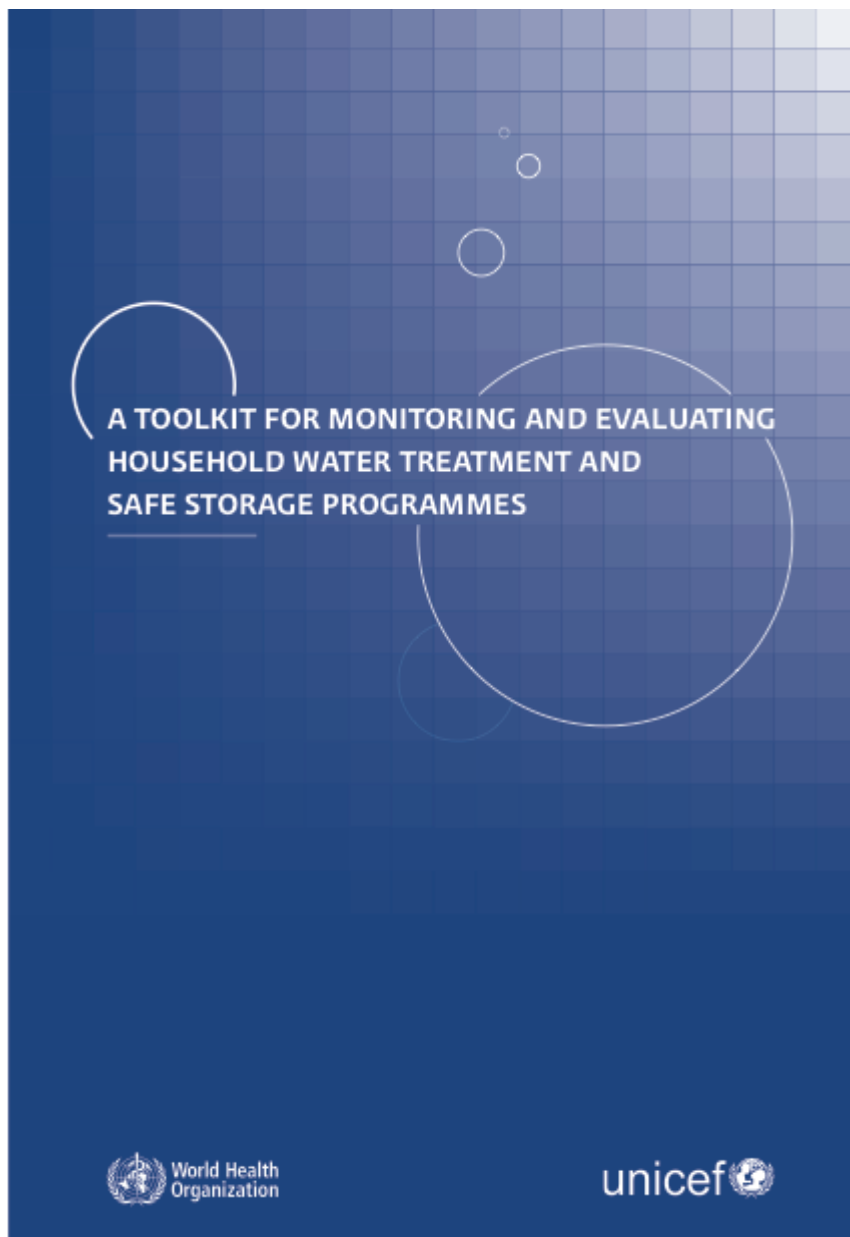


# M & E TOOLKIT



**Jennifer Bogle**

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*Household Water Treatment and Water Safety Plans  
International and Regional Landscape*



**UNC**  
WATER INSTITUTE

# AGENDA

- Purpose
- M & E Overview
- 20 Standard Indicators
- M & E Toolkit at National Scale

**Goal :** Begin discussion on how to use and promote the Toolkit

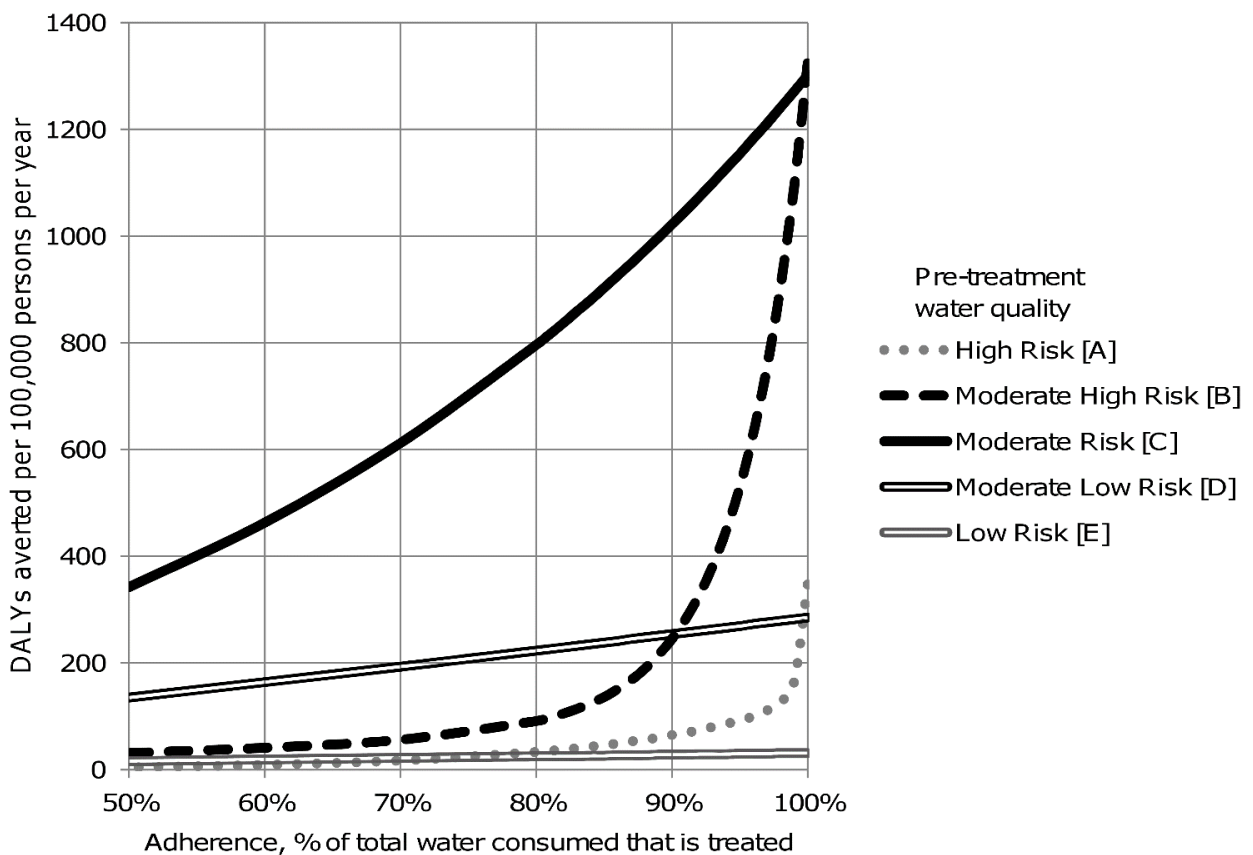
# TOOLKIT PURPOSE

- **Focus :** How to monitor and evaluate HWTS uptake as a way to develop strategies **to encourage correct and sustained use**
  - Behavioural change necessary for health benefits : HWTS improves water quality at point of use **with correct and sustained use**
  - Need to look at HWTS programmes to determine how to achieve behavioural change, not just efficacy of technology
  - M & E data valuable if used to improve programmes and inform policy and investments
- **Use :** Flexible framework to be adapted to local and national efforts
- **Scope :**
  - Focuses on programme outputs and outcomes
  - Does not address strengthening and aligning national level M & E systems but recommends M & E programme implementers understand and link to national regulations, responsible organizations, and reporting systems



# CORRECT, CONSISTENT, AND CONTINUED USE

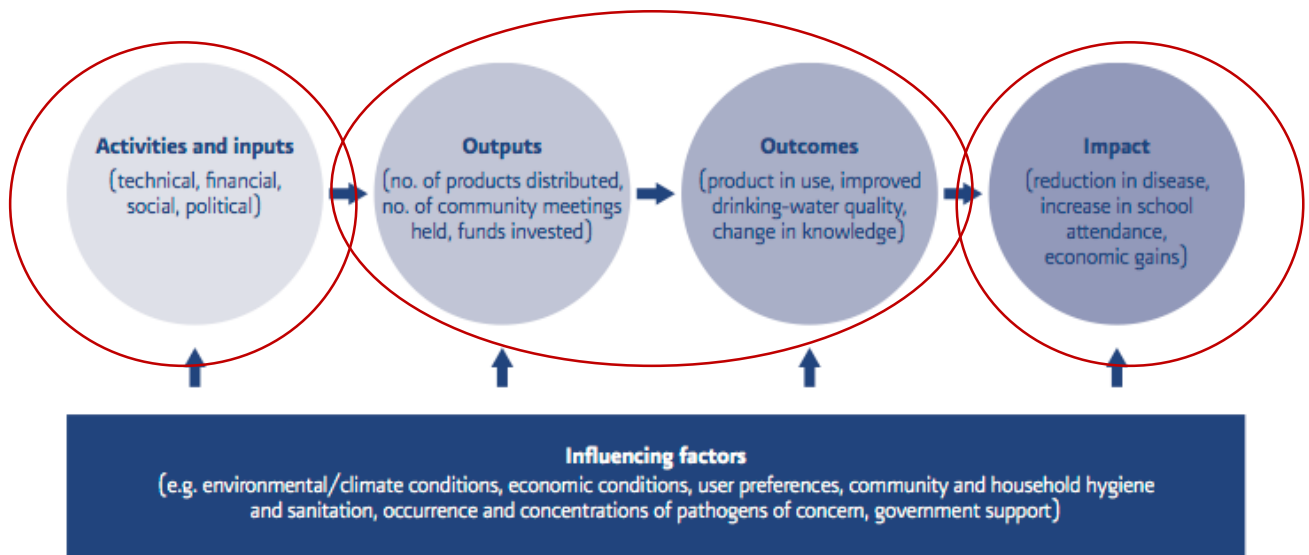
- Emphasizes **3 Cs** : **C**orrect, **C**onsistent, **C**ontinued Use



- Does not explain how to measure health benefits achieved through HWTS programmes

# COMPONENTS OF M & E

- **Monitoring** gathers information on progress toward objectives and **evaluation** assesses the extent to which activities are achieving objectives
- **Process monitoring** on programme activities and role of HWTS in meeting objectives
- **Outputs** are immediate consequences of inputs and **outcomes** are immediate consequence of outcome



- Toolkit does not cover impact but the indicators offer guidance for looking at programme outcomes

# INDICATORS

- **20 standard indicators** across 5 categories

REPORTED AND OBSERVED USE

CORRECT, CONSISTENT USE AND STORAGE

KNOWLEDGE AND BEHAVIOUR

OTHER ENVIRONMENTAL HEALTH INTERVENTIONS

WATER QUALITY

- Not a final or exhaustive list
  - Other behavioural factors : e.g. user preference
  - Economic indicators
  - Enabling environment : e.g. government support
  - Quality of programme implementation : e.g. frequency and duration of participant interaction
  - Decision tree for resource constraints

# REPORTED AND OBSERVED USE

	INDICATOR	QUESTION/REQUEST	ANSWER/OBSERVATION
1	Self-report treating drinking-water	<i>What do you usually do to the water to make it safer to drink? (more than one answer may be possible)</i>	Nothing Water is already safe Boil Bleach/chlorine Strain through cloth Filter Solar disinfection Stand and settle Other (specify) Do not know
2	Observation of drinking-water treatment method	<i>Ask to see drinking-water treatment method.</i>	Observe boiled water, fuel source Observe chlorine bottle/tablets, test FCR Observe cloth, and if it appears intact Observe filter, and if it appears intact (i.e. not broken) Observe if bottles are in house/on roof Observe settling containers or sediment Other (if other option listed) None
3	Self-report safely storing water	<i>How do you store your drinking-water? (more than one answer may be possible)</i>	Do not store water In container with no lid or cover In container with lid but no spigot/tap In container with lid and spigot In narrow-mouthed container Other (specify) Do not know
4	Observation of safely stored drinking-water	<i>Ask to see stored drinking-water. (more than one answer may be possible)</i>	Completely covered with lid Open, uncovered Narrow opening Spigot Beyond reach of animals Clean (free of dirt, debris, garbage, faecal matter, etc.) Dirty Other (specify)



# CORRECT, CONSISTENT USE AND STORAGE

	INDICATOR	QUESTION/REQUEST	ANSWER/OBSERVATION
5	Knowledge of correct use	<i>Please describe how to use this method.</i>	Dependent on method. For examples of correct use questions, refer to sample questionnaire in <b>Annex C</b> .
6	Demonstration of correct use	<i>Please show me how you use this method.</i>	Dependent on method. For examples of demonstration of correct use questions, refer to sample questionnaire in <b>Annex C</b> .
7	Demonstration of safe water extraction	<i>Please show me how you usually extract water from your container. (more than one answer may be possible)</i>	Observe whether hands touch water Observe whether utensil or tap is clean (no visible dirt and debris) Other
8	Frequency of non-use by most vulnerable	<i>How often do children and/or HIV+ in your household drink untreated water?</i>	Always, usually, sometimes, never
		<i>IF NOT ALWAYS, where do they report drinking untreated water?</i>	At neighbours'/another house, school, work, religious centre, in fields, when travelling, bar/café, other (specify)
9	Consistently treating drinking-water with HWTS	<i>Have you ever used the HWTS method? In last month? In last week? Always?</i>	Yes No
		<i>When do you not use?</i>	When there is no money, when there is no time, during the rainy season, during the dry season, never not use, other
10	Use of improved drinking-water source	<i>What is the main source of drinking-water for members of your household?</i>	Piped connection into house, piped connection into yard, public standpipes, boreholes, protected dug wells, protected springs, rainwater collection, surface water, open dug wells, unprotected springs, vendor-provided water, bottled water, tanker





# KNOWLEDGE AND BEHAVIOUR

- Intent : assess uptake and inform efforts on increasing and sustaining HWTS adoption

	INDICATOR	QUESTION/REQUEST	ANSWER/OBSERVATION
11	Knowledge of at least one proven HWTS method	<i>Can you tell me all the ways you know to make your water safer to drink?</i>	Boiling Chlorination Ceramic filter Slow-sand filter Membrane filter Solar disinfection Coagulant/flocculant Other
12	Received messaging and/or training on HWTS	<i>From what sources did you receive messaging and/or training on your HWTS method?</i>	Household visit Group training Media (radio, television, newspaper) Mobile phone text messaging From child through school Religious centre None Other
13	Access to HWTS products	<i>Do you know where to buy new parts (or replace broken parts) for your HWTS method?</i>	Yes, no, don't know
14	Personal norm for drinking treated water	<i>Do you feel a strong personal obligation to consume treated water?</i>	Yes, somewhat, no, don't know
15	Confidence in improving the quality of their drinking-water	<i>I feel confident that I can correctly improve the quality of my drinking-water.</i>	Agree, disagree, don't know
16	Community support in treating drinking-water	<i>My friends/community leaders/health-care workers encourage me to make my water safer to drink.</i>	Agree, disagree, don't know



# OTHER ENVIRONMENTAL HEALTH INTERVENTIONS

- Intent : gauge knowledge and use of other environmental health interventions
- Benefits of improved water quality are greater when HWTS is implemented with other environmental health interventions
- Malawi : hygiene kits during antenatal care led to sustained gain in water treatment and use of antenatal service

	INDICATOR	QUESTION/REQUEST	ANSWER/OBSERVATION
17	Knowledge of other environmental health interventions	<i>Besides HWTS, what are other ways that you know of to improve the health of your household?</i>	<ul style="list-style-type: none"> <li>• Insecticide-treated bednets</li> <li>• Improved sanitation</li> <li>• Wash hands at critical moments/handwashing station</li> <li>• Advanced combustion cookstoves</li> <li>• Covering open water sources to prevent vector-borne disease</li> <li>• Exclusive breastfeeding for first 6 months</li> <li>• Consuming nutritionally adequate foods</li> <li>• Hygienic handling of foods</li> </ul>
18	Use of other environmental health interventions	<i>Do you use any of these interventions?</i> <i>If yes, ask to see intervention.</i>	Yes No

# WATER QUALITY

- **Intent** : assess correct use and prioritize risk and take action
- **Possible parameters** : turbidity, free chlorine residual, *E Coli* and fecal coliforms, arsenic, and fluoride
- **Testing varies by program** : consider budget, staff capacity, logistics, seasonality of contaminants
- Integrate testing into existing home visit programmes

	INDICATOR	QUESTION/REQUEST	ANSWER/OBSERVATION
19	Households effectively using HWTS method to improve quality of household drinking-water ("effective use")	<i>Can you please provide me a cup of water as you would give to a child? If treated, also collect paired untreated sample.</i>	Test stored untreated and treated drinking-water pairs for indicator bacteria, report reduction of bacteria.
20	Households with FCR in drinking-water [only with chlorine-based methods]	<i>Can you please provide me a cup of water as you would give to a child?</i>	Test stored drinking-water for FCR, report amount or presence/absence of FCR.



# EXAMPLE OF NATIONAL SURVEILLANCE

- Drinking water surveillance in Thailand
  - Over 1000 village healthcare workers monitoring fecal coliform and chlorine residual
  - Data goes to subdistrict health centers and local administration offices
  - Results inform government investments : protect source water, improve community drinking water supplies, subsidise HWTS, or a combination
  - Minimize costs by combining testing with home health consultation on nutrition and child health



# NATIONAL CONSIDERATIONS

- Incorporate into national data collection or census
- Review M & E of HWTS implementers for compliance with Toolkit indicators and practices
  - Including reviews of behavior change communication programmes to ensure they reflect the 3 Cs
- Aggregate HWTS indicators from implementing organizations to identify trends in HWTS and distribute results
- Recommend and promote the Toolkit
  - Feature Toolkit on government website and WaSH networks
  - Translate portions into local languages
  - Support M & E workshops using the Toolkit

# CONCLUSIONS

- How can you integrate and promote the Toolkit?
- How can M & E be tailored to vulnerable groups?
- Share experiences and feedback with the Network

Download the Toolkit at

[http://www.who.int/household\\_water/en/](http://www.who.int/household_water/en/) > **latest publications**