Tenofovir/Emtricitabine Metabolites and Endogenous Nucleotide Exposures are Associated with p16\textsuperscript{INK4a} Expression in Subjects on Combination Therapy

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Abstract

\textbf{Background}—HIV may amplify immunologic, physiologic, and functional changes of aging. We determined associations of frailty phenotype, a T-cell senescence marker (p16\textsuperscript{INK4a} expression), age, and demographics with exposures of the intracellular metabolites (IM) and endogenous nucleotides (EN) of tenofovir/emtricitabine (TFV/FTC), efavirenz (EFV), atazanavir (ATV), and ritonavir (RTV).

\textbf{Materials and Methods}—Plasma and PBMC samples for drug, IM, and EN concentrations were collected at 4 time points in HIV+ adults receiving TFV/FTC with EFV or ATV/RTV. Subjects underwent frailty phenotyping and p16\textsuperscript{INK4a} expression analysis. Noncompartmental analysis generated an area under the curve (AUC) for each analyte. Spearman rank correlation and Kruskal-Wallis tests were used to assess associations between AUC, demographics, and aging markers, adjusting for multiple comparisons with the Holm procedure.

\textbf{Results}—Subjects (n=79) ranged in age from 22–73yr (median 48yr). Forty-eight were African-American, 24 were female, 54 received EFV. Three subjects (range 51–60yr) demonstrated frailty,
with 17 subjects (range 26–60yr) demonstrating pre-frailty. Negative associations were observed between p16\textsuperscript{INK4a} expression and each of FTC-triphosphate (r= −0.45), deoxyadenosine triphosphate (dATP) (r= −0.47), and deoxycytidine triphosphate (dCTP) (r= −0.57) AUCs (p-values<0.02). TFV and FTC AUCs were larger among subjects with lower renal function or higher chronologic age (p-values ≤0.05). No associations were observed for EFV, ATV, or RTV AUCs.

**Conclusions**—Associations of IM/EN exposure and p16\textsuperscript{INK4a} expression observed here suggest that senescence may alter drug phosphorylation, metabolism, or transport. This finding warrants further mechanistic study to ensure optimal treatment in the aging HIV+ population.

**Introduction**

Older HIV-infected adults (≥50 years) may experience increased morbidity/mortality due to overlapping effects of HIV infection and aging.\(^{(1, 2)}\) Optimal antiretroviral (ARV) therapy is critical to the health of this growing HIV sub-population. Despite this, little is known regarding how the physiologic and immunologic processes of aging may affect ARV pharmacokinetics. As cohort studies demonstrate, chronologic age is an imperfect marker of aging, particularly in HIV-infected subjects, \(^{(3, 4)}\) and measuring other biomarkers of aging, such as frailty and cellular senescence, should be undertaken.

Nucleoside reverse transcriptase inhibitors (NRTIs), particularly tenofovir (TFV) and emtricitabine (FTC), form the backbone of recommend ARV regimens,\(^{(5)}\) and undergo metabolism in immune cells to their active phosphorylated forms.\(^{(6)}\) These intracellular metabolites (IM) compete with endogenous nucleotides (EN) during reverse transcription. The IM:EN ratio may be important for virologic efficacy,\(^{(7, 8)}\) although little is known about the concentrations of EN in HIV-infected patients receiving ARVs, or effects of cellular senescence on IM/EN concentrations.

Here, we present the results of ARV, IM, and EN area under the curve (AUC; a measure of exposure) in HIV-infected subjects, and their associations with aging biomarkers.

**Methods**

**Clinical Study Conduct**

HIV-infected adults (≥18 years) receiving TFV/FTC 300/200mg with efavirenz 600mg (EFV) or atazanavir/ritonavir 300/100mg (ATV/r) daily for ≥two weeks were recruited from the UNC HealthCare Infectious Diseases Clinic (Chapel Hill, NC) and the Cone Health Regional Center for Infectious Diseases (Greensboro, NC). The study protocol was approved by the Institutional Review Boards of both institutions (Clinicaltrials.gov NCT01180075).

Subjects underwent eligibility screening prior to providing 4 timed blood samples (pre-dose, and 2, 4–6, and 10–14 hours post-dose). Sampling times were optimized based on a previous intensive PK study in older HIV-infected adults.\(^{(9)}\) Subjects completed the protocol in 1–3 visits, providing 1–2 blood samples per visit; subjects underwent frailty phenotyping and provided blood for p16\textsuperscript{INK4a} analysis once.
Subjects were included in the study if they: demonstrated adherence, defined as ≤1 missed dose in the last week and no missed doses in the 3 days prior to sampling; were not anemic (hemoglobin <10 mg/dL); were not receiving co-medications expected to alter ARV drug exposures by ≥20% or alter intracellular nucleotide pools; had no unstable, acute, medical conditions, and no DAIDS Grade ≥2 lab abnormalities, with the exception of total bilirubin for subjects receiving ATV/r. Subjects were included if their creatinine clearance (CrCL), as calculated by the Cockroft-Gault formula,(10) was >30 mL/min. Women of childbearing potential underwent urine pregnancy testing prior to sampling, as pregnancy was exclusionary.

Frailty phenotyping was conducted per Fried et al(11). Three positive markers of the frailty phenotype defined frailty, while 1–2 positive markers defined pre-frailty. Testing was conducted by the NC TraCS Institute Bionutrition Core.

**Analytical Methods**

Blood collected in K$_2$EDTA tubes was kept on ice and centrifuged at 3000g for 15 minutes at 4C within 30 minutes of collection to recover plasma, and stored at −80C until analysis. Total concentrations of TFV, FTC, EFV, ATV, and ritonavir (RTV) were analyzed using validated methods.(12, 13) Additional File 1 contains brief methods for unbound EFV, ATV, and RTV concentrations.

Blood collected in 8mL CPT tubes was stored at room temperature for ≤4 hours prior to centrifugation. Internal testing demonstrated that samples could undergo centrifugation at 1300g for 30 minutes, the resulting cell layer and plasma transferred to a 15mL conical tube, and stored on ice for ≤8 hours from collection without significant decreases in cell count or drug concentrations (data not shown). Samples were then processed to recover peripheral blood mononuclear cells (PBMCs) for IM and EN concentrations, as previously described. (9) Brief methods of the tenofovir diphosphate (TFV-dp), emtricitabine triphosphate (FTC-tp), deoxyadenosine triphosphate (dATP), and deoxycytidine triphosphate (dCTP) assay are provided in Additional File 1. Drug concentrations were determined in the UNC Center for AIDS Research Clinical Pharmacology and Analytical Chemistry Laboratory.

Blood collected in K$_2$EDTA tubes was used to isolate T-cells and provide cellular RNA for PCR-based determination of p16$^{INK4a}$ expression, using previously published methods.(14)

**Pharmacokinetic and Statistical Analysis**

Subjects who completed the study per protocol were included. To construct an AUC, the four samples were assumed to occur in the same dosing interval. Pre-dose samples were assigned to the end, rather than the beginning, of the dosing interval to capture exposure from 2–24 hours. Phoenix Win Nonlin 6.3 (Pharsight, A Certara Company, St. Louis, MO) was used to calculate AUC, using the linear up/log down method and oral dosing model. Exact Wilcoxon rank-sum tests were used to test the IM and EN AUCs for differences between regimens.

Associations between AUC and subject demographics, including positive frailty components, CD4:CD8 ratio and p16$^{INK4a}$ expression, were assessed using Spearman’s rank
correlation test (continuous variables) and an exact Kruskal-Wallis test (categorical variables). Because age and CrCL were significantly correlated, multiple linear regression of AUC was used to assess adjusted associations for these variables. For statistical analyses, AUC values were log$_{10}$-transformed and p16$^{INK4a}$ values were log$_2$-transformed. A two-sided p-value ≤0.05 was considered statistically significant; the Holm (stepdown Bonferroni) procedure was used to adjust for multiple comparisons (174 tests); reported p-values are the Holm-adjusted values unless otherwise noted. Analyses were conducted in SAS version 9.4 (SAS Institute, Cary, NC) or R 3.1.2 (r-project.org).

**Results**

**Subject Demographics and Safety**

Fifty-four subjects receiving TFV/FTC/EFV and twenty-five receiving TFV/FTC/ATV/r were enrolled and provided ≥1 blood sample. Subject demographics are provided in Table 1. Seventy-three of 79 subjects completed the study per protocol; the remaining 6 did not provide 4 blood samples and were excluded. Three subjects demonstrated frailty; 1 receiving TFV/FTC/ATV/r and 2 receiving TFV/FTC/EFV. No serious adverse events were reported. Concentration-time plots are provided in Additional File 2.

**Associations of Exposure with Aging Markers and Demographics**

Results of the Spearman correlation analyses are presented in Table 2. No statistically significant differences were observed for the IM/EN AUCs between regimens (unadjusted p>0.1); all association testing was done using pooled AUCs. Figure 1a–h shows scatter plots of IM/EN AUCs vs. demographics shown in Table 2.

Age and CrCL were significantly associated with FTC and TFV AUC, with the Spearman rho ($\rho$) demonstrating relationships of similar strength, but in opposite direction, i.e., a positive association between AUC and age ($\rho=0.54$, 0.49 for FTC and TFV, respectively), and a negative association between AUC and CrCL ($\rho=-0.56$, −0.57 for FTC and TFV, respectively). Age remained significantly associated with TFV AUC and FTC AUC after adjusting for CrCL, and vice versa (p<0.05).

Negative associations between p16$^{INK4a}$ and AUC were observed for FTC-tp, dATP, and dCTP ($\rho=-0.45$, −0.47, −0.57, p= 0.018, 0.007, and <0.001, respectively).

After adjustment for multiple comparisons, no significant associations between EFV, ATV, and RTV total or unbound AUCs with any of the tested demographics, including frailty and p16$^{INK4a}$ expression, were observed (not shown).

**Discussion**

In this study, an association between increasing age/decreasing CrCL and TFV/FTC exposures, and an association of higher p16$^{INK4a}$ expression and lower intracellular exposures of FTC-tp, dATP, and dCTP were observed. The association between CrCL and TFV/FTC AUC is expected (15), however, the association with chronologic age remained significant after controlling for CrCL. The negative associations between p16$^{INK4a}$...
expression and FTC-TP and ENs were unexpected, and may suggest senescence-altered cellular transport of nucleotides, and/or alterations of kinase/phosphorylase activity involved in their metabolism. Inflammation, part of the senescent-cell phenotype, may affect hepatic drug metabolizing enzymes,(16) and could affect enzyme function at other sites, such as in PBMCs.(17) The drugs themselves may alter p16INK4a expression; aging patients and/or patients with persistent immune activation may possess different cellular subpopulations within PBMC samples, affecting apparent phosphorylation. The clinical implications and mechanisms of these findings remain to be elucidated.

Several investigations have suggested that TFV/TFV-dp concentrations are increased in subjects receiving protease-inhibitors, likely due P-glycoprotein inhibition in PBMCs.(18, 19) However, we and others (20) have not observed this in our data.

Strengths of this work include measuring parent, IM, and EN concentrations for TFV/FTC, and total/unbound EFV, ATV, and RTV concentrations in 73 HIV-infected adults, along with measuring frailty and senescence markers. Limitations include the small number of frail subjects, and a moderate sample size relative to the number of hypothesis tests. Nonetheless, this work provides a basis for further investigation of intracellular pharmacology and effects of senescence on NRTI metabolism, efficacy, and toxicity.

**Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

**Acknowledgments**

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**References**


Figure 1a–h.
Scatter plots of tenofovir disphosphate (TFV-tp), emtricitabine triphosphate (FTC-tp), deoxyadenosine triphosphate (dATP), and deoxycytidine triphosphate (dCTP) area under the curve (AUC) values vs. log$_2$p16$^{INK4a}$ (log2p16) expression (a–d); TFV and FTC AUC values vs. age (e, f); and TFV and FTC AUC values vs. creatinine clearance (CrCL; g,h), by regimen. Subjects receiving TFV/FTC with efavirenz are shown in the open circles; those receiving TFV/FTC with atazanavir/ritonavir are shown in the closed circles.
Table 1

Demographic data of study subjects. Data are presented as median (min, max) or number (percent). BMI: body mass index; CrCL: creatinine clearance.

<table>
<thead>
<tr>
<th>Characteristic</th>
<th>Total (n=79)</th>
<th>Efavirenz Group (n=54)</th>
<th>Atazanavir/ritonavir Group (n=25)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age (years)</td>
<td>48 (22, 73)</td>
<td>48 (22, 73)</td>
<td>48 (24, 61)</td>
</tr>
<tr>
<td>HIV Duration (years)</td>
<td>10 (1, 31)</td>
<td>10 (1, 31)</td>
<td>11 (1, 24)</td>
</tr>
<tr>
<td>BMI (kg/m^2)</td>
<td>28.1 (17.6, 44.3)</td>
<td>27.1 (17.6, 44.3)</td>
<td>29.8 (20.2, 40.4)</td>
</tr>
<tr>
<td>CrCL (mL/min)</td>
<td>108 (43, 228)</td>
<td>109 (43, 200)</td>
<td>100 (67, 228)</td>
</tr>
<tr>
<td>Log_{2}(p16^{NK4a})</td>
<td>2.03 (~1.1, 3.91)</td>
<td>1.93 (~1.14, 2.81)</td>
<td>2.23 (0.16, 3.91)</td>
</tr>
<tr>
<td>CD4 Count (cell/mm^3)</td>
<td>732 (125, 1724)</td>
<td>736 (126, 1724)</td>
<td>692 (375, 1436)</td>
</tr>
<tr>
<td>CD4:CD8 ratio</td>
<td>0.9 (0.3, 2.8)</td>
<td>0.9 (0.3, 2.8)</td>
<td>0.9 (0.3, 1.4)</td>
</tr>
<tr>
<td>Female</td>
<td>24 (30%)</td>
<td>14 (26%)</td>
<td>10 (40%)</td>
</tr>
<tr>
<td>Race</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>African American</td>
<td>48 (61%)</td>
<td>32 (59%)</td>
<td>16 (64%)</td>
</tr>
<tr>
<td>Caucasian</td>
<td>26 (33%)</td>
<td>19 (35%)</td>
<td>7 (28%)</td>
</tr>
<tr>
<td>Other/Unknown</td>
<td>5 (6%)</td>
<td>3 (6%)</td>
<td>2 (8%)</td>
</tr>
<tr>
<td>Total Frailty Markers</td>
<td>n=75</td>
<td>n=50</td>
<td>n=25</td>
</tr>
<tr>
<td>0</td>
<td>55 (73%)</td>
<td>40 (80%)</td>
<td>15 (60%)</td>
</tr>
<tr>
<td>1–2 (pre-frail)</td>
<td>17 (23%)</td>
<td>8 (16%)</td>
<td>9 (36%)</td>
</tr>
<tr>
<td>3 (frail)</td>
<td>3 (4%)</td>
<td>2 (4%)</td>
<td>1 (4%)</td>
</tr>
</tbody>
</table>
Table 2
Spearman rho (r) and Holm adjusted p-values for associations between demographic variables and analyte area under the curve (AUC), with the number of subjects who completed per protocol. CrCL: creatinine clearance; dATP: deoxyadenosine triphosphate; dCTP: deoxycytidine triphosphate; TFV-dp: tenofovir diphosphate; FTC-tp: emtricitabine triphosphate; TFV: tenofovir; FTC: emtricitabine.

<table>
<thead>
<tr>
<th>Analyte AUC tested</th>
<th>Age r (adjusted p-value) n=73</th>
<th>Calculated CrCL r (adjusted p-value) n=73</th>
<th>Log2 p16INK4a r (adjusted p-value) n=70</th>
</tr>
</thead>
<tbody>
<tr>
<td>dATP</td>
<td>−0.09 (1)</td>
<td>−0.06 (1)</td>
<td>−0.47 (0.0066)</td>
</tr>
<tr>
<td>dCTP</td>
<td>−0.14 (1)</td>
<td>0.17 (1)</td>
<td>−0.57 (&lt;0.0001)</td>
</tr>
<tr>
<td>TFV-dp</td>
<td>0.23 (1)</td>
<td>−0.26 (1)</td>
<td>−0.33 (0.8105)</td>
</tr>
<tr>
<td>FTC-tp</td>
<td>0.02 (1)</td>
<td>−0.009 (1)</td>
<td>−0.45 (0.0179)</td>
</tr>
<tr>
<td>TFV</td>
<td>0.49 (0.0017)</td>
<td>−0.57 (&lt;0.0001)</td>
<td>0.047 (1)</td>
</tr>
<tr>
<td>FTC</td>
<td>0.54 (&lt;0.0001)</td>
<td>−0.56 (0.0001)</td>
<td>0.36 (0.4302)</td>
</tr>
</tbody>
</table>

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