Burma Art Therapy Project:
Designing an Outcome Evaluation of an Art Therapy Program for Refugee Students from Burma in Chapel Hill-Carrboro City Schools

By the Art Therapy Institute 2012-2013 Capstone Team

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Upon our honor, we pledge that we did not give or receive unauthorized aid on this, or any, Capstone assignments.
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Acronyms and Relevant Terms

**Acronyms**

ATI: Art Therapy Institute  
BAPT: Burma Art Therapy Project  
CDC: United States Centers for Disease Control and Prevention  
ESL: English as a Second Language  
IRB: Institutional Review Board  
NC: North Carolina  
PTSD: Post-Traumatic Stress Disorder  
UNC: University of North Carolina  
UNHCR: United Nations High Committee on Refugees

**Relevant Terms**

Art therapy: A form of psychotherapy “based on the idea that the creative process of art making is healing and life enhancing and is a form of nonverbal communication of thoughts and feelings” (Malchiodi, 2003).

Dissemination: “Planned, systematic efforts designed to make a program or innovation more widely available to a target audience or members of a social system” (Glanz, Rimer, & Viswanath, 2008, p. 314).

Fidelity: “The extent to which the intervention was delivered as planned” (Morocco, 2012)

Grey Literature: Literature that is not available through traditional pathways. It is usually produced by government agencies, universities, corporations, research centers, associations and societies, and professional organizations (Turner et al., 2005).

Practice-Based Evidence: Evidence that is based on documentation and measurement of real-world practice (Swisher, A.K., 2010).

Stakeholder: “People or organizations that are invested in the program, are interested in the results of the evaluation, and/or have a stake in what will be done with the results of the evaluation” (CDC, 2011).

Triangle: A region in the Piedmont of the state of North Carolina that encompasses the cities of Durham and Raleigh and the towns of Chapel Hill and Carrboro, North Carolina.

Utilization-Focused Evaluation: An approach to conducting an evaluation that focuses on using the evaluation to change or improve a program, with careful consideration of how everything done will affect use (Patton, 2008).
Executive Summary

For the past fifty years, Burma has been in a state of civil strife. Since 2007, the United States has provided refuge to at least 14,000 people from Burma annually; since 1990, more than 450 of these individuals have been relocated to the Triangle area through North Carolina Social Services.

Research has consistently linked the experience of trauma from the refugee experience to mental health issues. Refugee children and adolescents are particularly vulnerable to this trauma and experience insomnia, anxiety and depressive symptoms, academic difficulties, relationship and behavioral problems, and somatic concerns. It is estimated that up to 40% of young refugees may have psychiatric disorders, mainly post-traumatic stress disorder, depression, and other anxiety-related impediments.

Art therapy is a psychotherapy modality that uses art and the creative process to help clients explore their emotions. Preliminary research indicates that art therapy provides an “effective tool for refugees to begin to explore some of the experiences associated with war, oppression, exile and resettlement”. To address the mental health issues for refugee students and adults in the Triangle community, the Art Therapy Institute (ATI) began the Burma Art Therapy Project in 2009. The Burma Art Therapy Project provides art therapy to refugee students from Burma in the Chapel Hill-Carrboro City School District, as well as to adults at the Carrboro Community Health Center. In order to enhance and evaluate their work in schools, the Art Therapy Institute formed a relationship with the UNC Gillings School of Global Public Health at the University of North Carolina at Chapel Hill. As a result, four second-year Master of Public Health students (the Capstone team) in the Department of Health Behavior partnered with the Art Therapy Institute to work together on a Capstone project. The goals of this Capstone project were to design an evaluation of, and pursue funding for, the Burma Art Therapy Project.

To reach these goals, the Capstone team completed five deliverables, in conjunction with and under the supervision of mentors from the Art Therapy Institute and the Department of Health Behavior. Two of the deliverables, the funding guide and funding application, sought to increase and support the sustainability of the funding structure for the Burma Art Therapy Project. The funding guide provided much of the necessary language for the funding application and helped organize the documents which are often requested in funding applications. The other deliverables - the outcome evaluation plan, outcome evaluation assessment tools, and evaluation report - established a structure for a consistent and sustainable evaluation that can be carried out by ATI in the future. Sustainable evaluations will strengthen ATI’s financial position in two ways. First, ATI will be able to implement the evaluation with limited need for additional outside funding. Second, showing effectiveness of the program on mental health outcomes will strengthen ATI in its future applications for funding.

The work undertaken by the Capstone team benefitted the students, the partner organization (ATI), and the field of refugee mental health. The Capstone team developed practical skills in writing literature reviews, engaging with community and project stakeholders, designing and implementing an evaluation, fundraising, grant writing, and analyzing and disseminating evaluation findings. The Capstone team’s work increased the Art Therapy Institute’s capacity to document impacts of its programming through evaluation and to apply for and win funding. In addition, the work of the Capstone team contributed to the field of refugee mental health by providing a model for evaluating a promising modality of treatment. The local community will be served through ATI’s strengthened capacity to meet mental health needs and ability to reach more clients.
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Introduction

This Capstone Summary Report provides an overview of the work of the Capstone team, details all activities completed over the past year, and describes the importance of this work. The Capstone Summary Report and associated deliverables were completed by the 2012-2013 Art Therapy Institute Capstone team from the Department of Health Behavior at the University of North Carolina (UNC) Gillings School of Global Public Health. This report, in conjunction with the Capstone project deliverables, replaces the Graduate School Master’s thesis requirement. This report summarizes and serves as a record of this two-semester mentored service-learning experience.

ATT’s mission is to “bring art therapy to people in the community where they are every day,” to improve access to mental health services for diverse populations, and to promote education and awareness about art therapy (ATT, 2013). Art therapy is a sometimes non-verbal form of psychotherapy that uses art to help clients explore their emotions. During art therapy sessions, clients are asked to complete a piece of art and invited to tell a story about it, with facilitated interpretation by the therapist (Malchiodi, 2003). Art therapy has been cited as a promising tool to address mental health symptoms of refugee children (Rousseau, Drapeau, Lacroix, Bagilishya & Heusch, 2005; Eaton, Doherty & Widrick, 2007).

Since 2009, ATT has successfully developed and implemented an art therapy program to provide art therapy to over 100 refugee students from Burma1 in Chapel Hill-Carrboro City Schools, through their Burma Art Therapy Project. In the process, ATT identified a need for further assistance to: a) ensure financial sustainability of the program, b) examine how the program impacts clients, and c) expand services to all clients in need. Together these outcomes would enhance organizational sustainability and ATT’s efforts to decrease mental health symptoms in refugee clients from Burma. To address its needs in these areas, ATT’s Executive Director requested a 2012-2013 Capstone team.

With the contribution of existing resources (e.g., meeting space, time, knowledge about the issue, mentorship), guidance and input from stakeholders (e.g., Chapel Hill-Carrboro City Schools teachers,

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1 In 1989, the government of Burma changed the name of the country to Myanmar. This report uses “Burma” because it is the name preferred by the refugee population in the Triangle and the U.S. Department of State (U.S. Department of State, 2012). Since refugees are of different ethnicities such as Karen, they prefer to be referred to as “from Burma” as opposed to “Burmese”.
ATI board members and clinicians), and assistance from UNC Department of Health Behavior (e.g., expertise from the faculty adviser and teaching team), the Capstone team built on their prior training and coursework to meet ATI’s request for assistance. The Capstone team applied their skills to conduct interviews with key informants; develop and submit an Institutional Review Board (IRB) application; research and summarize funding sources and grant language; apply for funding; develop an evaluation plan; research, pilot, and analyze results for appropriate assessment tools; and create an evaluation report. These resources (i.e., inputs) and activities are summarized in the first and second column of the project logic model (Figure 1), respectively.

The Capstone team’s subsequent outputs included an outcome evaluation plan, a funding toolkit, outcome evaluation assessment tools, an IRB application, a grant proposal, and an evaluation report. The intended outcomes were to clarify the direction for the Burma Art Therapy Project outcome evaluation process, increase transparency with stakeholders regarding program activities, identify potential program funding sources, summarize key grant language for future use, establish a means of measuring program effectiveness, ensure ethical appropriateness of program activities with clients, secure funding for future ATI activities, and disseminate results. Ultimately, the impact of these outcomes will be to provide a framework within which ATI can continuously monitor program effectiveness, build sustainability through increased and varied funding sources, and add to the evidence-base of art therapy for refugee children and adolescents. The work done by the Capstone team was proposed by ATI and negotiated between ATI, the Capstone team, the faculty advisor, and the teaching team, through the work plan. The evaluation was exempted from further review, by not meeting the criteria of research, by the University of North Carolina Institutional Review Board (IRB), IRB designation number 12-2074.

The following sections of this summary report provide background information on child refugees from Burma by discussing mental health issues facing refugee children, previous interventions to address mental health concerns of refugee children, an introduction to art therapy, and the evidence-base for art therapy’s effectiveness with refugee children. The report also presents the Capstone team’s deliverables and discusses the implications and impact of the team’s work during the 2012-2013 academic year.
Figure 1: Capstone Logic Model

Inputs
- Capstone Team
- Knowledge and Mixed-Method Research Skills from HB training and past experiences
- $100 Capstone Budget and additional HB and UNC department resources (e.g., grant source library)
- Meeting Space
- Time
- Mentorship & expertise from faculty advisor, preceptors, the teaching team, and consultants on call
- Existing resources about ATI, refugee health, art therapy, refugees from Burma in the Triangle
- Guidance and input from Chapel Hill/ Carrboro City Schools teachers, ATI clinicians, and community members

Activities
- Develop evaluation plan
- Share evaluation plan with stakeholders and get feedback
- Research and summarize potential funding sources
- Review past grants and summarize key language for future grants
- Research appropriate assessment tools
- Create work plan for assessment tool use
- Complete qualitative interviews with key informants in the schools and community
- Pilot assessment tools
- Develop and submit IRB application
- Draft, complete and submit grant proposal
- Complete assessments
- Analyze assessment results
- Synthesize results into evaluation report and executive summary

Outputs
- Outcome Evaluation Plan
- Funding Toolkit
- Assessment Tools
- IRB Application
- Grant Proposal
- Evaluation Report and Executive Summary for Stakeholders

Outcomes
- Clarified direction for the Burma Art Therapy Project outcome evaluation process based on priorities, resources, time, and skills needed to accomplish the evaluation
- Increased transparency and assurance that stakeholders are on the same page with regards to the purpose, use, and users of the evaluation results
- Identified potential funding sources for the Burma Art Therapy Project
- Summarized and organized key grant language and documentation for future grant use
- Established means of measuring program effectiveness to contribute to expansion of the evidence base for the field of art therapy
- Ensured ethical appropriateness for methodology that will be used
- Secured funding for ATI in order to support sustainability
- Summarized and shared the findings from the program evaluation of the Burma Art Therapy Project

Impact
- Established method for ATI to continuously measure program effectiveness
- Contributed to sustainability of Burma Art Therapy Project through increased and diversified funding sources
- Added to evidence base of effectiveness of art therapy for refugee children and adolescents through our retrospective evaluation results and ATI’s continued use of evaluation

Acronyms: Art Therapy Institute (ATI); Health Behavior (HB); Institutional Review Board (IRB)
Background

Context and Significance

The purpose of this background section is fourfold: to provide context for the need for mental health services for refugees from Burma, to synthesize existing literature on the mental health of refugee children and adolescents from Burma, to explain the use of art therapy as a treatment for mental health disorders among refugees, and to justify the need for an evaluation of an art therapy program in the Chapel Hill-Carrboro City School System.

In 2011, the United Nations High Committee on Refugees (UNHCR) estimated that there were approximately 15.5 million refugees in the world, around 46% of whom were children under the age of 18 (UNHCR, 2012). According to the 1951 United Nations Convention relating to the Status of Refugees, a refugee is defined as "a person outside of his or her country of nationality who is unable or unwilling to return because of persecution or a well-founded fear of persecution on account of race, religion, nationality, membership in a particular social group, or political opinion" (United Nations, 1951). Since 1962, more than 400,000 refugees have fled Burma because of poverty, famine, war, trauma, political violence, and severe human rights violations. As a result of the stressors faced before and after their immigration to resettlement countries, child and adolescent refugees from Burma often experience multiple mental health disorders (Schweitzer, Brough, Vromans, & Asic-Kobe, 2011). Between October 2010 and September 2012, more than 31,000 refugees from Burma relocated to the United States (Martin & Yankay, 2012; UNHCR, 2012; U.S. Department of State, 2013). Resettlement agencies in the Triangle area, such as Lutheran Family Services, provide social and legal support to refugees. Since 1990, more than 450 individuals from Burma have been relocated to the Triangle area through North Carolina Social Services (G. Andersen, personal communication, February 14, 2013).

Mental Health of Refugee Children

Research consistently links the experience of trauma from the refugee experience to mental health issues (van Wyk, Schweitzer, Brough, Vromans, & Murray, 2012; Gerritsen, Bramsen, & Deville, 2006; Palic & Elklit, 2009; Fazel, Wheeler, & Danesh, 2005). Refugee children and adolescents are particularly
vulnerable to this trauma and experience insomnia, anxiety and depressive symptoms, academic
difficulties, relationship and behavioral problems, and somatic concerns (Birman et al., 2005; Lustig, Kia-
Keating, Knight, Geltman, Ellis, Kinzie, & Saxe, 2004; Fazel et al., 2005; Murray, Cohen, Ellis, &
Mannarino, 2008; van Wyk et al, 2012). An estimated 40% of young refugees may have psychiatric
disorders, mainly post-traumatic stress disorder (PTSD), depression, and other anxiety-related
impediments (Hodes, 2000). Part of these mental health disorders stem from additional stressors that
young refugees must undergo upon resettlement, referred to as acculturative stress (Schweitzer et al.,
2011; Lustig et al., 2004). Adolescents must manage new school environments, cope with parental
pressure to succeed academically, navigate new roles as translators and cultural brokers for parents, and
balance cultural identity concerns (Birman et al., 2005).

Data specific to refugee children from Burma is scarce, but similarities in culture and refugee
experiences allow studies conducted with other South East Asian child refugee populations to be used as
reference. In a small, rigorous study of Cambodian refugee adolescents in the United States (N = 40), four
years after leaving Cambodia, 50% of participants met criteria for PTSD and 53% met clinical thresholds
for depression (Kinzie, Sack, Angell, Manson, & Rath, 1986). At 12 years follow-up, the PTSD rate was
35%, and 14% reported depressive symptoms (Kinzie et al., 1989; Sack, Him, & Dickason, 1999). These
findings suggest that the effects of traumatic experiences persist long after immigration. It is important to
note that this study population was selected specifically for their severe trauma experiences; the rates may
be lower among the general child refugee population from Burma (Kinzie et al., 1989; Sack et al., 1999).
Still, these findings suggest that the mental health of adolescent refugees who have experienced trauma
remains a substantial concern for many years after relocation.

**Mental Health Interventions for Refugee Children**

Services are needed to address the significant mental health concerns of refugee populations in
resettlement host countries (Lustig et al., 2004; Schweitzer et al., 2010; Murray et al., 2008). Evidence
suggests that refugees, particularly refugee children, underutilize traditional mental health care services
due to stigma, lack of language-appropriate translators, financial resource limitations, and lack of mental
health care screenings and services (Lustig et al., 2004). Moreover, while research has consistently documented the prevalence of mental health disorders among refugee children (Lustig et al., 2004; Murray et al., 2008; Hodes, 2000), systematic reviews of the mental health treatment literature have found a lack of effective interventions to improve the mental health needs of both refugee adults and children (Birman et al., 2005; Ehntholt & Yule, 2006; Lustig et al., 2004). The limited evidence base can be attributed to ethical challenges associated with conducting research with refugee populations, difficulties in establishing validated measures, and lower priority given to psychological interventions (Murray, Davidson, & Schweitzer, 2010). The majority of published articles that focus on the mental health outcomes of refugee children are descriptive reports, case studies, or small cohort studies that focus on the alleviation of symptoms of PTSD (Murray et al., 2008; Lustig et al., 2004). Due to their insufficient evidence base and limited focus, it is difficult to draw conclusions from these study results.

Ehntholt and Yule (2006) have identified that certain treatments, such as cognitive behavioral treatment, narrative exposure therapy, eye movement desensitization and reprocessing, and testimonial psychotherapy, may be used to target reductions of specific mental health disorders among refugee children. However, many of these programs are narrowly focused on one mental health disorder. As a result, experts argue that comprehensive services are needed to address the wide range of stressors and symptoms that child and adolescent refugees face (Lustig et al., 2004, Birman et al., 2005). Researchers have proposed that interventions that can best address this issue are tailored with culture in mind, are provided in schools, and focus on ecological approaches to target multiple symptoms of various mental health disorders (Lustig et al., 2004).

Art Therapy Description and Evidence Base for Art Therapy

Art therapy addresses both the mental health disorders present among refugee children and the insufficiency of existing mental health services. According to the American Art Therapy Association, art therapy is “based on the idea that the creative process of art making is healing and life enhancing and is a form of nonverbal communication of thoughts and feelings” (Malchiodi, 2003). During initial sessions, participants are asked to complete a piece of art and invited to tell a story about their artwork with
facilitated interpretation by the therapist (Malchiodi, 2003). Experts have hypothesized that the process of self-discovery, cathartic release, and non-verbal and verbal communication equips participants with the capacity to cope with the reality of trauma and accompanying emotions (Eaton et al., 2007).

Researchers have recently begun evaluating studies of art therapy for use with refugee children. Rousseau et al. (2005) conducted an evaluation of a 12-week workshop with immigrant and refugee children in Quebec. Weekly, two-hour long sessions were run by an art therapist and a psychologist and included three types of activities: verbal expression (telling stories), non-verbal means of expression (picture drawing), and time devoted to sharing and presenting work in groups (Rousseau et al., 2005). Researchers found that children in the experimental group reported a significantly lower mean level of negative mental health symptoms than those in the control group, and experienced higher mean levels of feelings of popularity and satisfaction (Rousseau et al., 2005). While these results are limited by study design (i.e., a lack of randomization) and a relatively short follow-up period, they suggest that art therapy holds promise for use with refugee children (Rousseau et al., 2005). In support of these findings, Fitzpatrick conducted a case study research design with two refugees in Australia to evaluate the use of art therapy (Fitzpatrick, 2002). While a study population of two presents methodological limitations, the results from this qualitative study indicated that art therapy provided an “effective tool for refugees to begin to explore some of the experiences associated with war, oppression, exile and resettlement” (Fitzpatrick, 2002, p. 151).

Other evidence from the grey literature suggests the potential of art therapy for use with refugees. For instance, the UNHCR reported that children in refugee camps who were given art supplies and lessons found it less disturbing to relive their memories through painting, and used artwork as a means of expression (McArdle & Spina, 2007). While empirical evaluations of art therapy with refugees are scarce, expert opinion, which can provide a basis for decision-making and considered action with limited evidence (Minas & Jorm, 2010), suggests art therapy’s potential for improving the mental health of refugee children, as shown in McArdle & Spina (2007).
Lessons can also be drawn from art therapy’s use with traumatized children. Eaton et al. (2007) conducted a literature review on the efficacy of 12 peer-reviewed evaluations of art therapy interventions with traumatized children who had experienced physical or sexual abuse, violence during warfare, terrorist attacks, exposure to gun violence, or the loss of a loved one. The data they reviewed from qualitative and quantitative studies were encouraging for the use of art therapy as an effective method of treatment for the negative psychosocial consequences of childhood trauma (Eaton et al., 2007). For instance, several studies found that art therapy allowed children to develop a positive relationship with their therapists and could be used to treat negative psychosocial consequences of trauma (Eaton et al., 2007). However, researchers noted several limitations, including the use of case study methods, a lack of clear specification on psychological symptoms, variation in the type of art therapy methodology, and non-statistical observations on outcome variables (Eaton et al., 2007). Orr (2007) also conducted a review of art therapy with children who had experienced a disaster. Through a content analysis of 31 communications, Orr found that art offered a way for children to communicate grief and loss, and hypothesized that it could be used as a long-term coping resource (Orr, 2007). However, most of the reviewed communications discussed ideas about what has been done or should be done with children after a disaster, rather than rigorously testing new theories (Orr, 2007). Together, these two reviews offer encouraging results for the use of art therapy with children who have experienced trauma or disasters; however, more evidence and evaluation is needed to validate the use of art therapy with refugee children.

**Rationale for Capstone Team Approach**

Due to barriers in accessing mental health care services and an overall lack of evidence in support of traditional mental health interventions for refugee children, experts have argued that a need exists for further research on potential approaches, particularly those that are comprehensive and tailored to refugee children (Lustig et al., 2004; Vergara, Miller, Martin & Cookson, 2003; Birman et al., 2005; Chung, Bemak, & Okazaki, 1997; Miller, 1999). To respond to this need, art therapy has been cited as a promising tool, but there is limited evaluation of existing art therapy methods and outcomes for refugee children (Birman et al., 2005). To overcome this gap in the literature, Birman et al. advocated for the use
of “practice-based evidence” to evaluate existing community services rather than develop clinical trials to evaluate specific modalities (2005, p. 20). By understanding how existing programs operate, resources can be better expended on practices that are successful within their community setting and have overcome barriers to implementation, financing, access, sustainability, and cultural competence. The Capstone team used the practice-based evidence approach to design an outcome evaluation of an existing art therapy program in Chapel Hill-Carrboro City Schools for refugee children from Burma; evaluation of this program could contribute to the literature on the use of art therapy with refugee children. Additionally, an evaluation will support the Capstone team’s goals to increase funding and sustainability of the program.

**Deliverables**

The Capstone team had five main deliverables in working towards the goals of increasing funding for, and evaluating, the Burma Art Therapy Project. The work was outlined in a work plan created by the Capstone team and preceptors, under advisement of the teaching team and faculty advisor, to address the needs of the Art Therapy Institute. Two of the deliverables, the funding guide and grant proposal, sought to increase and support the sustainability of the funding structure for the Burma Art Therapy Project. The funding guide provided much of the necessary language for the funding application and helped organize the documentation, such as the program budget and Form 990s, which are often requested in funding applications. The other deliverables- the outcome evaluation plan, outcome evaluation assessment tools, and evaluation report - established a structure for a consistent and sustainable evaluation that can be carried out by ATI itself. Each deliverable was reviewed by Capstone mentors then edited and finalized by the Capstone team before dissemination. Further details on each of these deliverables including the purpose, activities, key findings, and recommendations can be found in the tables below.

**Deliverable I: Outcome Evaluation Plan**

| **Format:** | 25 page evaluation plan that details the criteria for how outcomes and tools were chosen to assess mental health symptoms of clients from the Burma Art Therapy Project. It also includes information on an evaluation timeline and evaluation design. |
| **Purpose:** | To provide a framework for monitoring activities and analyzing evidence to determine the effectiveness of the Burma Art Therapy Project and to provide an evidence base in funding applications. The outcome evaluation plan is intended to be used by ATI clinicians for ongoing annual evaluations. |
### Activities:
- Reviewed CDC’s evaluation framework to structure evaluation
- Reviewed grants and publications of ATI to compile listed outcomes of art therapy programming
- Conducted a literature review on art therapy evaluations using the search terms art therapy, evaluation, art, & refugee
- Clarified ATI’s goals and objectives by having the clinicians select prioritized outcomes for the evaluation
- Identified validated instruments for the prioritized outcomes
- Drafted outline for the evaluation plan
- Developed evaluation questions and methods
- Created an implementation plan and timeline
- Created an analysis and interpretation of results plan
- Created a dissemination plan
- Documented lessons learned as a Capstone team when creating an evaluation plan
- Finalized evaluation plan
- Shared final evaluation plan with ATI clinicians and next year’s Capstone team

### Key Findings:
- Evaluations should be tailored to the specific needs of the partner organization.
- Evaluation questions guide both the outcomes assessed and the methodology of the evaluation.
- Stakeholders should be active participants in the design of the evaluation to ensure fit and sustainability.

### Recommendations:
- Create trainings to ensure all ATI clinicians implement evaluation plan with fidelity.
- Provide training and support for ATI staff in entering and analyzing data in a twice annually to allow for regular reflection on the project and evaluation results.
- ATI staff should revisit the evaluation protocol with ATI clinicians annually to ensure it still meets ATI’s needs.
- ATI staff should use evaluation data and outcome results in future grant applications to secure funding for the Burma Art Therapy Project.

### Deliverable II: Funding Toolkit

#### Format:
80 page Funding Toolkit that provides descriptions of ATI’s programming, goals and objectives, target population, partnerships, the evidence base for art therapy, illustrative quotes from stakeholders (e.g., clients, community members), ATI’s budget, promising funders, and additional funding opportunities.

#### Purpose:
To provide ATI with a summary of potential funding sources, ideas for diversifying funding, and common language for grant writing that can be used to streamline funding application processes. The guide is specifically geared for the Burma Art Therapy Project and can be used by ATI staff when applying for funding.

#### Activities:
- Clarified the toolkit format and created a template using an example from a previous Capstone team
- Identified funding criteria and possible partnering organizations
- Utilized Grant Source Library online tools and the advanced search options at the Graduate Funding Information Center to research potential funding
sources and find relevant funding opportunities
- Identified local foundations in Chapel Hill and Carrboro
- Wrote a letter describing the work and need of the Burma Art Therapy Project for distribution to local foundations
- Sent letters informing local foundations of ATI’s work
- Reviewed past ATI grant applications and organized/edited language
- Researched major grantors and their requirements
- Sent inquiries to multiple large grantors through online forms and emails
- Drafted funding toolkit containing available funding opportunities for ATI and “boilerplate” language commonly requested in grants
- Met with UNC faculty members who work with non-profits for guidance on capacity-building needs and wrote appendix of considerations for ATI
- Collected and organized agency documentation commonly requested by potential funders, e.g., approval of 401(c)(3) status and liability insurance
- Included appendices in the funding toolkit with capacity building needs for future grant proposals and other funding sources and solicitation opportunities
- Shared draft with Capstone project mentors to help identify gaps
- Finalized funding guide, shared with ATI clinicians

**Key Findings:**
- Many funders that provide larger grants require that the organization has been audited.
- Many larger grantors are looking for specific projects or populations to fund.

**Recommendations:**
- The boilerplate language will need to be tailored for each grant opportunity.
- The boilerplate language in the funding guide will need to be updated with new demographics, program size, budget, etc. each year by ATI clinicians or interns.
- Once evaluation data become available and are analyzed, ATI should include these data in its funding applications.
- As smaller grant funding increases, it is recommended ATI get audited. Larger opportunities will then become more viable.

### Deliverable III: Assessment Tools

**Format:** 18 page document that details five outcome evaluation instruments, six process evaluation measures, and a description of the protocol that will be used to pilot the evaluation plan.

**Purpose:** To have a means of measuring program effectiveness in order to expand the evidence base for the Burma Art Therapy Project and for the field of art therapy. The assessment tools deliverable is written for ATI clinicians to provide background for the evaluation.

**Activities:**
- Researched and acquired appropriate outcome assessment tools: the Diagnostic Drawing Series, the Harvard Trauma Questionnaire, the Hopkins Symptom Checklist-25, the Piers-Harris Children’s Self-Concept Scale, the Strengths and Difficulties Questionnaire
- Submitted assessment tools to stakeholders (i.e., ATI clinicians, Chapel-Hill Carrboro school teachers) for review of the tools’ appropriateness and feasibility
- Incorporated revisions to assessment tools
- Identified students from the Burma Art Therapy Project for pilot of assessment tools
• Created questions to ask students and clinicians for pilot of assessment tools
• Wrote pilot protocol
• Identified art prompts that ATI clinicians would use to accompany assessment tools
• Revised pilot protocols and questions
• Assisted ATI in design of informed consent for pilot participants
• Piloted assessment tools with nine ATI student-clients
• Synthesized data from assessment tools pilot
• Finalized assessment tools
• Shared final evaluation plan with ATI clinicians and next year’s Capstone team

**Key Findings:**
• Given limited time and resources, criteria were useful in prioritizing tools over one another. These criteria included: feasibility, evidence, cost, language, time, training, evidence, and analysis.
• The process for selecting assessment tools was collaborative and iterative. The final tools reflected input from multiple parties, including public health practitioners and clinicians.

**Recommendations:**
• A utilization-focused evaluation, or an evaluation that is conducted for and targeted to intended users, should be used to take into consideration who will use the results from the piloting of the assessment tools and to tailor the tools to such stakeholders.
• All stakeholders (e.g., ATI clinicians, Chapel-Hill Carrboro school teachers, and clients of the Burma Art Therapy Project) should understand the use and purpose of assessment and evaluation, as both of these issues will affect implementation of the evaluation.
• Stakeholders, particularly ATI clinicians, should be engaged in implementation of assessment tools, which can increase their buy-in and lead to sustainability of the evaluation in subsequent years.

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**Deliverable IV: Grant Proposal**

**Format:**
25 page written application that includes: application form and additional materials, such as tax certification, board affiliations, Certificate of Liability Insurance, Form 990, proof of 3R payment, program budget, budget summary, Solicitation License, and signed cover sheet.

**Purpose:**
To gain funding from external funders, in order to support continued sustainability for ATI. The grant proposal will also be used by ATI to complement the Funding Guide as reference materials for future funding applications.

**Activities:**
• Reviewed guidelines of viable grants
• Chose Chapel Hill-Carrboro Human Services Agency funding opportunity to pursue, with approval with teaching team and preceptors
• Carefully reviewed application requirements
• Drafted grant proposal narrative, answered application-defined questions, and included information from Funding Guide as applicable
• Received organization and program budget from ATI and adapted it to grant parameters
• Assembled support materials and other required documentation from Funding Guide
Deliverable V: Evaluation Report

**Format:**
23 page Evaluation Report that includes the background and purpose of the pilot of the evaluation plan, methodology used during the pilot, results of the pilot (i.e., data from assessment tools and data clinicians, clients, and teachers on the pilot process), strengths and weaknesses of the pilot, recommended changes to assessment tools and the evaluation process, and a one-page summary that can be disseminated to stakeholders (e.g., clinicians, funders, community-members).

**Purpose:**
To summarize and share the findings from the pilot evaluation of the Burma Art Therapy Project with ATI board members, ATI clinicians, ATI donors, Chapel Hill-Carrboro teachers, school officials, and clients participating in the ATIBurma Art Therapy Project.

**Activities:**
- Outlined evaluation report with guidance from the CDC evaluation framework
- Drafted background and methods sections

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Key Findings:
- Previously assembled boilerplate language on history, mission, and goals of organization that are available for adaptation, and copies of standard forms, are very helpful.
- It is important to pay close attention to detailed aspects of the grant application. Failure to follow directions can cause funders to lose faith in the organization’s ability to focus on details, and consequently in their ability to handle funds.
- Implementing the grant application process allows grant writers to ask questions and see the applicant organization in a new and more complete way.

Recommendations:
- The Capstone team and ATI should engage in a dialogue to gain a common understanding of the type of funding opportunities to pursue, the design of activities to be implemented or funding needs (e.g., operational funding, clinical activities, or evaluation), and the timeline of the grant application.
- In order to pursue larger funding opportunities in the future, ATI should consider investing in an audit or other external analysis of ATI’s responsible use of funds to provide to potential funders.
- ATI should write out, in detail, an overview of its funding model and operational structure to support future grant proposals, and to familiarize future volunteers/stakeholders with ATI’s unique operational system.
- ATI should narrow the focus of its standard language and further define and solidify its mission statement to reinforce its status as a service-delivery organization.

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- Assembled support materials requested in application not previously collected in Funding Guide
- Contacted funder and asked clarification questions
- Revised draft funding application based on discussions and communication with preceptors
- Submitted grant proposal to funder according to application instructions
- Assisted ATI in preparing for, and attended, public hearing on grant proposal
- Collected feedback on grant proposal process and outcome from member of the Orange County Human Services Board
- Identified areas for improvement and made revisions on grant proposal for upcoming years
- Finalized revisions to grant proposal, submitted to ATI
• Listened to the recording of each clinician session
• Created Microsoft Excel spreadsheets for each assessment tool, demographic data collection, and for compilation of all data
• Entered data for each assessment tool
• Analyzed data of each assessment tool
• Entered data for each assessment tool into central database
• Summarized preliminary results for each tool and across tools by describing the data findings and how they compared to the “norm” for adolescents when appropriate (Hopkins Checklist, Piers-Harris Scale, and Strengths and Difficulties Tool)
• Compiled references and appendices
• Created one-page version of evaluation, written to be accessible to any audience, to distribute to stakeholders
• Finalized evaluation report
• Presented deliverables, with focus on evaluation report, to ATI clinicians at monthly clinician meeting

**Key Findings:**
• The target population of refugee clients from Burma experience higher rates of mental health issues than the general population of the same age.
• The evaluation plan is feasible and acceptable to all stakeholders.
• Main problems with the evaluation were the following: difficulties with confusing words on the assessment tools, variability in administration of the assessment tools, and confusion over how to troubleshoot for problems.

**Recommendations:**
• ATI and the next Capstone team should train all clinicians on the data entry process to alleviate overburdening anyone.
• Problems and troubleshooting should be discussed among the whole group of participating clinicians to ensure implementation fidelity.
• ATI should consider the viability of expanding evaluations to other programs beyond the Burma Art Therapy Project.
• ATI and the next Capstone team should incorporate process questions into the evaluation protocol for subsequent years.

**Discussion**

**Stakeholder Engagement**

During the Capstone project, engaging with stakeholders was an important step in ensuring that all deliverables were useful and appropriate to the context and the community that the Capstone team served. A major strength of this project was the close connection between the Capstone team and members of ATI’s staff. This connection was fostered through weekly meetings, check-ins about the project, and personal updates. The weekly meetings in ATI’s office space allowed for effective and open communication between the Capstone team and ATI. Sustained communication allowed ATI to be constantly up-to-date on the status of project activities, and it allowed both the team and ATI to provide
input on the work. Face-to-face discussions helped prevent miscommunication that might otherwise have arisen from misunderstandings in vocabulary, perspective, and intention. During weekly meetings, ATI staff engaged the Capstone team through regular art-making sessions. These activities gave the Capstone team insight into ATI’s work, provided a time for fun and relaxation, and encouraged bonding between the Capstone team and ATI staff.

The Capstone team also connected with other ATI clinicians and stakeholders by attending board meetings and art walks, seeking feedback on the Capstone team’s work, providing support at fundraisers, and presenting the results of the Capstone work to clinicians at the close of the project. Conversations with clinicians helped ensure that the evaluation plan and assessment tools were feasible, appropriate, and responsive to their needs. For instance, clinicians helped prioritize outcomes, such as depression and anxiety, which helped the Capstone team select assessment tools to measure the prioritized outcomes. The Capstone team also worked with Chapel Hill and Carrboro teachers and administrators to make certain that the team’s work was designed with their input and perspective. To do so, they met with an English as a Second Language (ESL) teacher and the Health Coordinator from Chapel Hill-Carrboro City Schools in order to fully understand the school systems’ perspective of their work. The interview with the ESL teacher confirmed that teachers would be valuable partners during the evaluation process and the best methods to solicit information from teachers on the clients from the Burma Art Therapy Project.

The Capstone team also sought to understand the larger context of the services and work being done with the refugee from Burma population. The Capstone team participated in Orange County Refugee Health Coalition meetings, spoke with a doctoral student in Psychiatry from Duke whose work focuses on refugee mental health, and met with a doctoral student in Education at UNC who had previously written grants with ATI. The Capstone team also met with a community gatekeeper who runs a community center for refugees to better understand the community context and her perspective on the services needed and available to the refugee from Burma community, and attended events for Transplanting Traditions, a community farm and economic empowerment organization for refugees from
Burma. These conversations with other organizations involved with the refugee community provided context on the lives of the refugees which informed the Capstone team’s activities.

The Capstone team’s stakeholder engagement could have been strengthened by increased communication and engagement with stakeholders in the refugee community. For example, team members could have attended a school-based art therapy group, asked a community member to review deliverables and provide input, or engaged refugee youth in decision making about the focus of the evaluation of the Burma project.

**Lessons Learned and Skills Developed**

The Capstone project is designed to benefit ATI by providing expertise and building capacity. The Capstone project also benefits the Capstone team by allowing them to use skills gained in the classroom, while simultaneously solving real-world problems and working with a community partner. Below are some of the skills the 2012-2013 ATI Capstone team gained while implementing this project. These include experience with literature reviews and IRB applications, program support competencies like evaluation design and implementation, proficiencies in community and stakeholder engagement, fundraising, grant writing, and data analysis and dissemination.

**Literature reviews.** The Capstone team conducted a literature review on refugee mental health, interventions focused on refugee mental health, art therapy, evaluation of art therapy interventions, and culturally appropriate instruments for measuring mental health symptoms of refugees from Burma. This activity increased the team’s capacity to digest and synthesize public health literature and make evidence-based recommendations for public health programs.

**Community and stakeholder engagement.** Throughout the year, the Capstone team met regularly with ATI staff to obtain guidance and input for all phases of the work. This ensured that the final deliverables would be appropriate for the refugee community context and ensured use after the Capstone project. Additionally, by incorporating and managing stakeholder expectations, the Capstone team was able to design and tailor programs so that they would be acceptable to all stakeholders, including clinicians, clients, and teachers. These skills in stakeholder engagement will allow the Capstone
team to incorporate community perspectives into their future work as public health researchers and practitioners.

**Evaluation design and implementation.** The Capstone team was involved in every step of the design and evaluation of the pilot test for the evaluation tools of the Burma Art Therapy Project. Through this process, the team learned how to design evaluation questions, create criteria for selecting evaluation instruments, and write an evaluation protocol. This led to skills in creation of a plan for implementation, data analysis, and dissemination of the findings from the pilot assessment to other ATI staff (i.e., clinicians). By using evaluation frameworks and discussing the difficulties that arose during the implementation of the pilot, the Capstone team was able to thoughtfully and thoroughly work through evaluation complexities that will occur in future projects.

**Grant writing and fundraising.** This project strengthened the team’s ability to both locate and apply for a variety of funding sources. The team sent letters of inquiry to private foundations, applied to local government grants, and identified larger national funding sources as possibilities for future ATI funding. Creating a funding guide to support future grant applications increased ATI’s capacity to apply for funding and taught the team the essential components in a proposal. Searching for grant and foundation opportunities strengthened the team’s familiarity with different types of funding mechanisms and increased the team’s ability to prioritize appropriate funding opportunities.

**Ethical training.** The Capstone team’s project was reviewed by the UNC IRB. Through this activity, the team learned how to determine what activities require further consideration in the IRB system. The team is now able to define the official qualities of human subjects research and how an IRB determination influences project dissemination. The team also completed conflict of interest training, which is transferable to future research projects.

**Analysis and dissemination of findings.** Through creation of the evaluation report, the Capstone team strengthened their skills in data analysis and presentation. Specifically, the Capstone team improved their ability to synthesize and present data succinctly to a lay audience. The Capstone team enhanced their ability to disseminate findings by creating a presentation for the ATI clinicians. The Capstone team
presented the results of the pilot and solicited engagement and feedback about future trainings and evaluation to meet the clinicians’ needs. The ability to discuss evaluation findings in lay-friendly ways will be a useful skill as the team transitions into both academic and non-academic roles.

Impact of Capstone Work on Art Therapy Institute

In addition to fostering skills for the Capstone team, the Capstone project also had positive impacts on ATI. The Capstone team had two major goals in the completion of their work for ATI. The first goal was to establish an outcome evaluation plan for the Burma Art Therapy Project. The second was to increase capacity to pursue funding for the Burma Art Therapy Project. The impact of the Capstone team’s work on ATI regarding each of these goals is described below.

Increased capacity to document impact of programming through evaluation. The Capstone team provided ATI’s Burma Art Therapy Project with an outcome evaluation plan, a pilot test protocol, an analysis of pilot test data, an initial evaluation data analysis plan, and a dissemination plan. By creating a plan for future implementation of the evaluation, the team increased ATI’s organizational capacity to sustainably implement annual ongoing evaluations of the Burma Art Therapy Project. By developing the evaluation with ATI staff and soliciting feedback at every stage of the process, the team increased ATI’s familiarity with evaluations and the clinicians’ ability to implement the evaluation skillfully. This also ensured that the evaluation was tailored to ATI’s specific needs and capacity. Through the evaluation, ATI will be able to document the benefit to their clients, which will be of interest to other community stakeholders and potential funders.

Increased capacity to apply for and win additional funding. The Capstone team built ATI’s capacity to identify and pursue appropriate funding opportunities. Together, the Capstone team and ATI wrote a grant for the Chapel Hill-Carrboro Human Services Advisory Board. This activity increased ATI’s familiarity with budget construction, unit service calculations, and tailoring of grants to governmental audiences. Additionally, this grant helped ATI to more precisely calculate the number of volunteer and paid hours staff provide, and gave ATI a chance to practice defining and presenting its work to a funder audience. If the Burma Art Therapy Project is not funded this year, the feedback on this
year’s application can serve as a resource to apply for funding next year, thereby building ATI’s capacity for future funding applications.

**Impact of Capstone Work on the Field of Refugee Mental Health**

As explored in the background section of this Capstone Report, child and adolescent refugees suffer from poor mental health outcomes. The work of the Capstone team primarily builds ATI’s capacity to improve mental health among its adolescent refugee clients, yet also provides an art therapy program evaluation model that other organizations can adapt.

**Determination of art therapy effectiveness for refugee adolescents.** Although this is a pilot evaluation, the results of future evaluations will help determine the effectiveness of art therapy to improve mental health outcomes of adolescent refugees. If proven effective, other organizations can consider adapting this evidence-based program for their own use and to support their funding applications.

**Provision of an evaluation model for promising modality of treatment.** The Capstone team’s work created a thorough program evaluation that provides guidance for the steps needed to undertake an evaluation for other art therapy organizations who are serving refugee or other populations. Other organizations can adapt the evaluation to fit their needs by tailoring the art therapy program evaluation resources compiled during this process. Additionally, other organizations can use the criteria we used to pick outcome and assessment tools to guide their own evaluation that is reflective of the context in which they are performing art therapy.

**Ability to expand services.** The team’s work has also strengthened ATI’s ability to serve the mental health needs of refugees from Burma in the Chapel Hill and Carrboro area. If the application for the Chapel Hill-Carrboro Human Services Agency grant funding is successful, the Capstone project will have achieved its goals of helping ATI to sustain and expand services and implement evaluation activities to secure additional funding.

**Considerations for Sustainability**

As discussed, evaluations are time and resource-intensive. In order to accomplish the long-term impacts of this outcome evaluation, ATI must devote proper resources (e.g., time allotted for data
collection and analysis, finances, commitment, training of clinicians) each year. Additionally, in order to increase the financial size of their grant opportunities (e.g., governmental or national grants), ATI needs to look to partnerships with other organization, such as the school system, that work with the client refugee population and slowly build their portfolio through increasingly large grants. These considerations for sustainability are explored further below.

**Considerations in continual evaluation implementation.** Ensuring that high quality evaluations are done annually takes intentional investments of resources and time. Regularly checking in with evaluation stakeholders, particularly clinicians and clients, will ensure that the evaluation does not place undue burden on clients and continues to meet the needs of ATI staff. Since clinicians are by training therapists and not researchers, it will be important to determine the clinician responsibilities and properly train the clinicians in their roles. Training will help alleviate anxieties around the additional tasks, thereby encouraging the sustainability of the evaluation over time.

**Considerations in evaluation results and interpretation.** In future evaluations, ATI staff (e.g., Kristin Linton, Hillary Rubesin) and the next Capstone team will be responsible for analyzing data results, which is an important component of the evaluation’s sustainability. Results will be used in three ways: to modify programming to best suit the needs of the client population, to apply for additional funding sources, and to add to the evidence base of art therapy. Dissemination of results will thus occur through yearly evaluation presentations to stakeholders (e.g., clinicians), grant applications, and publications in peer-reviewed journals.

**Considerations in pursuit of larger and more sustainable funding opportunities.** The Capstone team’s work is a starting point for ATI to be competitive for larger and more sustainable funding opportunities. As ATI funding increases, it will be able to justify the cost of a financial audit, which will increase their eligibility for larger grants. Working toward more diverse funding sources would increase the sustainability of ATI’s work as an organization by reducing the variability of service opportunities that accompanies its dependence on smaller and fluctuating funding streams.
Recommendations for Next Steps

The work of the Capstone team is a strong starting point, but considerable work is left for ATI to implement the outcome evaluation and expand funding. Action items for ATI are presented below.

**Evaluation plan implementation.** Next year, ATI will need to take the steps necessary to implement and analyze the outcome evaluation data. Though logistical obstacles may arise, working through them will be an important component in the success of ATI’s first annual evaluation. Documentation of the evaluation process, including any unforeseen obstacles, will encourage a smoother process each year and help to share the evaluation model with other organizations. Additionally, putting extra time into the development of a data management system in the first year will allow for the evaluation process to continue each year with minimal additional data management training for clinicians. A strong data management system will also help ATI longitudinally compare the effects of their programming. For instance, though significant changes in mental health symptoms may not be evident over an eight month course of art therapy, longitudinal data will allow for mental health improvements to be analyzed over greater time periods.

**Secure partnership for larger funding opportunities.** In order to apply for and obtain larger funding sources (e.g., government grants), ATI needs to secure expertise and partnerships with other organizations, such as the Chapel Hill-Carrboro City School System, or other mental health organizations. Larger grants are awarded to organizations with a history of obtaining and handling large amounts of funding. In order for ATI to pursue larger funding streams, they can partner with an organization that has a sophisticated budgeting system and expertise.

**Conclusion**

The work undertaken by the Capstone team benefitted the students, the partner organization (ATI), and the field of refugee mental health. The Capstone team gained first-hand experience and developed skills in writing literature reviews, engaging with community and project stakeholders, designing and implementing an evaluation, fundraising and grant writing, and analyzing and
disseminating evaluation findings. The Capstone team’s work increased the Art Therapy Institute’s capacity to document impacts of its programming through evaluation and to apply for, and win, funding. The local community will be better served through ATI’s strengthened capacity to meet mental health needs and ability to reach more clients.
References


