

NEGATIVE AFFECT AND RESUMPTION OF DRUG USE: NEUROBIOLOGY OF
ENHANCED DYSPHORIA AND SUBSEQUENT DRUG TAKING FOLLOWING
PROLONGED ABSTINENCE FROM COCAINE

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ABSTRACT

Rachel McDonnell Haake: Negative Affect and Resumption of Drug Use: Neurobiology of Enhanced Dysphoria and Subsequent Drug Taking Following Prolonged Abstinence from Cocaine

(Under the direction of Regina M. Carelli)

Rats exhibit negative affect to a sweet tastant that predicts delayed cocaine availability, and nucleus accumbens (NAc) neurons encode this state. Here, we examined the effects of cocaine abstinence on negative affect and drug-seeking. Rats were given 14 taste-cocaine sessions followed by 1 or 30 days of abstinence and a 3-phase test: 1) tastant deliveries, 2) extinction, 3) cocaine self-administration, with NAc activity and affective responses to the taste measured on days 1, 14 and test. Results showed that 30 days of abstinence led to an enhancement of negative affect and a decline in NAc activity during tastant infusion that re-emerged during drug-seeking. Further, greater aversion to the drug-paired tastant before abstinence was associated with increased self-administration following 30-day abstinence. These findings show that drug-induced dysphoria is enhanced following prolonged cocaine abstinence, and that NAc encoding is dynamic, dampening as negative affect is enhanced, but re-engaging during drug-seeking and taking.

*To Paulina McDonnell – your influence and spirit give me the strength to persist through
life’s challenges.*

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CHAPTER 1: INTRODUCTION

Substance use disorders are characterized by cycles of uncontrollable drug-seeking, abstinence from drug use, and relapse (Kalivas and Volkow, 2005; Koob and Volkow, 2010; American Psychiatric Association, 2013). Negative reinforcement views of addiction postulate that addicts relapse, in part, due to the negative affective states (e.g., anhedonia, dysphoria, irritability) that emerge during drug withdrawal and protracted abstinence (Baker et al., 2004; Koob and Le Moal, 2005; Koob and Volkow, 2010). In abstinent cocaine users, these negative emotional states can be evoked by drug-associated stimuli (Childress et al., 1988; Robbins et al., 2000; Sinha et al., 2000; Fox et al., 2008) and predict relapse (Paliwal et al., 2008) as well as the intensity of subjective ‘high’ experienced upon cocaine administration (Uslaner et al., 1999; Newton et al., 2003). As such, understanding the neurobiological mechanisms that underlie drug-induced negative affect, particularly after extended drug abstinence, is important in preventing relapse and redirecting attention toward more adaptive reward procurement.

The Carelli laboratory utilizes a preclinical model in which a cocaine-associated taste stimulus that predicts impending but delayed cocaine availability elicits a negative affective state associated with heightened drug-seeking (Wheeler et al., 2008, 2011; Carelli and West, 2014; Green et al., 2015; Colechio et al., 2017). Here, rats receive multiple discrete intraoral infusions (~3.5 s/infusion) of a palatable saccharin solution over ~30-45 minutes followed immediately by a 2 h cocaine self-administration session. Affective responses to the drug-

paired taste stimulus are examined using the taste reactivity test (Grill and Norgren, 1978), wherein animals exhibit stereotyped oromotor responses to palatable and unpalatable taste stimuli when infused directly into the oral cavity that correspond to the hedonic valence of the stimulus. Critically, taste reactivity reflects not only innate taste preferences but also conditioned changes in affect which can be elicited by conditioned stimuli that engage other sensory modalities (Berridge, 1996; Berridge, 2000). Following repeated taste-drug pairing sessions, the sweet tastant produces a negative affective state, evidenced by a pronounced shift from appetitive to aversive taste reactivity. In contrast, when a differently flavored but equally palatable sweet taste is paired with saline, the sweet remains palatable (Wheeler et al., 2008; Colechio et al., 2017).

It has been hypothesized that the cocaine-paired taste stimulus serves as a predictor of cocaine's impending, but delayed availability and precipitates the expression of a conditioned aversive state in learned anticipation of the future opportunity to self-administer cocaine (Wheeler et al., 2008; Carelli and West, 2014). As such, a critical feature of this model is that the rat must 'wait' an extended period for drug availability, and this waiting period is highly aversive (as revealed by gapes during infusion of the previously palatable tastant). In support, aversive taste reactivity is not observed when cocaine co-occurs with or immediately follows tastant infusion (Parker, 1995; Wheeler, 2011). Further, Grigson and colleagues provided direct evidence that a drug-predictive taste cue elicits physiological withdrawal and predicts cocaine self-administration in rats (Nyland and Grigson, 2013). In humans drug users, once in this aversive state, interest in other previously rewarding items (e.g., food, social relationships, work performance) pales in comparison to their strong desire for drug and these negative feelings play critical roles in craving and relapse (Baker et

al., 2004; Koob and Le Moal, 2005; Koob and Volkow, 2010).

Critically, the learned aversion to the cocaine-paired tastant is dynamically encoded by NAc neurons, which shift from predominately inhibitory to mostly excitatory phasic responses during tastant infusions, not observed with a saline-paired tastant (Wheeler et al., 2008). This shift in phasic NAc signaling is consistent with neural responses to an unconditioned highly aversive tastant, quinine (Roitman et al., 2005, Wheeler et al., 2008; Wheeler and Carelli, 2009). Further, previous work has provided evidence that this negative affective state is ‘corrected’ by cocaine, as the behavioral and neural indices of negative affect predict subsequent motivation to self-administer drug (Wheeler et al., 2008; Colechio et al., 2014; Green et al., 2015). As such, drug seeking may reflect an effort to alleviate negative affect, particularly in a situation in which the taste cue signals that cocaine is not immediately available. This may be analogous to a human cocaine user being presented with a drug-predictive cue in the absence of the drug, a design that elicits intense craving and negative mood (Childress et al., 1988; Robbins et al., 2000; Fox et al., 2008).

Clinical findings demonstrate that the length of time away from drug use (i.e., abstinence) plays a key role in the propensity to relapse in addicts (Gawin and Kleber, 1986; Gawin, 1991; Baker et al., 2004; Koob and Le Moal, 2005; Koob and Volkow, 2010). Likewise, in animal models, the ability of cocaine-associated stimuli to promote heightened drug-seeking persistently increases as a function of abstinence duration (Grimm et al., 2001; Lu et al., 2004), a finding that has been attributed to numerous neuroadaptations in reward-related brain regions, including the NAc (Lu et al., 2004; Bossert et al., 2005; Hollander and Carelli, 2005, 2007; Pickens et al., 2011; Cameron et al., 2016; Wolf, 2016). Given these findings, the objective of the present study was to examine if negative affective responses to

a sweet taste cue associated with impending, but delayed cocaine availability would become heightened following extended (1 month) abstinence from cocaine self-administration. Further, we extended our analysis to determine if this abstinence-related negative affective state would be reflected in alterations in behavior and NAc neuronal firing dynamics during subsequent drug seeking (extinction) and resumption of drug taking behavior. Finally, since it has been shown that rats exhibit differential degrees of aversive responses when forced to wait for impending drug (Colechio et al., 2014; Colechio and Grigson 2014; Colechio et al., 2017), we also examined if cocaine seeking and self-administration following abstinence differed across rats categorized as showing low versus high aversive responses to the drug-paired tastant.

CHAPTER 2: MATERIALS AND METHODS

Subjects

Twenty-two, adult male Sprague-Dawley rats (Envigo/Harlan, Indianapolis, IN, USA) aged 60-90 days (~300-325 g) upon arrival were used. Animals were housed individually on a 12h/12 h light-dark cycle and maintained at no less than 85% of pre-experimental body weight by water restriction (~30 ml/ day) for the duration of behavioral testing except during the post - operative recovery period. Rat chow (Purina, St. Louis, MO, USA) was available *ad libitum*. All animal procedures were conducted in accordance with the National Institutes of Health Guidelines for the Care and Use of Laboratory Animals and approved by the University of North Carolina Chapel Hill Institutional Animal Care and Use Committee (IACUC).

Surgery

Rats were anesthetized with ketamine hydrochloride (100 mg/kg) and xylazine hydrochloride (10 mg/kg) and prepared for chronic indwelling catheter, intraoral cannula, and microwire electrode array implantation in the same surgery. Rats were implanted with a custom- made (Access Technologies, Skokie, IL, USA) intrajugular catheter as well as an intraoral cannula, using established procedures (Wheeler et al., 2008, 2011; Green et al., 2015). Microwire electrode arrays (8 microwires/array; 50 μ m diameter; NB Labs, Denison TX, USA) were bilaterally implanted into the NAc core (+1.7 AP, \pm 1.4 ML, -7.0 DV) or shell (+1.7 AP, \pm 0.8 ML, -7.0 DV) using established methods (Carelli et al., 2000;

West and Carelli, 2016). Animals were allowed at least 7 post-operative recovery days.

Apparatus

Behavioral sessions were conducted in 43 x 43 x 53 cm custom-made Plexiglas operant chambers housed within commercial sound-attenuating cubicles (Med. Associates, Inc., St Albans, VT, USA). Briefly, chambers contained two retractable levers (Coulbourn Instruments, Whitehall, PA, USA) with cue lights positioned 6.5 cm above each lever. A water receptacle was centered between the two levers, approximately 4 cm above the floor. A houselight and speaker were centrally located on the left wall of the chamber. Masking noise and ventilation were provided by a wall-mounted fan. A commutator (Crist Instruments, Hagerstown, MD) was mounted to the top of the chamber, and allowed for attachment of the electrophysiological recording cable, as well as insertion of the intraoral and intra venous infusion lines. Drug and intraoral saccharin delivery was provided by a computer-controlled syringe pump located outside the chamber. The chamber and its components were connected to a computer interface (Med. Associates) for real-time automated data collection.

Experimental Design

Figure 1A shows a schematic diagram of behavioral training. Rats were initially trained in daily 30 min sessions to lever press for water (3-5 days) then underwent surgery and recovery (1 week) followed by re-establishment of lever pressing (1-2 days) and habituation to intraoral (water) infusions (1 day). On subsequent training days 1-14, rats were given 45 discrete intraoral infusions of 0.15% saccharin (0.13 ml delivered over 4 s/infusion, ~1 infusion/min for 45 trials). An i.v. catheter line was then attached and a lever

was extended into the chamber with the cue light above it illuminated. Rats were then allowed to self-administer cocaine on a fixed-ratio 1 schedule of reinforcement for 2h. Here, lever depression resulted in intravenous cocaine delivery (0.33 mg/infusion, ~1 mg/kg/infusion, 6s) paired with termination of the cue light and simultaneous onset of a tone (67 dB, 1 kHz)/houselight conditioned stimulus complex (20 s).

Following 14 training sessions, rats were divided into two groups: 1 day (n=10) or 30 days (n=12) of abstinence, with rats remaining in their home cages. Rats were then tested in a single session consisting of three phases: (1) intraoral tastant infusions, (2) extinction, and (3) resumption of cocaine self-administration (Figure 1B). In Phase 1, rats received intraoral infusions of the same 0.15% saccharin solution as delivered during training. Next, the lever previously associated with cocaine delivery during self-administration was extended into the chamber, the cue light illuminated, and Phase 2 was initiated. Here, each lever press resulted in termination of the cue light and presentation of the audiovisual CS, but no drug delivery. After 2 h, Phase 3 was initiated by administration of 1-3 priming infusions of cocaine (0.33 mg/inf; 6 s) paired with the audiovisual CS (20 s). Each subsequent lever press resulted in a cocaine infusion (0.33 mg/inf; 6 s) and presentation of the audiovisual CS (20 s). The test session ended 2 h after Phase 3 initiation.

Taste Reactivity

A video camera was positioned to face a mirror below the chamber to record oromotor responses to intraoral infusions on days 1 and 14 of training and on test day. Responses were categorized as appetitive or aversive, as described previously (Grill and Norgren, 1978; Berridge, 2000; Wheeler et al., 2008, 2011; Green et al., 2015; Hurley et al.,

2017). Briefly, lateral tongue protrusions were classified as appetitive and gaping as aversive. Taste reactivity data were analyzed as the number of appetitive or aversive responses per 45 trials during each recorded session. In addition to measuring lateral tongue protrusions (appetitive) and gapes (aversive) during taste reactivity recordings, other behaviors associated with strong aversive responses, e.g., mouth dripping, face wipes, forelimb flails, head shakes, and mouth-to-floor wiping (Grill and Norgren, 1978; Berridge, 1996; Berridge, 2000; Dwyer et al., 2017) were also recorded on days 1 and 14 of training and on test day for all animals. While most animals exhibited primarily gaping behavior following repeated taste-drug sessions and abstinence, a subset of animals showed primarily other aversive responses to the drug-paired taste cue. Aversive taste reactivity in animals exhibiting a high frequency of these other aversive behaviors during the test session was analyzed separately (see Results).

Electrophysiological Recording Procedures

Electrophysiology procedures are well-established and have been described in detail previously (Carelli et al., 2000). Briefly, before the start of each session, rats were connected to a flexible recording cable attached to a commutator (Crist Instruments), which allowed virtually unrestrained movement within the chamber. Neurons were recorded differentially between each active electrode and the inactive (i.e., reference) electrode from the permanently implanted microwires. The inactive electrode was examined before the start of the session to verify the absence of neuronal spike activity and served as the differential electrode for other electrodes with neuronal spike activity. Online isolation and discrimination of neuronal activity was accomplished using a commercially available

system (multichannel acquisition processor, MAP System, Plexon, Inc., Dallas, TX, USA). Online isolation of neuronal signals was achieved based on waveform analysis using multiple-window discrimination modules and high-speed analog-to-digital signal processing provided by the MAP software system. The MAP system also included an array of digital signal processors (DSPs) for continuous neuronal spike recognition throughout behavioral sessions, which sent a continuous parallel digital output of neuronal spike events to a Pentium computer. A different computer controlled behavioral events of the experiment (Med. Associates) and sent digital outputs corresponding to each event to the MAP box to be time-stamped with the neural data. Online discrimination of individual waveforms began by setting a threshold and gain level for each of the sixteen wires. Individual waveforms corresponding to a single cell were discriminated using template analysis procedures and time-voltage boxes provided by the neurophysiological software system (MAP system, Plexon, Inc., Dallas, TX, USA). Cell recognition and sorting was finalized after the experiment using the Offline Sorter program (Plexon, Inc.). At this time, neuronal data were further assessed based on principal component analysis of the waveforms, cell firing characteristics such as auto-correlograms and interspike interval distribution to ensure that putative cells showed biologically appropriate firing characteristics, and cross-correlograms to ensure that multiple cells recorded on the same wires showed activity that was statistically independent of one another. Electrophysiological recordings were completed on days 1 and 14 of training and during all phases of the test session.

Data Analysis

Behavior: To determine whether behavior prior to abstinence differed between animals destined for the 1 and 30 day groups, the number of cocaine infusions earned during training and changes in appetitive and aversive taste reactivity from training day 1 to 14 were compared using two-way repeated measures ANOVAs. Upon confirmation of similar behavior, taste reactivity counts on day 1 vs 14 of training were collapsed across groups and analyzed with paired *t*-tests. The effects of abstinence on taste reactivity following abstinence (test, Phase 1) was examined via a two-way repeated measures ANOVA. Additionally, to further examine the effects of abstinence on taste reactivity a difference score of aversive responses was calculated; the number of gapes on day 14 was subtracted from those observed on test day, and compared with Student's *t*-tests. One-sample *t*-tests compared each group's difference score to a theoretical value of zero (reflecting no change between day 14 and test). Student's *t*-tests were used to compare lever pressing during extinction (Phase 2) and the resumption of cocaine self-administration (Phase 3) across abstinence groups (2 rats from 30 day group excluded from Phase 3 analyses due to catheter patency loss). To determine if the degree of aversion to the cocaine-paired tastant prior to abstinence was associated with behavior during Phases 2 and 3 after abstinence, each animal was categorized as having a low or high degree of aversion by median split of aversive gapes on day 14. Animals that exceeded the median number of gapes were classified as high aversive, and animals that exhibited less than the median number of gapes were considered low aversive. Two-way ANOVAs were used to compare the number of lever presses (Phase 2) and cocaine infusions (Phase 3) for low- versus high-aversive rats across abstinence groups. To examine whether degree of aversion prior to abstinence was associated with cocaine self-administration prior to abstinence, a two-way ANOVA was used to compare

number of cocaine infusions on day 14 for low versus high-aversive rats across abstinence groups.

Electrophysiology: Changes in neural firing patterns relative to task events were analyzed by constructing peri-event histograms (PEHs) and raster displays (bin width 100 ms) surrounding each event (intraoral saccharin infusions and lever presses) using commercially available software (Neuroexplorer for Windows version 4.034; Plexon, Inc.). The responsiveness of each cell was examined during three epochs: (i) intraoral saccharin infusion (0-5 sec after infusion onset), (ii) prior to (-2.5 to 0 s before response), or (iii) following (0-2.5 s after response completion) lever pressing for cocaine during extinction or self-administration as previously described (Cameron and Carelli, 2012; West et al., 2014). Specifically, cells were classified as phasic if during one of these epochs the firing rate was greater than (excitation) or less than (inhibition) the 99% confidence interval projected from the baseline period (10 to 0 s before tastant infusion or 10 to -2.5 s before lever press for cocaine) for at least two consecutive 100-ms time bins. Some neurons in this analysis exhibited low baseline firing rates, and the 99% confidence interval included zero. Responses of these cells were classified as inhibitions if the number of consecutive bins with zero spikes in the event epoch was at least double the number occurring during the baseline period (Day et al., 2011; West and Carelli, 2016). Units that exhibited both excitations and inhibitions within the same epoch were classified by the response that was most proximal to the event onset.

During intraoral saccharin infusions, NAc neurons were classified as exhibiting a phasic excitation or inhibition in firing rate, or no change in activity (termed nonphasic) during saccharin delivery, consistent with our prior reports (Roitman et al., 2005, 2010;

Wheeler et al., 2008). During extinction (Phase 2 of test), neurons were classified as showing a phasic excitation or inhibition in firing rate, or no change (nonphasic) relative to lever press for CS, as described previously (West et al., 2014). During cocaine self-administration, NAc activity was classified into one of four well-defined types of phasic neuronal firing patterns that occurred within seconds of the reinforced response, using established protocols (Carelli and Deadwyler, 1994; Carelli et al., 2000; Hollander and Carelli, 2005; Cameron and Carelli, 2012). Cells were classified as type pre-response (PR) if they showed an anticipatory excitation preceding the reinforced response, as type reinforcement-excitation (RFe) or reinforcement-inhibition (RFi) if they exhibited excitations or inhibitions, respectively, following response completion, or as type PR+RF if they showed a dual excitation with the first peak in firing rate occurring before the lever press response, and the second immediately following response completion, with a marked inhibition between the two peaks (Carelli and Wightman, 2004). Chi square analyses were used to compare the total number of cells and the proportion of phasic versus nonphasic cells recorded in the core and shell on days 1 and 14 of training and the test session for each group.

The shift in the population response of NAc neurons to tastant infusion from training day 1 to 14 was determined by calculating the number of cells excited versus inhibited during tastant infusions on each day (collapsed across groups) and compared with Fisher's exact test. To determine the effects of abstinence on neural encoding of tastant infusions in Phase 1, the percentages of phasic cells were determined for each animal on training day 14 and test day and examined with a two-way repeated measures ANOVA. Further, Student's *t*-test was used to compare difference scores in mean percent phasic cells (test day minus day 14) during tastant infusions for 1 vs 30 day groups and one-sample *t*-tests compared each

group's difference score to a theoretical value of zero (reflecting no change between day 14 and test day). Student's *t*-tests were also used to compare mean percent phasic cells during extinction (Phase 2) and self- administration (Phase 3) for 1 versus 30 day groups. Paired *t*-tests were used to compare mean percent phasic cells during each phase of the test session for 1 and 30 day abstinent animals. Animals with <2 cells recorded during any session (1 per group), as well as animals with less than 5 lever presses under extinction (1, 1 day) or that lost catheter patency (2, 30 day) were excluded from electrophysiology analyses.

Statistical analyses were performed using GraphPad Prism 6 (GraphPad Software, Inc., La Jolla, CA, USA). Post hoc analyses (Sidak's Multiple Comparisons) were used when appropriate.

Histology

Histological reconstruction of electrode positions was accomplished using established procedures (Hollander & Carelli, 2005; Wheeler et al., 2008; West and Carelli, 2016). Following experiments, rats were deeply anesthetized with ketamine hydrochloride (100mg/kg) and xylazine hydrochloride (10mg/kg), and electrode tips were marked by passing current (13.5- μ A, 5 s) through each electrode. Rats were then euthanized, and their brains removed and post-fixed with 20% sucrose, 3% potassium ferrocyanide, and 10% formalin to reveal a blue dot reaction product corresponding to the location of the marked electrode tip. Following post-fixing and freezing, brains were sliced into 40 μ m sections through the forebrain. Sections were then mounted on slides and examined under a light microscope. Placement of electrode tips were determined by examining the relative position of observable reaction product to visual landmarks and anatomical organization of the NAc

represented in a stereotaxic atlas (Paxinos and Watson, 2005).

CHAPTER 3: RESULTS

Behavioral profile before abstinence

Rats destined for the 1 day versus 30 day groups did not significantly differ in the total number of cocaine infusions earned during 14 days of taste-drug sessions, prior to abstinence (1 day group, 311.7 ± 15.29 ; 30 day group, 303.5 ± 17.69 ; $t_{20} = 0.34$, $p > 0.10$; Figure 2A). Further, a two-way repeated-measures ANOVA of infusions earned per 2 h session with session (day 1 through 14) as the within-subject factor and group (1 day vs. 30 days of abstinence) as the between-subjects factor revealed a main effect of session ($F_{13,260} = 8.88$, $p < 0.0001$) but no main effect of group ($F_{1,20} = 0.11$, $p > 0.10$), nor an interaction ($F_{13,260} = 0.51$, $p > 0.10$; Figure 2B). Thus, any differences observed between groups following cocaine abstinence were not attributable to differences in initial self-administration behavior.

Likewise, there were no significant differences in taste reactivity between animals destined for 1 day and 30 day abstinence groups on the first and final days of taste-drug pairings prior to abstinence (Figure 3). A two-way repeated-measures ANOVA of appetitive taste reactivity (Figure 3A) with session (day 1 vs 14) as the within-subject factor and abstinence condition (1 day vs 30 days) as the between subjects factor revealed a main effect of session ($F_{1,20} = 14.12$, $p < 0.01$) but no main effect of group ($F_{1,20} = 0.26$, $p > 0.10$), nor an interaction ($F_{1,20} = 0.57$, $p > 0.10$). Similarly, a two-way repeated-measures ANOVA of aversive gapes (Figure 3B) revealed a main effect of session ($F_{1,20} = 61.37$, $p < 0.0001$), but no main effect of group ($F_{1,20} = 0.004$, $p > 0.10$), nor an interaction ($F_{1,20} = 0.002$, $p > 0.10$).

Distribution of neuronal recordings in the NAc core and shell

Figure 4 shows the histological distribution of electrode placements in the NAc as a function of group (22 rats total; 1 day = 10 rats; 30 day = 12 rats for each session). On day 1, a total of 148 cells were recorded in the NAc core or shell; 66 neurons in rats destined for the 1 day group and 82 cells in animals destined for the 30 day group. On training day 14, a total of 137 cells were recorded; 64 cells in rats destined for the 1 day group and 73 neurons in rats destined for the 30 day group. On test day following abstinence, a total of 123 cells were recorded; 65 neurons from 1 day abstinent rats and 58 from 30 day abstinent animals. The total number of cells recorded did not significantly differ across sessions for either group ($\chi^2=1.93$, $p>0.10$).

We also examined if differences were observed in core versus shell recordings across groups and sessions. There were no significant differences in the proportion of phasic (versus nonphasic) cells in the core versus shell during the tastant infusion before abstinence in rats destined for the 1 day (training day 1: $\chi^2=0.68$, $p>0.10$; training day 14: $\chi^2=0.64$, $p>0.10$) or 30 day (training day 1: $\chi^2=0.27$, $p>0.10$; training day 14: $\chi^2=0.91$, $p>0.10$) groups. Likewise, there were no significant differences in the proportion of phasic vs nonphasic cells in the core versus shell during self-administration before abstinence in rats destined for the 1 day (training day 1: $\chi^2=0.22$, $p>0.10$; training day 14: $\chi^2=0.07$, $p>0.10$) or 30 day (training day 1: $\chi^2=2.64$, $p>0.10$; training day 14: $\chi^2=0.72$, $p>0.10$) groups. Similar results were obtained following abstinence during Phase 1 (1 day group: $\chi^2=0.08$, $p>0.10$; 30 day group: $\chi^2=0.03$, $p>0.10$), Phase 2 (1 day group: $\chi^2=0.05$, $p>0.10$; 30 day group: $\chi^2=0.31$, $p>0.10$), and Phase 3 of test (1 day group: $\chi^2=0.12$, $p>0.10$; 30 day group:

$\chi^2=0.03$, $p>0.10$). As such, all electrophysiological recordings were collapsed across regions.

Taste cues that predict impending but delayed cocaine are devalued and elicit a negative affective state encoded by NAc neurons (before abstinence)

Following 14 taste-drug sessions, responses to intraoral saccharin infusions shifted from primarily appetitive to mostly aversive behaviors (Figure 5A), consistent with prior reports (Wheeler et al., 2008, 2011; Green et al., 2015; Hurley et al., 2017). On day 1 of taste-drug pairings, rats exhibited classic appetitive taste reactivity during intraoral saccharin infusion with minimal aversive responses. However, following repeated taste-drug pairings, pronounced aversive taste reactivity emerged (Figure 5A, right). Paired t -tests revealed a significant decrease in appetitive taste reactivity ($t_{21}=3.88$; $p<0.001$), as well as a significant increase in aversive taste reactivity ($t_{21}=8.06$; $p<0.0001$) from day 1 to day 14 of taste-drug pairing sessions.

The emergence of this negative affective state was reflected in NAc cell firing dynamics. NAc neurons were classified as exhibiting phasic inhibitions or excitations in cell firing during tastant infusion as previously described (Roitman et al., 2005, 2010; Wheeler et al., 2008), and illustrated in the peri-event histograms (PEHs) in Figure 5B. The proportion of phasic inhibitions versus excitations during tastant infusions did not significantly differ on day 1 or 14 of training between animals destined for the 1 and 30 day abstinence groups (Fisher's exact test, p 's >0.10); as such, NAc responses to tastant infusion before abstinence were collapsed across groups. Critically, as shown in Figure 5C, the population neural response shifted from primarily inhibitions during intraoral infusions of the sweet on day 1, to mostly excitatory firing following repeated taste-drug pairings on day 14 (Fisher's exact

test, $p < 0.01$). These findings demonstrate that an initially palatable saccharin solution that predicts impending, but delayed cocaine becomes devalued and elicits an aversive state that is dynamically encoded by NAc neurons, consistent with our prior reports (Wheeler et al., 2008, 2011; Green et al., 2015; Hurley et al., 2017).

Enhancement of negative affect and dampening of NAc neural signaling following one month cocaine abstinence

Following abstinence, rats were returned to the chamber and underwent a single test session completed in 3 phases. During Phase 1, NAc cell firing and taste reactivity were recorded while rats were given 45 discrete intraoral infusions of saccharin, identical to procedures utilized during training. We examined whether aversive taste reactivity that emerged after 14 days of taste-drug pairings was exacerbated by prolonged abstinence. Figure 6A shows the number of gapes on day 14 and during Phase 1 of the test session for 1 and 30 day abstinence groups. A two-way repeated measures ANOVA revealed no main effect of group ($F_{1,16}=0.57, p > 0.10$), but a main effect of session ($F_{1,16}=24.34, p < 0.001$) and a significant group by session interaction ($F_{1,16}=10.38, p < 0.01$). Post hoc analyses revealed a significant increase in aversive gapes from day 14 to test day for the 30 day group only ($t_{16}=5.77, p < 0.0001$). Figure 6B shows the difference scores in aversive taste reactivity between day 14 of training prior to abstinence and test day after abstinence for each group. The increase in aversive taste reactivity was significantly greater in the 30 day compared to 1 day abstinence group ($t_{16}=3.22; p < 0.01$). Critically, this increase in aversive gapes was only observed following prolonged abstinence as evidenced by a difference score significantly greater than zero in the 30 day ($t_8=4.50; p < 0.01$), but not 1 day group ($t_8=2.03; p > 0.05$). These findings indicate that the negative affective state that emerges following

repeated taste-drug pairings is significantly heightened following prolonged (30 day) cocaine abstinence.

Importantly, this behavioral enhancement of negative affect in Phase 1 was reflected in a dampening of NAc patterned neural activity during intraoral infusions. Here, we calculated the mean percentage of neurons that exhibited phasic firing patterns (i.e., inhibitions and/or excitations) during intraoral infusions on training day 14 (before abstinence) and test day after abstinence across abstinence groups. Figure 7A shows that there was a significant and pronounced *decrease* in the mean percentage of cells that exhibited phasic activity after 30 days of abstinence compared to the 1-day group. A two-way repeated measures ANOVA revealed no main effect of group ($F_{1,18}=0.69$, $p>0.10$), but a main effect of session ($F_{1,18}=15.54$, $p<0.01$) and a significant group by session interaction ($F_{1,18}=7.30$, $p<0.05$). Post-hoc analyses revealed a significant decrease in mean percent phasic from day 14 to test day for the 30 day group only ($t_{18}=4.95$, $p<0.001$). Figure 7B shows the significant difference in mean percent phasic difference scores between day 14 prior to abstinence and test day after abstinence across groups ($t_{18}=2.70$, $p<0.05$). This change in mean percent phasic from day 14 of training to test day was significantly different than zero for the 30 day ($t_{10}=5.39$, $p<0.001$), but not the 1 day ($t_8=0.77$, $p>0.10$) group. Importantly, this reduction in phasic activity following prolonged abstinence did not result from a significant decrease in the total number of cells recorded across sessions for each group ($\chi^2=1.93$, $p>0.10$). Interestingly, the few cells that exhibited phasic activity in the 30 day group (6 of 57 total cells) were all classified as excitations. In contrast, cells showing phasic activity following 1 day of abstinence (18 of 65 total cells) included both excitations

(n=13 cells) and inhibitions (n=5 cells). These findings reveal that prolonged cocaine abstinence exacerbated negative affective responses to the drug-paired tastant and dramatically reduced NAc encoding of the devalued sweet, with the few remaining phasic cells showing responses classically associated with aversion.

Behavioral and neural profiles of animals exhibiting high frequencies of other aversive behaviors following abstinence

During the test session, a subset of animals (1 day, n=1; 30 day, n=3) exhibited a high frequency of other aversive behaviors (e.g., mouth dripping, face wipes, forelimb flails, head shakes, and mouth-to-floor wiping) associated with strong aversive responses (Grill and Norgren, 1978; Dwyer et al., 2017) in addition to gaping. The one 1 day animal exhibited both a high degree of gaping and a high frequency of other aversive responses (157 gapes, 28 trials with other aversive behaviors) during the test session. In contrast, the three animals in the 30 day group discontinued gaping responses during the test session and shifted aversive responses almost exclusively toward other aversive behaviors (animal 1: 17 gapes, 37 trials with aversive behaviors; animal 2: 47 gapes and 27 trials with other aversive behaviors; animal 2: 20 gapes and 43 trials with other aversive behaviors). Additionally, while the 1 day animal maintained a moderate percentage of phasic cells responding to intraoral saccharin (5 of 9 total cells), the 30 day rats exhibiting a high frequency of other aversive behaviors showed no phasic activity to tastant infusion on test day, indicative of a strong aversion to the sweet following 30 days of abstinence in these animals. Collectively, these findings demonstrate that in the subset of animals with distinctive, yet highly aversive responses to the devalued sweet, prolonged (30 day, but not 1 day) drug abstinence led to enhanced aversive behavioral responses and a complete lack of phasic NAc activity relative

to tastant delivery.

Cocaine seeking and taking behavior and its encoding by NAc neurons following tastant infusions are not altered by one month cocaine abstinence

Following intraoral infusions on test day, the lever was extended into the chamber and each press resulted in CS presentation only ('extinction', Phase 2). Lever press responding during extinction did not significantly differ between abstinence groups ($t_{20}=1.01$, $p>0.10$). To examine whether neuronal encoding of cocaine seeking was altered following 30 days of abstinence, the mean percent of cells showing phasic excitations or inhibitions relative to lever presses during extinction was calculated, as described previously (West et al., 2014). Of the 117 cells recorded for the 1 and 30 day groups in Phase 2, 46 neurons (39%) exhibited phasic changes (increases or decreases) in firing rate relative to the press. While the percentage of phasically active cells dramatically increased for the 30 day group (but not the 1 day group) from Phase 1 (6.44% phasic) to Phase 2 (41.86% phasic; $t_{11}=3.20$, $p<0.01$), neuronal encoding of cocaine seeking was not significantly different between the 1 and 30 day groups ($t_{18}=0.33$, $p>0.10$).

In Phase 3 of the test, each lever press again resulted in cocaine infusion paired with the CS. The total number of cocaine infusions earned did not significantly differ between groups ($t_{18}=0.24$, $p>0.10$), consistent with prior reports (Hollander and Carelli, 2005, 2007; Cameron and Carelli, 2012; West et al., 2014). Next, we examined if NAc activity during cocaine self-administration differed between abstinence groups. Of the 106 cells recorded for the 1 and 30 day groups in Phase 3, 32 neurons (30%) exhibited one of four types of patterned discharges relative to the lever press for intravenous cocaine, as described previously (Carelli, 2000; Hollander & Carelli, 2005, 2007). While the mean percent phasic

cells for the 30 day group remained elevated in Phase 3 ($t_{11}=1.71$, $p>0.10$ for mean % phasic in Phase 2 compared to Phase 3), the mean percent of phasic cells did not significantly differ between 1 and 30 day groups ($t_{17}=0.96$, $p>0.10$). In sum, although drug seeking and its encoding by NAc neurons were not altered by 1 month cocaine abstinence, phasic NAc activity that was significantly dampened during Phase 1 following prolonged abstinence ‘re-emerged’ during Phases 2 and 3 as animals engaged in drug seeking and taking.

Enhanced negative affect was associated with greater cocaine self-administration in high (not low) aversive rats after one month abstinence

To determine whether the degree of negative affect before abstinence (day 14) was associated with drug seeking or self-administration following abstinence, lever presses under extinction (Phase 2) and cocaine infusions earned (Phase 3) were compared across animals classified as low versus high aversive for 1 versus 30 day abstinence groups. As shown in Figure 8A, no differences were observed in lever press responding during Phase 2 across low versus high aversive animals. Here, a two-way ANOVA revealed no main effect of abstinence group ($F_{1,18}=0.98$, $p>0.10$), degree (low versus high) of aversion ($F_{1,18}=0.44$, $p>0.10$), nor a group by degree of aversion interaction ($F_{1,18}=0.44$, $p>0.10$). However, the degree of aversion exhibited during tastant infusions on day 14 prior to abstinence was associated with the amount of cocaine consumed in Phase 3 after abstinence for the 30 day, but not 1 day, group (Figure 8B). Specifically, a two-way ANOVA revealed no main effect of group ($F_{1,16}=0.12$, $p>0.10$), but a main effect of degree of aversion ($F_{1,16}=11.47$, $p<0.01$) and a significant group by degree of aversion interaction ($F_{1,16}=10.82$, $p<0.01$). Post hoc analyses revealed that high aversive animals earned significantly more cocaine infusions compared to their low aversive counterparts for 30 day rats only ($t_{16}=4.72$, $p<0.001$).

Importantly, there were no significant differences in amount of drug consumed on day 14 between the low versus high aversive groups. Specifically, a two-way ANOVA revealed no main effect of group ($F_{1,18}=0.23$, $p>0.10$), degree of aversion ($F_{1,18}=2.45$, $p>0.10$), nor an interaction ($F_{1,18}=3.08$, $p>0.05$) on self-administration behavior. Collectively, these findings suggest that stronger negative affect in our model before abstinence was associated with greater resumption of cocaine taking following prolonged (30 day, but not 1 day) abstinence.

CHAPTER 4: DISCUSSION

Negative reinforcement theories of addiction advocate that addicts relapse, in part, to alleviate dysphoria associated with withdrawal and prolonged abstinence (Baker et al., 2004; Koob and Le Moal, 2005; Koob and Volkow, 2010). In a preclinical model utilized by our laboratory, we have previously shown that rats exhibit pronounced aversive responses to intraoral delivery of a normally rewarding tastant when it predicts impending but delayed cocaine, and this negative affective state is encoded by NAc neurons (Wheeler et al., 2008; 2011; Green et al., 2015; Hurley et al., 2017). Here, we show that following one month of cocaine abstinence this negative affective state is exacerbated. Remarkably, this enhanced negative affective state was accompanied by a dramatic decline in NAc neural processing of the devalued sweet that re-emerged when animals were able to work for drug during Phases 2 and 3. Most interestingly, when drug was again readily available (self-administration, Phase 3), greater aversion to the drug-paired tastant before abstinence (day 14) was associated with increased self-administration following prolonged (30 day) abstinence. Collectively, these findings show that the dysphoric state that emerges in this preclinical model may serve as a powerful indicator of propensity to resume drug taking following drug removal, and is exacerbated following extended cocaine abstinence. Further, NAc neural signaling is dynamic during this time, dampening when negative affect is at its highest (Phase 1), but transitioning back ‘online’ during subsequent drug seeking and taking (Phases 2 & 3).

It has been proposed that the devaluation of a drug-paired sweet reflects its direct comparison to the more reinforcing cocaine (Grigson and Twining, 2002; Nyland and Grigson, 2013; Colechio and Grigson, 2014). The present study supports this view by showing an increase in aversive behavioral responses to the drug-paired tastant following repeated taste-drug pairings prior to abstinence, and its pronounced enhancement following extended abstinence. Importantly, of the few cells that exhibited phasic activity during tastant infusions following 30 days of abstinence, all showed responses consistent with a neural representation of aversion (Roitman et al., 2005, 2010; Wheeler et al., 2008; Wheeler and Carelli, 2009). Further, we also show here that rats could be divided into low versus high aversive, and that the degree of aversion was associated with subsequent cocaine self-administration, also consistent with work by Grigson and colleagues (Colechio et al., 2014, Colechio and Grigson, 2014; Colechio et al., 2017). These findings support the view that withdrawal/extended abstinence leads to a robust devaluation of nondrug rewards, especially when subjects are in a drug-waiting state.

Here, prolonged cocaine abstinence elicited enhanced aversive taste reactivity, with a simultaneous dramatic decline in NAc encoding of the sweet. This dampening of NAc signaling may indicate that when rats are in a strong dysphoric state, the NAc transitions offline and other neural regions that are strongly linked to negative affect become engaged. This concept is consistent with other reports showing that anhedonia, such as that experienced by human addicts undergoing withdrawal/abstinence, is associated with weak ventral striatal activity (Der -Avakian and Markou, 2012). Indeed, it has been proposed that ‘anti-reward’ regions such as the extended amygdala (e.g., BNST; Koob and Volkow, 2010) and/or the rostromedial tegmental nucleus and lateral habenula (Jhou et al., 2009; Barrot et

al., 2012; Baker et al., 2016) may be recruited to process this aversive state. Future studies are needed to explore this possibility.

Numerous studies have shown that the ability of cocaine-associated stimuli to elicit intense drug craving and seeking increases (i.e., ‘incubates’) as a function of abstinence duration (Gawin, 1991; Grimm et al., 2001; Lu et al., 2004; Pickens et al., 2011; Wolf, 2016). Although we have reported similar findings (Hollander and Carelli, 2005, 2007; West et al., 2014; Cameron et al., 2016), heightened extinction responding in our 30 day abstinent group was not observed here, even in high aversive rats. This lack of incubation effect is likely related to specifics of our task, and the severe dysphoric state elicited by it. Specifically, the present study incorporated taste-drug sessions that imposed a prolonged delayed onset of extinction testing (i.e., after 35-45 minutes in Phase 1). As such, it is possible that this drug-waiting period on top of the negative emotional state brought on by extended abstinence, precipitated the onset of a highly dysphoric, conditioned withdrawal state (e.g., Nyland and Grigson, 2013) that was insensitive to incubation effects. Regardless, the overall dampened NAc activity observed in Phase 1 was reversed in Phase 2, suggesting that once rats began to work for drug again in extinction, the NAc re-engages.

Interestingly, greater negative affect before abstinence was associated with significantly increased cocaine self-administration following prolonged abstinence, particularly in high aversive rats. Further, enhanced phasic cell firing (compared to Phase 1) was maintained as animals transitioned from cocaine seeking under extinction conditions (Phase 2) to cocaine self-administration (Phase 3). These findings parallel clinical studies showing that robust negative affective states that emerge during abstinence are associated with increased drug craving, attrition from treatment programs, and greater cocaine use

reported at follow-up examinations (Brown et al., 1998; Kosten et al., 1987; Paliwal et al., 2008). Additionally, ratings of negative emotional states in abstinent cocaine users predict both relapse and the intensity of subjective high experienced upon administration of cocaine (Newton et al., 2003; Uslaner et al., 1999). Our findings are consistent with these reports and suggest that drug-induced dysphoria following repeated taste-drug sessions represents an indicator of propensity to resume drug taking following extended abstinence.

In conclusion, the present findings revealed a prolonged (30 day) abstinence-induced enhancement of negative affect. Importantly, NAc neural signaling is highly dynamic during this period, exhibiting dampened neural encoding while in this dysphoric state, but a re-emergence of overall activity as this state is corrected by subsequent drug access. Future investigations will explore the larger neural circuitry underlying the role of negative reinforcement in addiction using this preclinical model.

CHAPTER 5: CONCLUDING REMARKS

The chronic, relapsing nature of substance use disorders is perpetuated by the emergence of negative emotional states, as addicts seek and take drugs to alleviate the negative symptoms associated with drug withdrawal and prolonged abstinence. Here, repeated taste-cocaine sessions resulted in the emergence of negative affect, evidenced by a shift from appetitive to aversive responses to the drug-paired tastant and accompanied by a shift in phasic NAc activity from predominately inhibitions to mostly excitations. Following extended (1 month, but not 1 day) abstinence, this negative affective state was exacerbated, as evidenced by a significant increase in aversive responses to the sweet (Phase 1 of test). Interestingly, this enhanced negative affective state was accompanied by a dramatic decline in phasic NAc activity relative to tastant infusion, with the few phasic cells showing activity consistent with NAc responses to aversive taste stimuli. While 30 day abstinent animals did not exhibit increased cocaine seeking under extinction (Phase 2) or self-administration (Phase 3), the dampened NAc activity observed during tastant infusions re-emerged as animals began working for the drug. Further, animals in the 30 day group that were classified as high aversive on day 14 prior to abstinence self-administered significantly more cocaine on test day compared to their low aversive counterparts. These findings provide critical insight into the neurobiological processes that underlie relapse to cocaine use upon the emergence of abstinence-related negative emotional states.

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FIGURES

Figure 1. Experimental timeline.

A. Rats were first trained to press a lever for water during 3 -5 daily sessions, then were surgically implanted with intrajugular catheters, intraoral cannulae, and bilateral electrode arrays into the NAc core and shell. Following 1 week of recovery, rats were given 1-2 sessions to re- establish lever pressing and were then habituated to tastant infusions. Next, rats received 14 daily taste-drug pairing sessions. Briefly, rats received 45 discrete intraoral infusions of a 0.15% saccharin solution (0.13 ml delivered over 4 s/infusion, ~1 infusion/min for 45 trials). A lever was then extended into the chamber and rats self-administered cocaine (0.33 mg/inf) on a fixed ratio 1 schedule of reinforcement for 2 h. Following 14 taste-drug sessions, rats underwent experimenter-imposed abstinence (no drug) for 1 or 30 days and were subsequently tested during a single test session. Affective responses to the tastant and NAc cell firing were recorded on days 1 and 14 of training and during the test session. **B.** Following abstinence, affective responses and NAc cell firing were recorded during a single test session consisting of three phases: 1) intraoral tastant infusions, 2) extinction (press for cues, no drug), and 3) self-administration. Phase 3 was preceded by 1-3 priming infusions of cocaine (0.33 mg/inf) and presentation of the drug-paired CS.

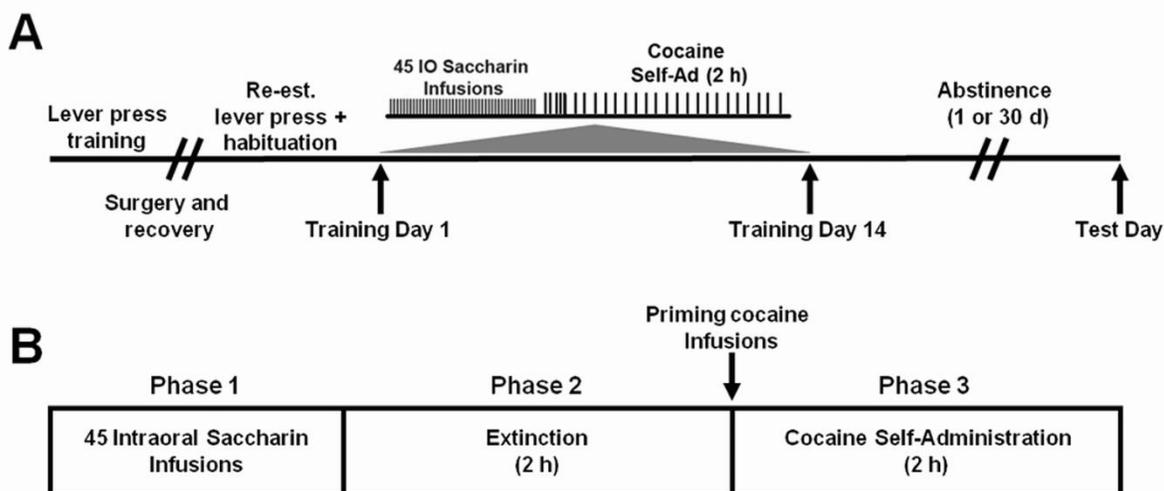


Figure 2. Self-administration behavior before abstinence.

Animals destined for the 1 versus 30 day abstinence groups did not significantly differ in cocaine self-administration behavior prior to abstinence. **A.** Mean \pm SEM total number of cocaine infusions earned across all 14 training sessions across groups. **B.** Mean \pm SEM number of infusions earned per session in 1 and 30 day animals. Error bars represent \pm SEM, here and in subsequent figures.

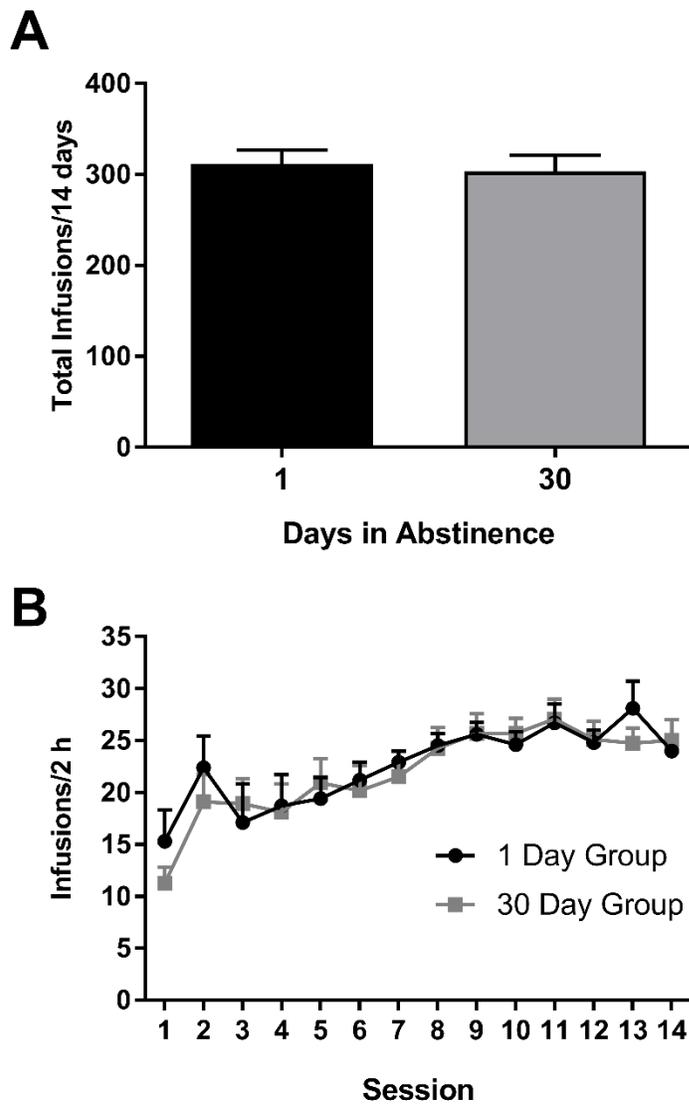


Figure 3. Taste reactivity before abstinence.

Animals destined for the 1 and 30 day abstinence groups did not significantly differ in taste reactivity prior to abstinence. **A.** Number of appetitive licks per 45 trials on the first and final day of training for 1 and 30 day groups. **B.** Number of aversive gapes per 45 trials on the first and final day of training for 1 and 30 day groups.

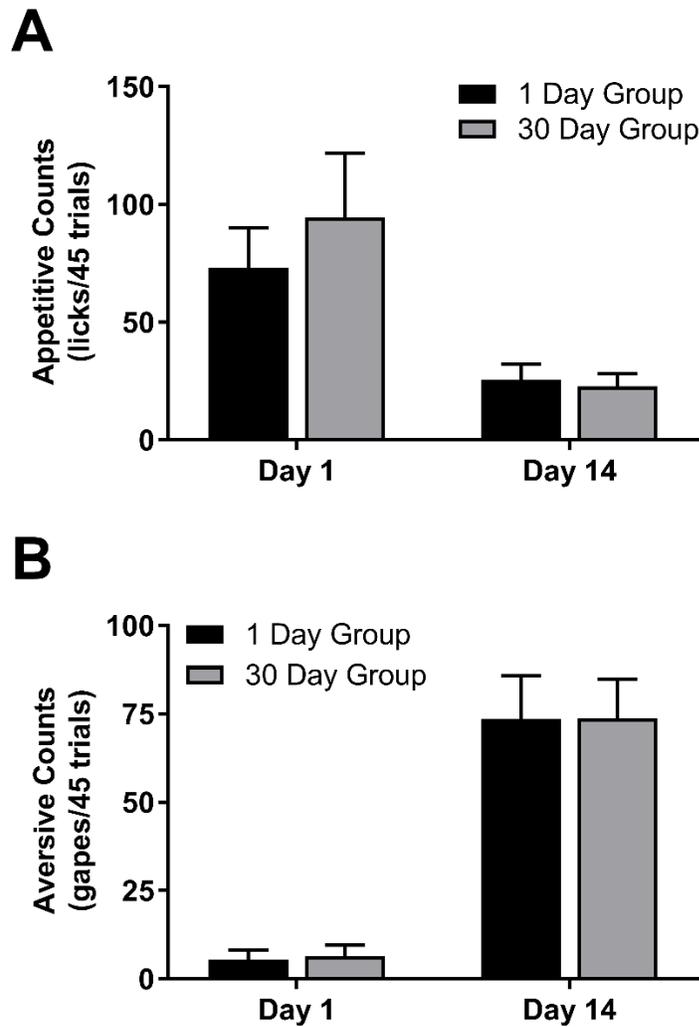


Figure 4. Histological reconstruction of electrode placements.

Coronal sections of the rat brain, depicting electrode tip placements (depicted by closed circles) in 1 day (left) and 30 day (right) abstinent rats.

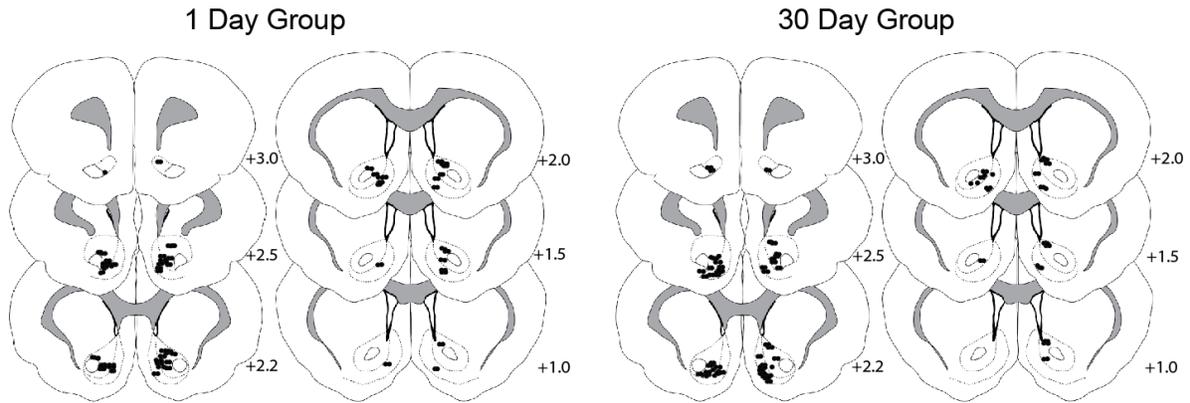


Figure 5. Shift in behavioral and neural responses to the sweet that predicts impending, but delayed cocaine.

A. Repeated taste-drug pairings resulted in a decrease in appetitive taste reactivity (left) and an increase in aversive taste reactivity (right). **B.** PEHs for representative neurons showing either phasic inhibitions (INH, top) or excitations (EXC, bottom) during tastant infusions. **C.** On day 1 (left), a majority of phasic NAc cells exhibit inhibitions to the tastant, but this population response shifts to predominately excitations following 14 taste-drug pairing sessions (right).

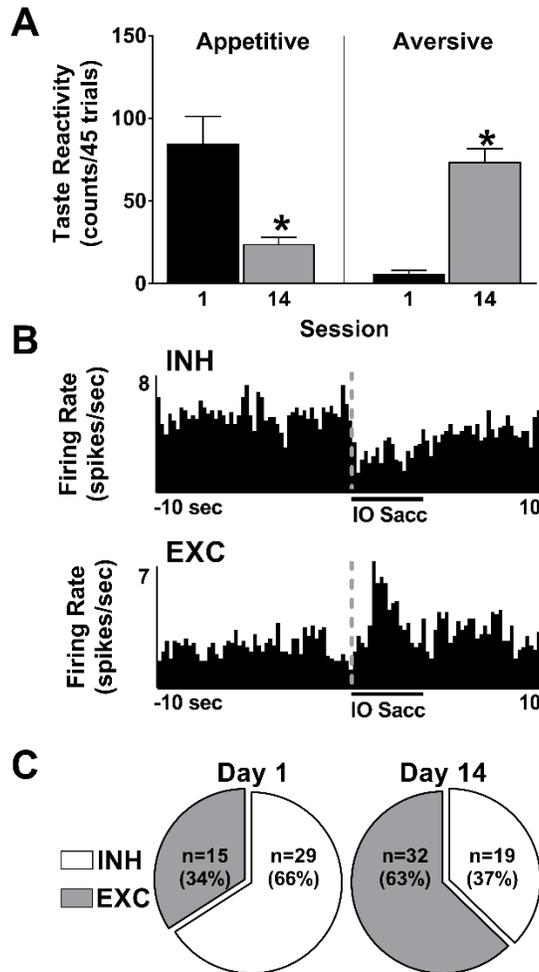


Figure 6. Emergence of enhanced negative affect following 30 days (not 1 day) abstinence.

A. Number of gapes per 45 trials on day 14 and during Phase 1 of the test session for animals destined for the 1 day versus 30 days abstinence groups. Thirty days, but not 1 day, of abstinence led to a significant increase in aversive taste reactivity. * $p < 0.05$ for test day gapes compared to day 14 gapes in 30 day group. **B.** Change in number of aversive gapes per 45 trials from day 14 to test day in 1 versus 30 day groups. Thirty days of abstinence led to a significantly larger increase in aversive taste reactivity from day 14 to test day compared to 1 day of abstinence. * $p < 0.05$ compared to 1 day group, # $p < 0.05$ compared to theoretical value of zero. Animals with a high frequency of other aversive behaviors on test day excluded (1 day, 1; 30 day, 3).

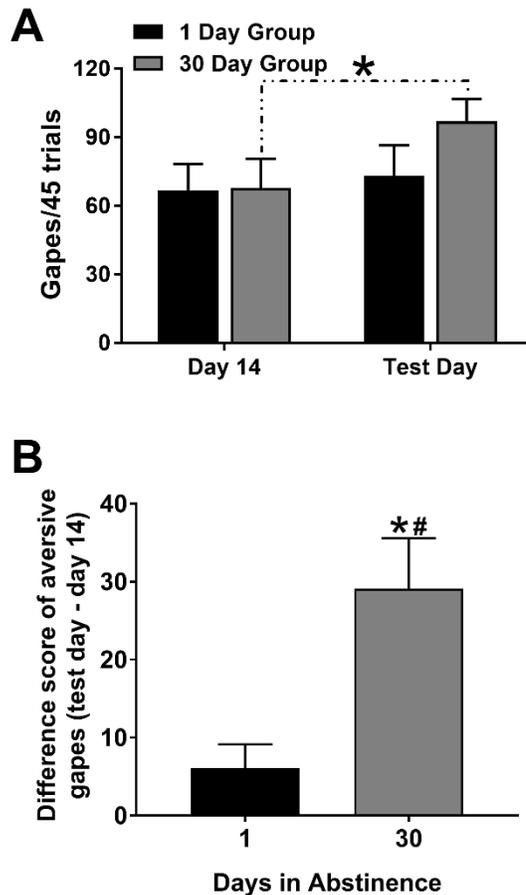


Figure 7. Change in NAc phasic activity relative to tastant infusion from day 14 to test day following abstinence.

A. Mean % of cells showing either phasic excitations or inhibitions relative to tastant infusion on day 14 and test day across 1 and 30 day abstinent groups. There was a significant decrease in phasic activity following 30 days of abstinence. * $p < 0.05$ for day 14 mean percent phasic to test day mean percent phasic for 30 day rats. **B.** Change in phasic activity from day 14 to test day for 1 and 30 day groups. There was a significantly larger and negative change in phasic activity for the 30 day group compared to the 1 day group. * $p < 0.05$ compared to 1 day group; # $p < 0.05$ compared to theoretical value of zero.

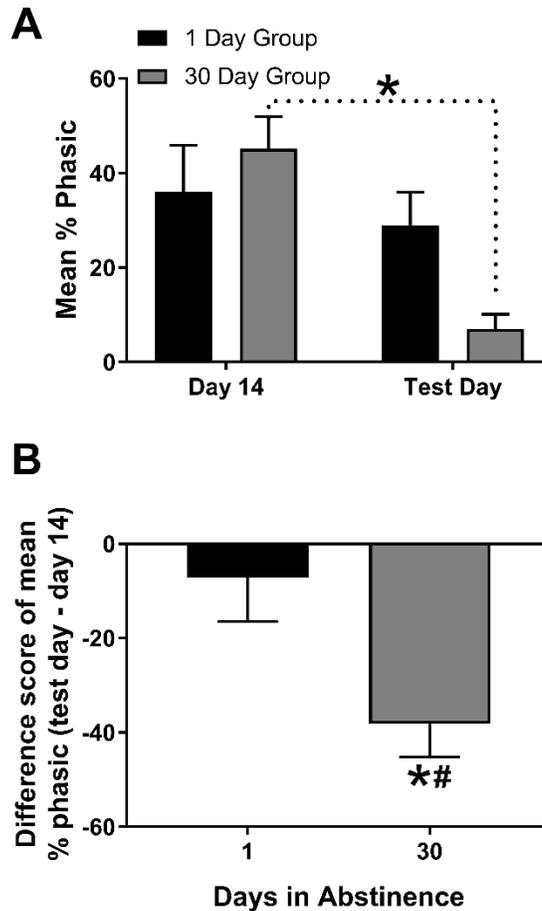


Figure 8. Behavioral responding in low and high aversive rats during extinction and resumption of cocaine self-administration.

A. One versus 30 day abstinent rats did not significantly differ in mean number of lever presses under extinction. **B.** Number of infusions earned during cocaine self-administration for low and high aversive animals across abstinence conditions. High aversive animals in the 30 day group pressed significantly more for cocaine than their low aversive counterparts. * $p < 0.05$ for 30 day, high aversive animals compared to 30 day, low aversive animals.

