-LNP vaccination. a,b The location of K417, E484, and N501 (spheres) present in the B.1.351 variant are shown in the cryo-EM structures of RBD nAbs a DH1041 (red) and b DH1047 (magenta) bound to the RBD (gray) of Strimers (PDB: 7LAA and 7LD1)¹⁵. c ACE2 receptor, DH1041, and DH1047 ELISA binding titer as \log AUC for wildtype and mutant SARS-CoV-2 Spike RBD monomers. d Serum neutralization ID50 (left) and ID80 titers for SARS-CoV-2 D614G and SARS-CoV-2 B.1.1.7 pseudoviruses from immunized macaques. Symbols represent individual macaques and horizontal bars are group means (**P=0.0079, Two-tailed Exact Wilcoxon test, n=5 macaques). e Fold decrease in neutralization potency between neutralization of SARS-CoV-2 D614G and SARS-CoV-2 B.1.1.7 pseudoviruses. Fold change is

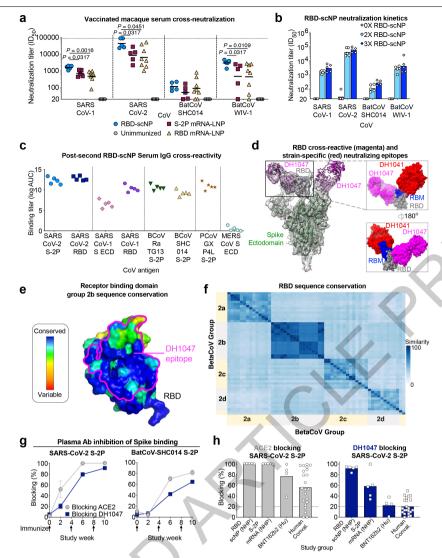
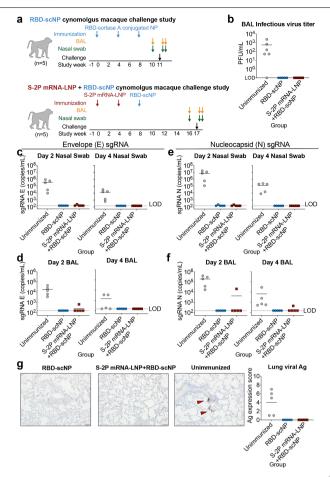


Fig. 3 | RBD-scNP vaccine induction of serum cross-neutralization of SARS-related betacoronavirus infection. a Serum neutralization ID50 titers from macagues immunized twice with RBD-scNP, S-2P mRNA-LNP, or RBD mRNA-LNP for SARS-CoV-1 and SARS-CoV-2 and SARS-related batCoVs (WIV-1 and SHC014). Symbols indicate individual macaques and black bars show the group geometric mean (Two-tailed Exact Wilcoxon test, n = 5 or 8 macaques). **b** Serum cross-neutralization ID50 titers before (gray), after two (light blue) or after three (blue) RBD-scNP immunizations. Bars represent the group geometric mean. c Human, bat, and pangolin SARS-related betaCoVS protein ELISA log AUC titer for plasma IgG from macaques immunized twice with RBD-scNP. ECD, ectodomain. d Structural comparison of the epitopes of SARS-CoV-2-specific neutralizing RBD antibody (DH1041, red, PDB ID: 7LAA) and cross-neutralizing RBD antibody (DH1047, magenta, PDB ID: 7LD1). (Left)

Cartoon view of Spike (green), RBD (gray), Receptor Binding Motif (RBM, blue). (Right) Overlay of the RBDs of the two complexes from their respective cryo-EM structures. e RBD colored by conservation within group 2b betacoronaviruses. DH1047 epitope is shown in magenta outline. ${\bf f}$ Heatmaps displaying pairwise amino acid sequence similarity for 57 representative betaCoVs. g, h Plasma/serum antibody blocking of S-2P binding to ACE2 (gray) and DH1047 (navy blue). g Kinetics of SARS-CoV-2 or batCoV-SHC014 blocking by serum from macaques immunized twice with RBD-scNP. Group mean±s.e.m. are shown (n = 5 macaques). h Blocking activity by serum from macaques immunized twice with RBD-scNP or S-2P mRNA-LNP and humans immunized twice with Pfizer BNT162b2 or naturally infected with SARS-CoV-2. Each symbol represents an individual subject and filled bars indicate the group mean in ${\bf h}$. Positivity threshold (dashed line) is greater than 20% in g and h.



 $Fig.\,4\,|\,RBD\text{-}scNP\,vaccination\,alone\,or\,as\,a\,boost\,completely\,prevents\,virus$ replication in the upper and lower respiratory tract after intranasal and intratracheal SARS-CoV-2 challenge in nearly all macaques. a. Macaque intranasal/intratracheal SARS-CoV-2 challenge study design. Blue and maroon arrows indicate the time points for RBD-scNP and mRNA-LNP immunizations $respectively. \, \textbf{b} \, Infectious \, virus \, in \, macaque \, BAL \, fluid \, two \, days \, after \, challenge.$ **c-f.** Quantification of viral envelope (E) gene or nucleocapsid (N) gene subgenomic RNA (sgRNA) in unimmunized (gray) and RBD-scNP-immunized (blue), and S-2P mRNA-LNP prime/RBD-scNP boosted (burgundy) macaques. sgRNA in nasal swabs and bronchoalveolar lavage (BAL) was quantified two $(left)\,and\,four\,(right)\,days\,after\,challenge.\,Limit\,of\,detection\,(LOD)\,for\,the$ assay is 150 copies/mL. Symbols and bars are shown as in b. g Nucleocapsid immunohistochemistry of lung tissue sections seven days post challenge. (Left) A representative image from 1 macaque from each group of 5 macaques is shown. Red arrows indicate site of antigen positivity. All images are shown at 10X magnification with 100 micron scale bars. (Right) Quantification of $antigen \, positivity. \, In \, each \, panel \, symbols \, represent \, individual \, macaques \, with \,$ the group mean shown as a black horizontal bar.

Methods

Animals, immunizations, and human samples

Rhesus and cynomolgus macaques were housed and treated in AAALAC-accredited institutions. The study protocol and all veterinarian procedures were approved by the Bioqual IACUC per a memorandum of understanding with the Duke IACUC, and were performed based on standard operating procedures. Nucleoside-modified messenger RNA encapsulated in lipid nanoparticles (mRNA-LNP) was prepared as previously stated^{52,53}. Rhesus macagues (n=8 macagues) were immunized intramuscularly with 50µg of mRNA-LNP encoding the receptor binding domain (RBD) monomer. Cynomolgus macagues (n=5 macagues) were immunized twice with 50µg of mRNA-LNP encoding the transmembrane Spike protein stabilized with K986P and V987P mutations and boosted once with 100 µg of RBD-scNP adjuvanted with 5 µg of 3M-052 aqueous formulation (AF) admixed with 500 µg of Alum in PBS. An additional group of cynomolgus macaques (n=5 macaques) were immunized in the right and left quadriceps with 100 µg of RBD-scNP adjuvanted with 5 µg of 3M-052 aqueous formulation (AF) admixed with 500 μg of Alum in PBS 31 . The mixture for immunization consisted of 250 μ L of RBD-scNP mixed with 250 μ L of 0.02 mg/ml 3M-052, 2 mg/ml Alum. Group sizes were selected such that statistical significance could be reached with between group nonparametric statistical comparisons. Macaques were on average 8 or 9 years old and ranged from 2.75 to 8 kg in body weight. Male and females per group were balanced when macaque availability permitted it. Studies were performed unblinded. Animals were evaluated by Bioqual veterinary staff before during and after immunizations. In the animals studied, CBCs and chemistries were obtained throughout the immunization regimen and no significant abnormalities were noted. Of the 10 cynomolgus macaques, there were no adverse events reported at injection sites. Over the course of the study, 2 cynomolgus macaques experienced slight weight loss. Two cynomolgus macaques showed a single incidence of poor appetite, with one additional cynomolgus macaque showing poor appetite intermittently throughout the study. Additionally, one macaque presented with an infected lymph node biopsy site that responded to appropriate veterinary treatment. Biospecimens before challenge, 2 days post-challenge, and 4 days post challenge were collected as described previously¹⁵. Human samples were obtained with informed consent. All recruitment, sample collection, and experimental procedures using human samples have been approved by the Duke Institutional Review Board.

SARS-CoV-2 intranasal and intratracheal challenge

All animals were challenged at week 11 (3 weeks after last vaccination) through combined intratracheal (IT, 3.0 mL) and intranasal (IN, 0.5 mL per nostril) inoculation with an infectious dose of 105 PFU of SARS-CoV-2 (2019-nCoV/USA-WA1/2020). The stock was generated at BIOQUAL (lot# 030120-1030, 3.31×10^{5} PFU/mL) from a p4 seed stock obtained from BEI Resources (NR-52281). The stock underwent deep sequencing to confirm homology with the WA1/2020 isolate. Virus was stored at -80 °C prior to use, thawed by hand and placed immediately on wet ice. Stock was diluted to 2.5x104 PFU/mL in PBS and vortexed gently for 5 seconds prior to inoculation. Nasal swabs, bronchoalveolar lavage (BAL), plasma, and serum samples were collected seven days before, two days after, and four days after challenge. Unimmunized control cynomolgus macaques (N=5) comprised were macaques that had been infused with a 10 mg/kg of a control antibody (CH65) and then 3 days later challenged with the same challenge dose and stock of SARS-CoV-2 as used in RBD-scNP-immunized macaques or 2P spike protein mRNA-LNP/RBD-scNP-immunized macaques. Protection from SARS-CoV-2 infection was determined by quantitative PCR of SARS-CoV-2 subgenomic Envelope (E) and the more sensitive Nucleocapsid (N) RNA (E or N sgRNA)³⁹ as stated below.

SARS-CoV-2 protein production

The CoV ectodomain constructs were produced and purified as described previously⁵⁴. The Spike (S) ectodomain was stabilized by the introduction of 2 prolines at amino acid positions 986 and 987 and referred to as S-2P. Plasmids encoding Spike-2P and HexaPro⁵⁵ were transiently transfected in FreeStyle 293 cells (Thermo Fisher) using Turbo293 (SpeedBiosystems) or 293Fectin (ThermoFisher). All cells were tested monthly for mycoplasma. The constructs contained an HRV 3C-cleavable C-terminal twinStrepTagII-8xHis tag. On day 6, cell-free culture supernatant was generated by centrifugation of the culture and filtering through a 0.8 um filter. Protein was purified from filtered cell culture supernatants by StrepTactin resin (IBA) and by size exclusion chromatography using Superose 6 column (GE Healthcare) in 10 mM Tris pH8,150 mM NaCl or 2 mM Tris pH8, 200 mM NaCl, 0.02% NaN₃. ACE-2-Fc was expressed by transient transfection of Freestyle 293-F cells⁵⁴. ACE2-Fc was purified from cell culture supernatant by HiTrap protein A column chromatography and Superdex200 size exclusion chromatography in 10 mM Tris pH8,150 mM NaCl. SARS-CoV-2 NTD was produced as previously described⁵⁶. SARS-CoV-2 fusion peptide was synthesized (GenScript).

Sortase A conjugation of SARS-CoV-2 RBD to *H. pylori* ferritin nanoparticles

Wuhan strain SARS-CoV-2 RBD was expressed with sortase A donor sequence LPETGG encoded at its c-terminus. C-terminal to the sortase A donor sequence was an HRV-3C cleavage site, 8X his tag, and a twin StrepTagII (IBA). The SARS-CoV-2 RBD was expressed in Freestyle293 cells and purified by StrepTactin affinity chromatography (IBA) and superdex200 size exclusion chromatography as stated above. H. pylori ferritin particles were expressed with a pentaglycine sortase A acceptor sequence encoded at its N-terminus of each subunit. For affinity purification of ferritin particles, 6XHis tags were appended C-terminal to a HRV3C cleavage site. Ferritin particles with a sortase A N-terminal tag were buffer exchanged into 50mM Tris, 150mM NaCI, 5mM CaCI2, pH7.5. 180 μM SARS-CoV-2 RBD was mixed with 120 μM of ferritin subunits and incubated with 100 µM of sortase A overnight at room temperature. Following incubation conjugated particles were isolated from free ferritin or free RBD by size exclusion chromatography using a Superose 616/60 column.

Biolayer interferometry binding assays

Binding was measured using an OctetRed 96 (ForteBio). Anti-human IgG capture (AHC) sensor tips (Forte Bio) were hydrated for at least 10 minutes in PBS. ACE2 and monoclonal Abs were diluted to 20 µg/mL in PBS and placed in black 96-well assay plate. The influenza antibody CH65 was used as the background reference antibody. The RBD nanoparticle was diluted to 50 µg/mL in PBS and added to the assay plate. Sensor tips were loaded with antibody for 120 s. Subsequently, the sensor tips were washed for 60 s in PBS to removed unbound antibody. The sensor tips were incubated in a fresh well of PBS to establish baseline reading before being dipped into RBD-scNP to allow association for 400 s. To measure dissociation of the antibody-RBD-scNP complex, the tip was incubated in PBS for 600 s. At the end of dissociation, the tip was ejected and a new tip was attached to load another antibody. The data was analyzed with Data Analysis HT v12 (ForteBio). Background binding observed with CH65 was subtracted from all values. All binding curves were aligned to the start of association. The binding response at the end of the 400 s association phase was plotted in GraphPad Prism v9.0.

Surface plasmon resonance (SPR) assays

SPR measurements of DH1047 antigen binding fragment (Fab) binding to monomeric SARS-CoV-2 RBD proteins were performed in HBS-EP+ running buffer using a Biacore S200 instrument (Cytiva). Assays were performed in the DHVI BIA Core Facility. The RBD was first captured

via its twin-StrepTagII onto a Series S Streptavidin chip to a level of 300-400 resonance units (RU). The antibody Fabs were injected at 0.5 to 500 nM over the captured S proteins using the single cycle kinetics injection mode at a flow rate of 50 μ L/min. Fab association occurred for 180 s followed by a dissociation of 360 seconds after the end of the association phase. At the end of the dissociation phase the RBD was regenerated with a 30 s injection of glycine pH1.5. Binding values were analyzed with Biacore S200 Evaluation software (Cytiva). References included blank streptavidin surface along with blank buffer binding and was subtracted from DH1047 values to account for signal drift and non-specific protein binding. A 1:1 Langmuir model with a local Rmax was used for curve fitting. Binding rates and constants were derived from the curve. Representative results from two independent experiments are shown.

BAL plaque assay

SARS-CoV-2 Plaque assays were performed in the Duke Regional Biocontainment BSL3 Laboratory (Durham, NC) as previously described ⁵⁷. Serial dilutions of BAL fluid-were incubated with Vero E6 cells in a standard plaque assay ^{58,59}. BAL and cells were incubated at 37 °C, 5% CO2 for 1 hour. At the end of the incubation, 1 mL of a viscous overlay (1:12X DMEM and 1.2% methylcellulose) was added to each well. Plates are incubated for 4 days. After fixation, staining and washing, plates were dried and plaques from each dilution of BAL sample were counted. Data are reported as plaque forming units per milliliter of BAL fluid. Samples were collected in virus transport media from six unimmunized, SARS-CoV-2-challenged macaques for comparison to vaccinated macaques.

SARS-CoV-2 pseudovirus neutralization

For SARS-CoV-2 D614G and SARS-CoV-2 B.1.1.7 pseudovirus neutralization assays, neutralization of SARS-CoV-2 Spike-pseudotyped virus was performed by adapting an infection assay described previously with lentiviral vectors and infection in 293T/ACE2.MF (the cell line was kindly provided by Drs. Mike Farzan and Huihui Mu at Scripps). Cells were maintained in DMEM containing 10% FBS and 50 µg/ml gentamicin. An expression plasmid encoding codon-optimized full-length spike of the Wuhan-1 strain (VRC7480), was provided by Drs. Barney Graham and Kizzmekia Corbett at the Vaccine Research Center, National Institutes of Health (USA). The D614G mutation was introduced into VRC7480 by site-directed mutagenesis using the QuikChange Lightning Site-Directed Mutagenesis Kit from Agilent Technologies (Catalog # 210518). The mutation was confirmed by full-length spike gene sequencing. Pseudovirions were produced in HEK 293T/17 cells (ATCC cat. no. CRL-11268) by transfection using Fugene 6 (Promega, Catalog #E2692). Pseudovirions for 293T/ACE2 infection were produced by co-transfection with a lentiviral backbone $(pCMV \Delta R8.2)$ and firefly luciferase reporter gene $(pHR' CMV Luc)^{60}$. Culture supernatants from transfections were clarified of cells by low-speed centrifugation and filtration (0.45 µm filter) and stored in 1 ml aliquots at -80 °C.

For 293T/ACE2 neutralization assays, a pre-titrated dose of virus was incubated with 8 serial 3-fold or 5-fold dilutions of mAbs in duplicate in a total volume of 150 μ L for 1 h at 37 °C in 96-well flat-bottom poly-L-lysine-coated culture plates (Corning Biocoat). SARS-CoV-2 RBD nAb DH1043 spiked into normal human serum was used as a positive control. Cells were suspended using TrypLE express enzyme solution (Thermo Fisher Scientific) and immediately added to all wells (10,000 cells in 100 μ L of growth medium per well). One set of 8 control wells received cells + virus (virus control) and another set of 8 wells received cells only (background control). After 66-72 h of incubation, medium was removed by gentle aspiration and 30 μ L of Promega 1x lysis buffer was added to all wells. After a 10-minute incubation at room temperature, 100 μ l of Bright-Glo luciferase reagent was added to all wells. After 1-2 minutes, 110 μ l of the cell lysate was transferred to a black/white plate

(Perkin-Elmer). Luminescence was measured using a PerkinElmer Life Sciences. Model Victor2 luminometer.

To make WA-1, P.1, and B.1.351 SARS-CoV-2 pseudoviruses, human codon-optimized cDNA encoding SARS-CoV-2S glycoproteins of various strains were synthesized by GenScript and cloned into eukaryotic cell expression vector pcDNA 3.1 between the *BamH*I and *Xho*I sites. Pseudovirions were produced by co-transfection of Lenti-X 293T cells with psPAX2(gag/pol), pTrip-luc lentiviral vector and pcDNA 3.1 SARS-CoV-2-spike-deltaC19, using Lipofectamine 3000. The supernatants were harvested at 48h post transfection and filtered through 0.45-µm membranes and titrated using 293-ACE2-TMPRSS2 cells (HEK293T cells that express ACE2 protein).

For the neutralization assay, $50~\mu L$ of SARS-CoV-2 S pseudovirions were pre-incubated with an equal volume of medium containing serum at varying dilutions at room temperature for 1 h, then virus-antibody mixtures were added to 293T-ACE2 (WA-1 and B.1.351 assays) or 293-ACE2-TMPRSS2 (WA-1 and P.1 assays) cells in a 96-well plate. After a 3 h incubation, the inoculum was replaced with fresh medium. Cells were lysed 24 h later, and luciferase activity was measured using luciferin. Controls included cell only control, virus without any antibody control and positive control sera. Neutralization titers are the serum dilution (ID50/ID80) at which relative luminescence units (RLU) were reduced by 50% and 80% compared to virus control wells after subtraction of background RLUs.

Live virus neutralization assays

Full-length SARS-CoV-2, SARS-CoV, WIV-1, and RsSHC014 viruses were designed to express nanoluciferase (nLuc) and were recovered via reverse genetics as described previously⁶¹⁻⁶³. Virus titers were measured in Vero E6 USAMRIID cells, as defined by plaque forming units (PFU) per ml, in a 6-well plate format in quadruplicate biological replicates for accuracy. For the 96-well neutralization assay, Vero E6 USAMRID cells were plated at 20,000 cells per well the day prior in clear bottom black walled plates. Cells were inspected to ensure confluency on the day of assay. Serum samples were tested at a starting dilution of 1:20 and were serially diluted 3-fold up to nine dilution spots. Serially diluted serum samples were mixed in equal volume with diluted virus. Antibody-virus and virus only mixtures were then incubated at 37°C with 5% CO₂ for one hour. Following incubation, serially diluted sera and virus only controls were added in duplicate to the cells at 75 PFU at 37°C with 5% CO₂. After 24 hours, cells were lysed, and luciferase activity was measured via Nano-Glo Luciferase Assay System (Promega) according to the manufacturer specifications. Luminescence was measured by a Spectramax M3 plate reader (Molecular Devices, San Iose, CA). Virus neutralization titers were defined as the sample dilution at which a 50% reduction in RLU was observed relative to the average of the virus control wells. Prebleed or unimmunized control macaque values were subtracted from WIV-1 neutralization titers, but all other viruses were not background subtracted.

Biocontainment and biosafety

All work described here was performed with approved standard operating procedures for SARS-CoV-2 in a biosafety level 3 (BSL-3) facility conforming to requirements recommended in the Microbiological and Biomedical Laboratories, by the U.S. Department of Health and Human Service, the U.S. Public Health Service, and the U.S. Center for Disease Control and Prevention (CDC), and the National Institutes of Health (NIH).

Plasma and mucosal IgG blocking of ACE2 binding

For ACE2 blocking assays, plates were coated with 2 μ g/mL recombinant ACE2 protein, then washed and blocked with 3% BSA in 1X PBS. While assay plates blocked, purified antibodies were diluted as stated above, only in 1% BSA with 0.05% Tween-20. In a separate dilution plate Spike-2P protein was mixed with the antibodies at a final concentration equal to

the EC50 at which spike binds to ACE2 protein. The mixture was allowed to incubate at room temperature for 1 hour. Blocked assay plates were then washed and the antibody-spike mixture was added to the assay plates for a period of 1 hour at room temperature. Plates were washed and a polyclonal rabbit serum against the same spike protein (nCoV-1 nCoV-2P.293F) was added for 1 hour, washed and detected with goat anti rabbit-HRP (Abcam cat# ab97080) followed by TMB substrate. The extent to which antibodies were able to block the binding spike protein to ACE2 was determined by comparing the OD of antibody samples at 450 nm to the OD of samples containing spike protein only with no antibody. The following formula was used to calculate percent blocking: blocking% = (100 - (OD sample/OD of spike only)*100).

Plasma and mucosal IgG blocking of RBD monoclonal antibody binding

Blocking assays for DH1041 and DH1047 were performed as stated above for ACE2, except plates were coated with either DH1041 or DH1047 instead of ACE2.

Plasma and mucosal IgG ELISA binding assays

For ELISA binding assays of Coronavirus Spike antibodies, the antigen panel included SARS-CoV-2 Spike S1+S2 ectodomain (ECD) (SINO, Catalog # 40589-V08B1), SARS-CoV-2 Spike-2P⁵⁴, SARS-CoV-2 Spike S2 ECD (SINO, Catalog # 40590-V08B), SARS-CoV-2 Spike RBD from insect cell sf9 (SINO, Catalog # 40592-V08B), SARS-CoV-2 Spike RBD from mammalian cell 293 (SINO, Catalog # 40592-V08H), SARS-CoV-2 Spike NTD-Biotin, SARS-CoV Spike Protein DeltaTM (BEI, Catalog # NR-722), SARS-CoV WH20 Spike RBD (SINO, Catalog # 40150-V08B2), SARS-CoV WH20 Spike S1 (SINO, Catalog # 40150-V08B1), SARS-CoV-1 RBD, MERS-CoV Spike S1+S2 (SINO, Catalog # 40069-V08B), MERS-CoV Spike S1 (SINO, Catalog # 40070-V08B), MERS-CoV Spike RBD (SINO, Catalog # 40071-V08B1), MERS-CoV Spike RBD.

For binding ELISA, 384-well ELISA plates were coated with 2 µg/mL of antigens in 0.1 M sodium bicarbonate overnight at 4 °C. Plates were washed with PBS + 0.05% Tween 20 and blocked with assay diluent (PBS containing 4% (w/v) whey protein, 15% Normal Goat Serum, 0.5% Tween-20, and 0.05% Sodium Azide) at room temperature for 1 hour. Plasma or mucosal fluid were serially diluted three-fold in superblock starting at a 1:30 dilution. Nasal was fluid started from neat and diluted 1:30, whereas BAL fluid was concentrated 10-fold. To concentrate BAL, individual BAL aliquots from the same animal and same time point were pooled in 3KDa MWCO ultrafiltration tubes (Sartorious #VS2091). Pooled BAL was concentrated by centrifugation at 3500 rpm for 30 minutes or until volume was reduced by a factor of 10. Pool was then aliquoted and frozen at -80 °C until its use in an assay. Purified mAb samples were diluted to 100 µg/mL and then serially diluted 3-fold in assay diluent. Samples were added to the antigen-coated plates, and incubated for 1h, followed by washes with PBS-0.1% Tween 20. HRP-conjugated goat anti-human IgG secondary Ab or mouse anti-rhesus IgG secondary antibody (SouthernBiotech, catalog #2040-05) was diluted to 1:10,000 and incubated at room temperature for 1 hour. These plates were washed four times and developed with tetramethylbenzidine substrate (Sure-Blue Reserve- KPL). The reaction was stopped with 1 M HCl, and optical density at 450 nm (OD₄₅₀) was determined.

Subgenomic RNA real time PCR quantification

The assay for SARS-CoV-2 quantitative Polymerase Chain Reaction (qPCR) detects total RNA using the WHO primer/probe set E_Sarbeco (Charité/Berlin). A QIAsymphony SP (Qiagen, Hilden, Germany) automated sample preparation platform along with a virus/pathogen DSP midi kit and the complex800 protocol were used to extract viral RNA from 800 μ L of pooled samples. A reverse primer specific to the envelope gene of SARS-CoV-2 (5'-ATATTG CAG CAG TAC GCA CAC A-3') was annealed to the extracted RNA and then reverse transcribed into

cDNA using SuperScript[™] III Reverse Transcriptase (Thermo Fisher Scientific, Waltham, MA) along with RNAse Out (Thermo Fisher Scientific, Waltham, MA). The resulting cDNA was treated with RNase H (Thermo Fisher Scientific, Waltham, MA) and then added to a custom 4x TagManTM Gene Expression Master Mix (Thermo Fisher Scientific. Waltham, MA) containing primers and a fluorescently labeled hydrolysis probe specific for the envelope gene of SARS-CoV-2 (forward primer 5'-ACA GGT ACG TTA ATA GTT AAT AGC GT-3', reverse primer 5'-ATA TTG CAG CAG TAC GCA CAC A-3', probe 5'-6FAM/AC ACT AGC C/ZEN/A TCC TTA CTG CGC TTC G/IABkFQ-3'). The qPCR was carried out on a QuantStudio 3 Real-Time PCR System (Thermo Fisher Scientific, Waltham, MA) using the following thermal cycler parameters: heat to 50 °C, hold for 2 min, heat to 95 °C, hold for 10 min, then the following parameters are repeated for 50 cycles; heat to 95 °C, hold for 15 seconds, cool to 60 °C and hold for 1 minute. SARS-CoV-2 RNA copies per reaction were interpolated using quantification cycle data and a serial dilution of a highly characterized custom DNA plasmid containing the SARS-CoV-2 envelope gene sequence. Mean RNA copies per milliliter were then calculated by applying the assay dilution factor (DF=11.7). The limit of detection (LOD) for this assay is approximately 62 RNA copies per mL of sample.

Recombinant IgG production

Expi293-F cells were diluted to 2.5E6 cells/mL on the day of transfection. Cells were co-transfected with Expifectamine and heavy and light chain expression plasmids. Enhancers were added 16h after transfection. On day 5, the cell culture was cleared of cells by centrifugation, filtered, and incubated with protein A beads overnight. The next day the protein A resin was washed with Tris buffered saline and then added to a 25 mL column. The resin was washed again and then glacial acetic acid was used to elute antibody off of the protein A resin. The pH of the solution was neutralized with 1M Tris pH8. The antibody was buffer exchanged into 25 mM sodium citrate pH6 supplemented with 150 mM NaCl, $0.2\,\mu m$ filtered, and frozen at -80 °C.

Negative stain electron microscopy

The RBD nanoparticle protein at -1-5 mg/ml concentration that had been flash frozen and stored at -80 °C was thawed in an aluminum block at 37 °C for 5 minutes; then 1-4 μL of RBD nanoparticle was diluted to a final concentration of 0.1 mg/ml into room-temperature buffer containing 150 mM NaCl, 20 mM HEPES pH 7.4, 5% glycerol, and 7.5 mM glutaraldehyde. After 5 minutes cross-linking, excess glutaraldehyde was quenched by adding sufficient 1 M Tris pH 7.4 stock to give a final concentration of 75 mM Tris and incubated for 5 minutes. For negative stain, carbon-coated grids (EMS, CF300-cu-UL) were glow-discharged for 20s at 15 mA, after which a 5- μl drop of quenched sample was incubated on the grid for 10-15 s, blotted, and then stained with 2% uranyl formate. After air drying grids were imaged with a Philips EM420 electron microscope operated at 120 kV, at 82,000× magnification and images captured with a 2k x 2k CCD camera at a pixel size of 4.02 Å.

Processing of negative stain images

The RELION 3.0 program was used for all negative stain image processing. Images were imported, CTF-corrected with CTFFIND, and particles were picked using a spike template from previous 2D class averages of spike alone. Extracted particle stacks were subjected to 2-3 rounds of 2D class averaging and selection to discard junk particles and background picks. Cleaned particle stacks were then subjected to 3D classification using a starting model created from a bare spike model, PDB 6vsb, low-pass filtered to 30 Å. Classes that showed clearly-defined Fabs were selected for final refinements followed by automatic filtering and B-factor sharpening with the default Relion post-processing parameters.

Betacoronavirus sequence analysis

Heatmaps of amino acid sequence similarity were computed for a representative set of betacoronaviruses using the ComplexHeatmap package

in R. Briefly, 1408 betacoronavirus sequences were retrieved from NCBI Genbank, aligned to the Wuhan-1 spike protein sequence, and trimmed to the aligned region. The 1408 spike sequences were then clustered using USEARCH⁶⁴ with a sequence identity threshold of 0.90 resulting in 52 clusters. We sampled one sequence from each cluster to generate a representative set of sequences. Five betacoronavirus sequences of interest not originally included in the clustered set were added: SARS-CoV-2, GXP4L, batCoV-RaTG13, batCoV-SHC014, batCoV-WIV-1. This resulted in a set of 57 representative spike sequences. Pairs of spike amino acid sequences were aligned using a global alignment and the BLOSUM62 scoring matrix. For RBD and NTD domain alignments, spike sequences were aligned to the Wuhan 1 spike protein RBD region (residues 330-521) and NTD region (residues 27-292), respectively, and trimmed to the aligned region. Phylogenetic tree construction of RBD sequences was performed with Geneious Prime 2020.1.2 using the Neighbor Joining method and default parameters. To map group 2b betaCoV sequence conservation onto the RBD structure, group 2b spike sequences were retrieved from Genbank and clustered using USEARCH⁶⁴ with a sequence identity threshold of 0.99 resulting in 39 clusters. For clusters of size >5, 5 spike sequences were randomly downsampled from each cluster. The resulting set of 73 sequences was aligned using MAFFT⁶⁵. Conservation scores for each position in the multiple sequence alignment were calculated using the trident scoring method⁶⁶ and computed using the MstatX program (https://github. com/gcollet/MstatX). The conservation scores were then mapped to the RBD domain coordinates (PDB: 7LD1) and images rendered with PyMol version 2.3.5.

Histopathology

Lung specimen from macaques were fixed in 10% neutral-buffered formalin, processed, and blocked in paraffin for histology analyses. All tissues were sectioned at 5μ m and stained with hematoxylin-eosin (H&E) for to assess histopathology. Stained sections were evaluated by a board-certified veterinary pathologist in a blinded manner. Sections were examined under light microscopy using an Olympus BX51 microscope and photographs were taken using an Olympus DP73 camera.

Immunohistochemistry (IHC)

Staining for SARS-CoV-2 nucleocapsid antigen was performed by the Bond RX automated system with the Polymer Define Detection System (Leica) following the manufacturer's protocol. Tissue sections were dewaxed with Bond Dewaxing Solution (Leica) at 72 °C for 30 min, then subsequently rehydrated with graded alcohol washes and 1x Immuno Wash (StatLab). Heat-induced epitope retrieval (HIER) was performed using Epitope Retrieval Solution 1 (Leica) and by heating the tissue section to 100 °C for 20 min. A peroxide block (Leica) was applied for 5 min to quench endogenous peroxidase activity prior to applying the SARS-CoV-2 nucleocapsid antibody (1:2000, GeneTex, GTX135357). Antibodies were diluted in Background Reducing Antibody Diluent (Agilent). The tissue was subsequently incubated with an anti-rabbit HRP polymer (Leica) and colorized with 3,3'-Diaminobenzidine (DAB) chromogen for 10 min. Slides were counterstained with hematoxylin.

Reagent authentication

Cell lines were received with a certificate of authentication certifying their identity. Cell identity was also confirmed by visualizing cell morphology and using flow cytometry to detect cell surface proteins. Cells were confirmed to be free of mycoplasma with monthly testing.

Statistics analysis

Data were plotted using Prism GraphPad 9.0. Wilcoxon rank sum exact test was performed to compare differences between groups with p-value < 0.05 considered significant using SAS 9.4 (SAS Institute, Cary, NC). No adjustments were made to the p-values for multiple

comparisons. IC50 and IC80 values were calculated using R statistical software (version 4.0.0; R Foundation for Statistical Computing, Vienna, Austria). The R package 'nplr' was used to fit 4-Parameter Logistic (4-PL) regression curves to the average values from duplicate experiments, and these fits were used to estimate the concentrations corresponding to 50% and 80% neutralization.

Reporting summary

Further information on research design is available in the Nature Research Reporting Summary linked to this paper.

Data availability

The authors declare that the data supporting the findings of this study are available within the main and supplemental figures. All data is available in the Source Data File. Source data are provided with this paper.

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Author contributions KOS and BFH designed and managed the study, reviewed all data and wrote and edited the manuscript; DW, NP designed and produced the mRNA-LNPs; EL, AMS, FPC, and HC expressed proteins; SK, JT, HG, EL, RP, MB, THO, DRM, DCM, LVT, TDS, GDS, RSB carried out binding, virus plaque, and neutralization assays; RSB and DRM prepared recombinant live viruses encoding nLuc; DL, CTM, TD, MG, DCD designed or performed sg or genomic RNA assays; RJE, SG, PA, KatM, KarM, MA, MB, and KW performed structural or sequence analysis; SMA performed SPR; LLS, MGL, HA, RS and performed or evaluated monkey studies; KB, MM, BN, IM performed histology and immunochemistry; CWW, EWP, GDS collected, annotated, COVID-19 samples; MT selected, provided adjuvant; RWR and RLS performed statistical analyses; All authors edited and approved the manuscript.

 $\textbf{Competing interests} \ BFH \ and \ KOS \ have filed patents regarding the nanoparticle vaccine, MAT and 3M company have patents filed on 3M052, and CF and IDRI have filed patents on the formulation of 3M052 and alum. 3M company had no role in the execution of the study, data$

collection, or data interpretation. DW is named on patents that describe the use of nucleoside-modified mRNA as a platform to deliver therapeutic proteins. DW and NP are also named on a patent describing the use of nucleoside-modified mRNA in lipid nanoparticles as a vaccine platform. All other authors declare no competing interests.

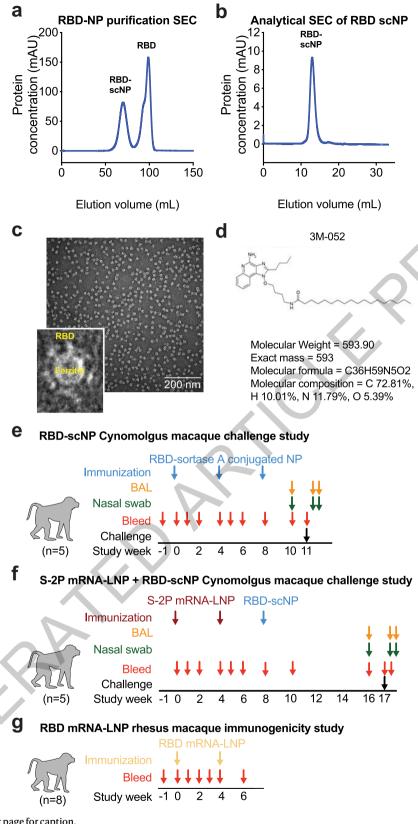
Additional information

 $\textbf{Supplementary information} \ The \ online \ version \ contains \ supplementary \ material \ available \ at \ https://doi.org/10.1038/s41586-021-03594-0.$

 $\textbf{Correspondence and requests for materials} \ \text{should be addressed to K.O.S.} \ \text{or B.F.H.}$

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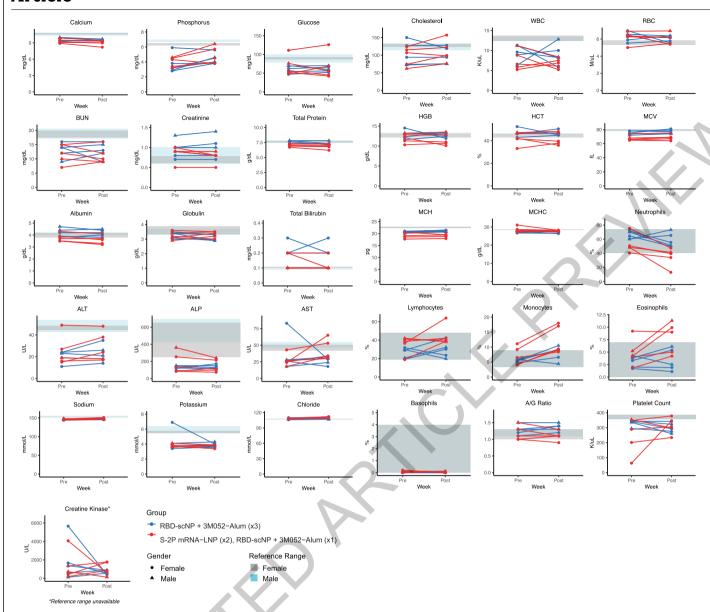
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Extended Data Fig. 1| See next page for caption.

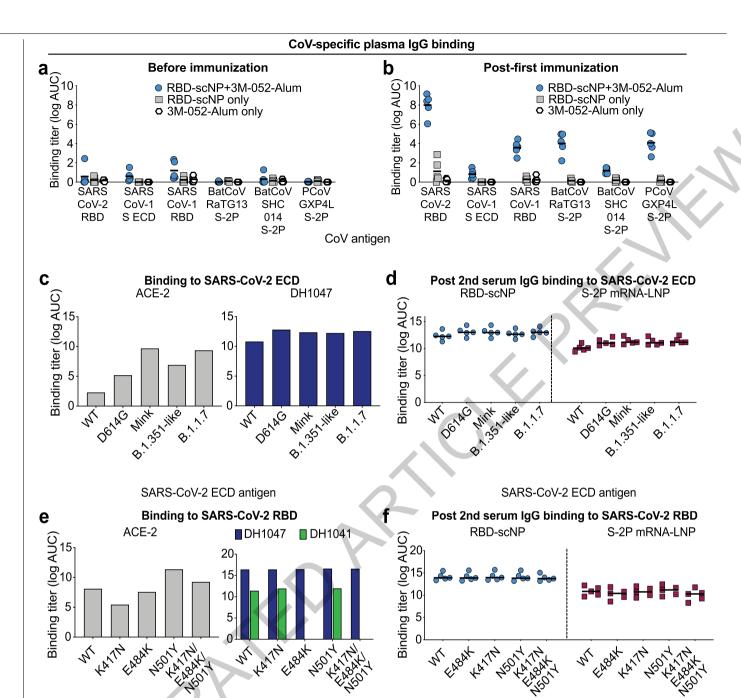
Extended Data Fig. 1 | Molecular and structural characterization of the SARS-CoV-2 RBD sortase A conjugated nanoparticle. a Size exclusion chromatography of RBD and ferritin sortase conjugation. The first peak shows conjugated protein. The second peak contains unconjugated RBD. b Analytical size exclusion trace shows a homogenous nanoparticle preparation. c Negative stain electron microscopy image of RBD-scNPs on a carbon grid. Inset shows a zoomed image of RBD-scNP. The zoomed image shows RBD molecules arrayed around the outside of the ferritin nanoparticle. A representative image from the 31 images taken of the micrograph to visualize 13,827 total particles is shown. d Chemical structure of toll-like receptor 7 and 8 agonist 3M-052. Alum formulation of 3M-052 was used to adjuvant RBD-scNP immunization. e RBD-scNP immunization regimen used for vaccination of cynomolgus macaques (N=5). Blue arrows indicate timepoints for intramuscular immunizations with

RBD-scNP (100 μ g) adjuvanted with 3M-052 (5 μ g 3M-052 plus 500 μ g Alum). Bronchoalveolar lavage (BAL, orange arrows) and nasal swab (green arrows) fluids were collected 7 days before, 2 days after, and 4 days after intratracheal/intranasal SARS-CoV-2 challenge (black arrow). **f** Transmembrane, diproline-stabilized spike (S-2P) mRNA-LNP prime, RBD-scNP boost vaccination of cynomolgus macaques (N=5). Maroon arrows indicate timepoints for S-2P mRNA-LNP immunization (50 μ g mRNA dose). Blue arrows are the same as in **a**. Macaques were challenged 9 weeks after RBD-scNP boost (week 17 of the study). BAL and nasal swab fluids were collected as in **a**. Macaques were challenged at week 17 (black arrow). **g** Monomeric RBD mRNA-LNP immunization of rhesus macaques (N=8). Tan arrows indicate timepoints for RBD mRNA-LNP immunization (50 μ g mRNA dose). Blood was collected throughout each study as shown by red arrows in all panels.



 $\label{lem:continuous} \textbf{Extended Data Fig. 2} | \textbf{Blood chemical analysis and blood cell counts in RBD-scNP and S-2P mRNA-LNP-vaccinated macaques.} Each graph shows values for individual macaques before vaccination and 4 weeks after the RBD-scNP (week 8) or 6 weeks after the second S-2P mRNA-LNP immunization (week 10). RBD-scNP-immunized macaques are shown as blue symbols, and$

 $S-2P\,mRNA-LNP\,immunized\,macaques\,are\,shown\,as\,red\,symbols.\,The\,reference\,range\,for\,each\,value\,is\,shown\,as\,gray\,shaded\,area\,for\,female\,macaques\,and\,cyan\,shaded\,area\,for\,male\,macaques.\,Creatine\,kinase\,does\,not\,have\,a\,reference\,range\,indicated.\,Males\,are\,shown\,as\,circles\,and\,females\,are\,shown\,as\,triangles.$

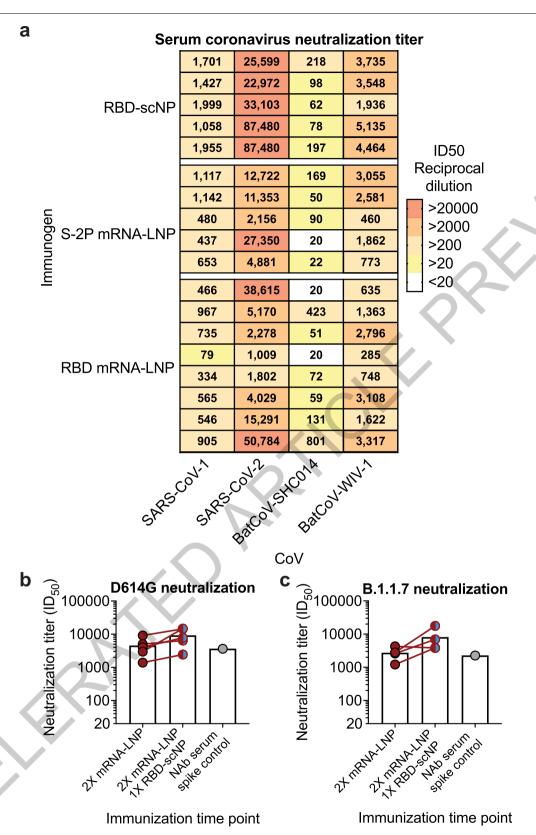


Extended Data Fig. 3 | ACF-2, RBD neutralizing antibody, and post-vaccination macaque plasma IgG binding to SARS-CoV-2 Spike variants. a,b Plasma IgG from macaques prior to immunization or after being immunized once with RBD-scNP adjuvanted with 3M-052-Alum (blue), RBD-scNP only (gray), or 3M-052-Alum only (white). Binding titers as log AUC were determined a before or b two weeks after a single immunization. Horizontal bars are the group mean. ECD, ectodomain; S-2P, diproline-stabilized spike. c ACE2 receptor and cross-nAbDH1047 ELISA binding to SARS-CoV-2 Spike ectodomain (ECD) based on a Danish mink (H69/V70del/Y453F/D614G/I692V), B.1.351-like (K417N/E484K/N501Y/D614G), and B.1.1.7 (H69/V70del/Y144del/N501Y/A570D/D614G/P681H/T716I/S982A/D1118H) strains. Titers are shown as area under the log-transformed

SARS-CoV-2 RBD antigen

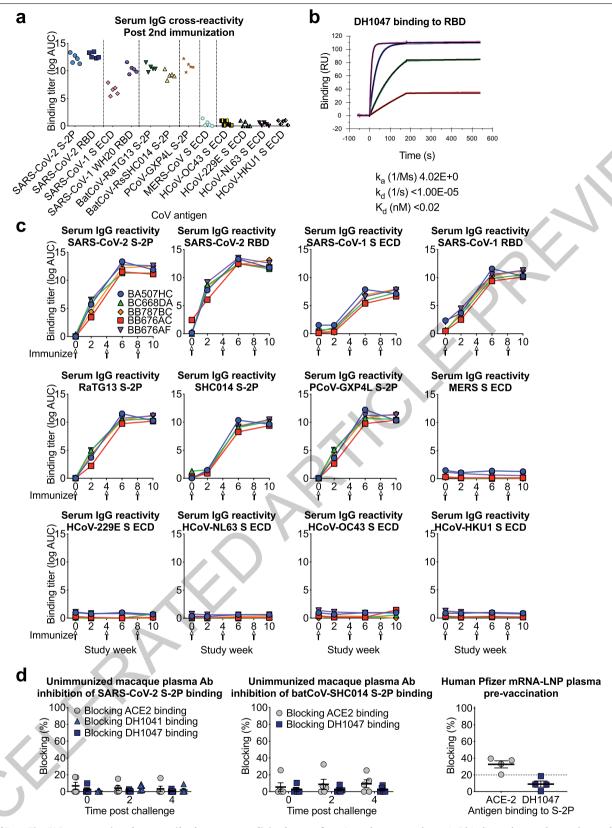
curve (log AUC). **d** RBD-scNP and S-2P mRNA-LNP-immunized macaque serum lgG ELISA binding to SARS-CoV-2 Spike variants shown in **c**. Serum was tested after two immunizations. Horizontal bars are the group mean. **e** ACE2 receptor (gray), cross-nAbDH1047 (navy), and ACE2 binding site-targeting neutralizing antibody DH1041 (green) ELISA binding to SARS-CoV-2 Spike RBD monomers. RBD variants contain a subset of mutations found in circulating B.1.351 and P.1 virus strains. Titers are shown as area under the log-transformed curve (log AUC). **f** RBD-scNP and S-2P mRNA-LNP-immunized macaque serum lgG ELISA binding to SARS-CoV-2 Spike RBD variants shown in **e**. Serum was tested after two immunizations. Horizontal bars are the group mean.

SARS-CoV-2 RBD antigen



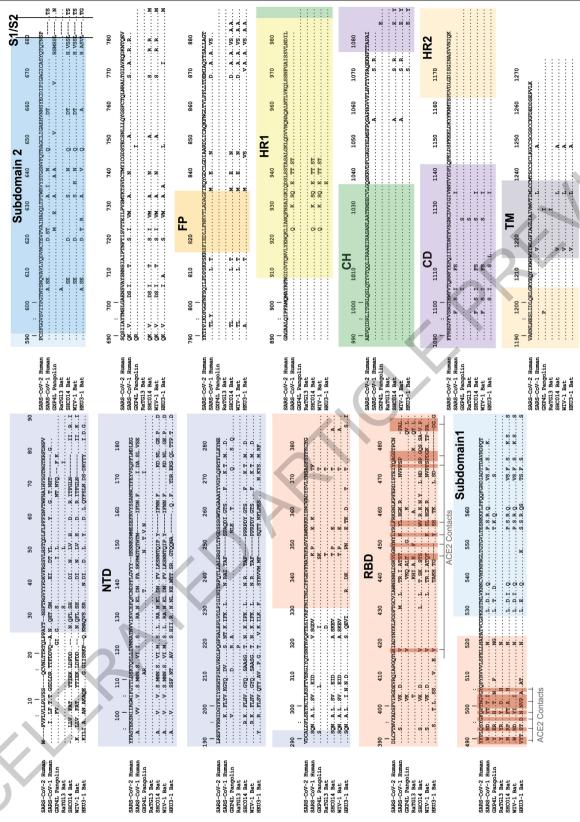
Extended Data Fig. 4 | **Cross-neutralizing antibodies are elicited by recombinant protein RBD-scNP and mRNA-LNP immunization. a** Each row shows neutralization titer for an individual macaque immunized with one of the three immunogens. A reciprocal serum dilution titer of 87,480 is the upper limit of detection and 20 is the lower limit of detection for this assay. Titers are derived from a nonlinear regression curve fit to the average of duplicate measurements. **b,c** Serum neutralization titers elicited by two S-2P mRNA-LNP immunizations were boosted by a subsequent RBD-scNP immunization. Serum

 $neutralization of a SARS-CoV-2\,D614G \, and \, b \, SARS-CoV-2\,B.1.1.7 \, pseudovirus infection of ACE2-expressing 293 \, cells. \, Neutralization titers are ID50 \, as reciprocal serum dilution for serum collected two weeks after the second (week 6) and third immunization (week 10). Each symbol connected by a line represents the titer for an individual macaque before and after RBD-scNP immunization. Normal human serum spiked with DH1043 was used as a positive control. \\$



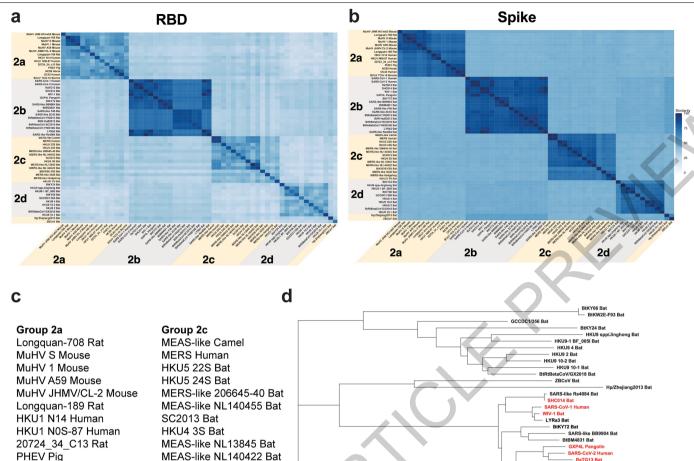
Extended Data Fig. 5 | Cross-reactive plasma antibody responses elicited by RBD-NP immunization in macaques. a Plasma IgG from macaques immunized twice with RBD-scNP binds to Spike from human, bat, and pangolin SARS-related coronavirus Spike (S) in ELISA, but not endemic human coronaviruses or MERS-CoV. ECD, ectodomain. b Determination of DH1047 antigen binding fragment (Fab) binding kinetics to RBD monomer by surface plasmon resonance. Each curve shows a different concentration of DH1047 Fab. Binding kinetics are shown to the right from a 1:1 model fit. c Time course

of vaccinated macaque plasma $\lg G$ binding to human, bat, and pangolin coronavirus S protein by ELISA. Each curve indicates the binding titer for an individual macaque. Arrows indicate immunization time points. d Unimmunized macaque plasma antibody blocking of SARS-CoV-2 S-2P (left) and batCoV-SHC014 (middle) binding to ACE2-Fc, RBD neutralizing antibody DH1041, and RBD cross-nAbDH1047. (Right) Blocking activity in the serum of humans immunized with Pfizer S-2P mRNA-LNP vaccine (N=4). Each symbol represents an individual human or macaque. Bars indicate group mean \pm s.e.m.



Extended Data Fig. 6 | Multiple Sequence Alignment of Spike Protein from a Representative Set of Group 2b Betacoronaviruses. SARS-CoV-2 Wuhan-1 spike protein numbering is shown. NTD=N-terminal domain; RBD=receptor binding domain; S1/S2=SARS2 furin cleavage site; FP=fusion peptide;

 $HR1=heptad\ repeat\ 1; HR2=heptad\ repeat\ 2; CH=central\ helix; CD=connecting\ domain; TM=transmembrane\ domain.\ ACE2\ contact\ positions\ in\ SARS2\ (calculated\ from\ PDB\ coordinates\ 6MOJ\ and\ 6LZG)\ are\ highlighted\ in\ dark\ red.$



Group 2b

NC99 Horse

OC43 Human

BCoV TCG-16 Bovine

SARS-CoV-1 Human SARS-CoV-2 Human RaTG13 Bat SHC014 Bat WIV-1 Bat **GXP4L Pangolin** BtKY72 Bat SARS-like BB9904 Bat BtBM4831 Bat SAAS-like F46 Bat SAAS-like ZC45 Bat BtRsBetaCoVIYN2013 Bat BtRI-HuB2013 Bat BtRIBetaCoV/SC2018 Bat BtRsBetaCoV/YN2018D Bat ZBCoV Bat LVRa3 Bat

MEAS-like NL140422 Bat BtKW2E-F93 Bat MERS-like 5038 Bat MEAS-like Hedgehog HKU31 F6 Bat Group 2d BtKY24 Bat HKU9 spp/Jlnghong Bat HKU9-1 BF 0051 Bat BtKY06 Bat GCCDC1I356 Bat HKU9 4 Bat HKU9 10-2 Bat HKU9 2 Bat BtRtBetaCoV/GX2018 Bat HKU910-1 Bat Ungrouped

Hp/ZheJlang2013 Bat

SARS-like As4084 Bat Extended Data Fig. 7 | Sequence conservation among SARS-related

betaCoV, MERS-CoV, and endemic human CoVs. a, b Sequence similarity of a RBD and **b** spike protein for representative betacoronaviruses. Heatmaps displaying pairwise amino acid sequence similarity for 57 representative

betacoronaviruses. Dark blue shading indicates high sequence similarity. c List of viruses used for alignments in a and Fig. 3f. d Phylogenetic tree of representative betacoronavirus RBD sequences. Group 2b betaCoVs of interest $are shown highlighted in red. \, Branch \, length \, units \, are \, substitutions \, per \, site. \,$

BtRsBetaCoV/YN2018D Bat BtRsBetaCoV/YN2013 Bat SARS-like F46 Bat BtRf-HuB2013 Bat SARS-like ZC45 Bat

HKU31 F6 E

MERS-like NL13845 Bat

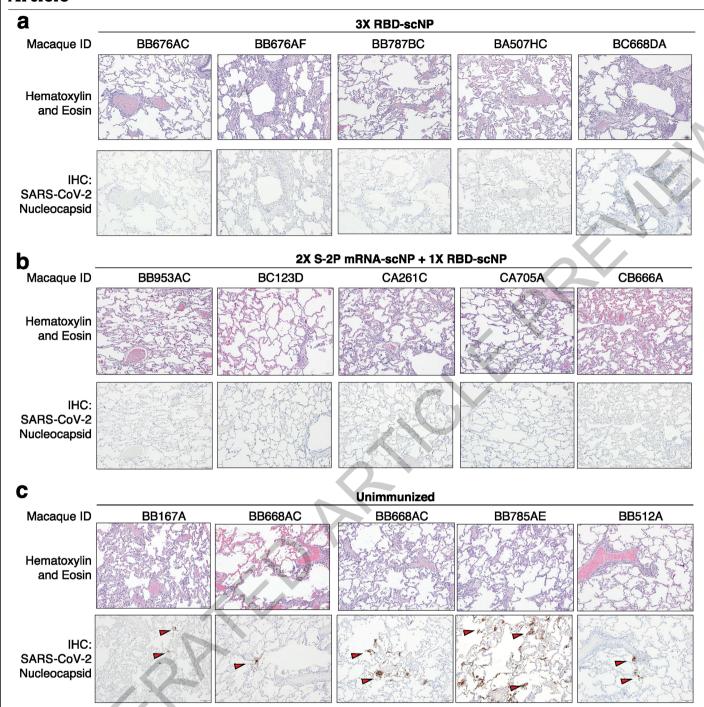
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HKU5 22S Bat

HKU5 24S Bat

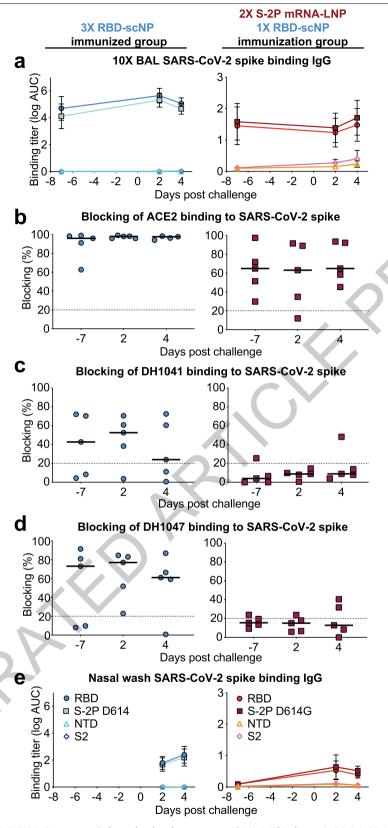
MERS-like NL140455 Bat

MERS-like 206645 SC2013 Ba



Extended Data Fig. 8 | Histology and immunohistochemistry of lung tissue collected seven days after SARS-CoV-2 WA-1 intratracheal and intranasal challenge. a-c Macaques were immunized a thrice with RBD-scNP, b twice with S-2P mRNA-LNP and once with RBD-scNP, or c unimmunized. Each column shows results from an individual macaque. The macaque identification

number is shown above each column. Hematoxylin and eosin stain of lung sections are shown on the top row, with nucleocapsid immunohistochemistry shown on the bottom row for each macaque. Red arrows indicate site of antigen positivity. All images are shown at $10 \mathrm{X}$ magnification with 100 micron scale bars shown in the bottom right corner.



Extended Data Fig. 9 | Mucosal SARS-CoV-2 IgG responses in bronchoalveolar lavage (BAL) and nasal wash fluids before and after SARS-CoV-2 challenge. a ELISA binding titers for SARS-CoV-2-specific IgG in 10X BAL fluid from macaques immunized with (blue symbols, left column) RBD-scNP three times or S-2P mRNA-LNP twice and RBD-scNP once (red symbols, left column). Day -7 BAL fluid was collected at week 10 or 16 for the RBD-scNP alone group or the S-2P mRNA-LNP/RBD-scNP group respectively. Group mean±s.e.m. are shown (N=5). b-d 10X BAL fluid blocking of ACE2, RBD neutralizing antibody DH1041, and

cross-nAbDH1047 binding to SARS-CoV-2 D614G stabilized spike ectodomain. A black horizontal bar indicates the group mean blocking percentage. Blocking above 20% (above the dashed line) is considered positive. $\bf e$ Neat nasal wash fluid from RBD-scNP-immunized or S-2P mRNA-LNP/RBD-scNP-immunized macaques. Day -7 nasal wash fluid was collected at week 16 and 2 and 4 days post challenge for the S-2P mRNA-LNP/RBD-scNP group. Nasal wash fluid was unavailable for the RBD-scNP before challenge, but was collected 2 and 4 days after the week 11 challenge. Group mean \pm s.e.m. are shown (N=5).

Extended Data Table 1 | Scoring of Hematoxylin and eosin (H&E) staining and immunohistochemistry (IHC) of macaque lung tissue collected seven days after challenge

Group	Macaque ID	Tissue	H&E (Lc; Rm; Rc)	SARS-CoV-2 N IHC (Lc; Rm; Rc)
	BB676AC	lung	+/-; +; +	-; -; -
	BB676AF	lung	++; ++; +	-; -; -
3X RBD-scNP	BB787BC	lung	++; +; +	-; -; -
	BA507HC	lung	+; +; +/-	-; -; -
	BC668DA	lung	+/-; ++; ++	-;-;-
	BB953AC	lung	+/-; +/-; +/-	-; -; -
2X S-2P mRNA- LNP + 1X RBD- scNP	BC123D	lung	+/-; -; +/-	-; -; -
	CA261C	lung	+/-; +; +	-; -; -
	CA705A	lung	+/-; -; -	-; -; -
	CB666A	lung	+/-; +; +	-; -; -
	20201C	lung	+; +; +	+/-; -; -
	BB167A	lung	++; ++; ++	+/-; -; -
Unimmunized	BB668AC	lung	++; +; +	+/-; ++; ++
	BB785AE	lung	+/-; +++; +	-; ++; ++
	BB512A	lung	+; ++; ++	+; +/-; +

a H&E (inflammation): -= minimal - absent, +/- = minimal - mild, += mild to moderate, ++ = moderate-severe, +++ = severe. b IHC (SARS-CoV-2 nucleocapsid Ag positive foci): -= no SARS-CoV-2 Ag detected, +/- = rare- occasional, + = occasional-multiple), ++ = multiple- numerous (foci often larger), +++ = numerous.



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Last updated by author(s):	Feb 23, 2021

Reporting Summary

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For	ill statistical analyses, confirm that the following items are present in the figure legend, table legend, main text, or Methods section.					
n/a	Confirmed					
	The exact sample size (n) for each experimental group/condition, given as a discrete number and unit of measurement					
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	The statistical test(s) used AND whether they are one- or two-sided Only common tests should be described solely by name; describe more complex techniques in the Methods section.					
\boxtimes	A description of all covariates tested					
	A description of any assumptions or corrections, such as tests of normality and adjustment for multiple comparisons					
	A full description of the statistical parameters including central tendency (e.g. means) or other basic estimates (e.g. regression coefficient AND variation (e.g. standard deviation) or associated estimates of uncertainty (e.g. confidence intervals)					
	For null hypothesis testing, the test statistic (e.g. <i>F</i> , <i>t</i> , <i>r</i>) with confidence intervals, effect sizes, degrees of freedom and <i>P</i> value noted <i>Give P values as exact values whenever suitable.</i>					
\boxtimes	For Bayesian analysis, information on the choice of priors and Markov chain Monte Carlo settings					
\boxtimes	For hierarchical and complex designs, identification of the appropriate level for tests and full reporting of outcomes					
\boxtimes	\square Estimates of effect sizes (e.g. Cohen's d , Pearson's r), indicating how they were calculated					
	Our web collection on <u>statistics for biologists</u> contains articles on many of the points above.					
So	tware and code					
Policy information about <u>availability of computer code</u>						
D	ta collection No unique software was used for data collection.					

Data analysis

Data were analyzed with commercially available and open-source programs as stated in the methods section. Descriptive statistics were calculated with Prism 8 and R program. Statistical tests were performed with SAS v9.4

For manuscripts utilizing custom algorithms or software that are central to the research but not yet described in published literature, software must be made available to editors/reviewers. We strongly encourage code deposition in a community repository (e.g. GitHub). See the Nature Research guidelines for submitting code & software for further information.

Data

Policy information about availability of data

All manuscripts must include a data availability statement. This statement should provide the following information, where applicable:

- Accession codes, unique identifiers, or web links for publicly available datasets
- A list of figures that have associated raw data
- A description of any restrictions on data availability

The authors declare that the data supporting the findings of this study are available within the main and supplemental figures. All data is available in the Source Data File.

Field-spe	ecific re	eporting					
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For a reference copy of t	the document with	all sections, see <u>nature.com/documents/nr-reporting-summary-flat.pdf</u>					
Life scier	nces stu	udy design					
All studies must dis	close on these	points even when the disclosure is negative.					
Sample size	We used group	os of 5 or 8 macaques.					
Data exclusions	No data were e	excluded.					
Replication	magnitude inst	tudy was repeated to confirm results. Within binding studies we tested multiple dilutions of antibodies to confirm the binding tead of relying on single data points. Neutralization assays have been validated to be reproducible and group geometric means dentify the group trend.					
Randomization	Macaques were	e distributed in groups to balance age, gender and weight whenever possible					
Blinding	Neutralization, binding, and competition assays were performed by laboratories independent from the discovery laboratory. No other data was supplied until after the assay was complete. Statistics were not calculated until the study was complete, and were done so by statisticians independent from the discovery researchers.						
Reporting for specific materials, systems and methods We require information from authors about some types of materials, experimental systems and methods used in many studies. Here, indicate whether each material, system or method listed is relevant to your study. If you are not sure if a list item applies to your research, read the appropriate section before selecting a response. Materials & experimental systems Methods							
Policy information							
Cell line source(s)	ThermoFisher and the Farzan Laboratory at Scripps					
		Each cell line is provided with a certificate of analysis. Cell identity is verified by morphology or fluorescent markers expressed.					
Mycoplasma con	tamination	All cell lines undergo mycoplasma testing every 60 days.					
Commonly miside (See <u>ICLAC</u> register)	only misidentified lines AC register) None to report.						
Animals and	other org	ganisms					
Policy information	about <u>studies i</u>	nvolving animals; ARRIVE guidelines recommended for reporting animal research					
Laboratory anima	boratory animals Macca mulatta. Males and females were used in the studies. Macaques had various ages.						

Wild animals

Field-collected samples

The study did not involve wild animals.

The study did not involve collection of samples from animals in the field.

Ethics oversight

The macaque studies were performed at Bioqual. Prior to study commencement, all procedures and materials to be used in the study were approved by the Bioqual IACUC. The Duke University Institutional Biosafety Committee approved protocols for research involving recombinant DNA.

Note that full information on the approval of the study protocol must also be provided in the manuscript.