Antidepressants are often only moderately successful in decreasing the severity of depressive symptoms. In part, antidepressant treatment response in patients with depression is genetically determined. However, although a large number of studies have been conducted aiming to identify genetic variants associated with antidepressant drug response in depression, only a few variants have been repeatedly identified. Within the present review, we will discuss the methodological challenges and limitations of the studies that have been conducted on this topic to date (e.g., ‘treated-only design’, statistical power) and we will discuss how specifically drug–gene interaction models can be used to be better able to identify genetic variants associated with antidepressant drug response in depression.

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Keywords: antidepressive agents • drug–gene interaction models • pharmacogenomics

Background
The lifetime prevalence of major depressive disorder (MDD) is approximately 12–18% in American and European populations [1–4], with a higher disease burden in female (notably two-times as high as in men) and older populations [1,5]. MDD is usually treated with psychotherapy or with antidepressant drug treatment, the latter being frequently divided in three main drug classes that affect different neurotransmitter pathways by inhibiting or enhancing signal transduction across neurons: classical tricyclic antidepressants (TCAs); selective serotonin reuptake inhibitors (SSRIs); and other antidepressants (e.g., venlafaxine and mirtazapine). For example, SSRIs affect the serotonergic signaling pathway by inhibiting serotonin reuptake from the synaptic cleft of serotonergic presynaptic neurons. This inhibition of serotonin reuptake results in a higher bioavailability of serotonin to postsynaptic neurotransmitter signaling pathways. Alternatively, TCAs affect several neurotransmitter signaling pathways, including dopaminergic, noradrenergic and serotonergic signaling pathways. As TCAs work through multiple neurotransmitter pathways, adverse drug reactions are more common with TCAs than with SSRIs. Because of the lower risk of adverse drug reactions during the use of SSRIs than during the use of TCAs, SSRIs are also more frequently used for the treatment of depressive indications [6]. Out of these two main antidepressant drug classes, most recent studies have been focused on the SSRIs.

Despite the fact that multiple antidepressant drugs have been launched on the market during the past decades, failure of antidepressant treatment, defined as insufficient decrease in depressive symptoms within a certain period of time, is common [7], and may be partly genetically determined [8–10]. Genes affecting antidepressant drug response have all been identified in candidate gene studies, in which a gene was tested in relation with antidepressant drug response in depres-
serotonergic neurons, and SLC6A4 encode for proteins that affect the synaptic cleft of the serotonin reuptake transporter, the main pharmacological kinetic and pharmacodynamic. For this reason, proteins that affect the pharmacokinetics of an antidepressant drug have primarily evaluated genes encoding proteins that affect pharmacodynamics (e.g., treatment target). With respect to genes that affect antidepressant pharmacokinetics, two independent genes have to date been repeatedly identified in relation to antidepressant drug response in depression, CYP2D6 and ABCB1 [11,12]. In addition to antidepressant drug response in depression, these two genes have also been associated with the risk of adverse drug reactions during antidepressant drug treatment (e.g., [15–19]). However, even though genetic variation in CYP2D6 was one of the robust genetic variants associated with antidepressant drug response in the meta-analyses [11,12], studies conducted in both the STAR*D and GENDEP trials did not confirm these results [20,21], although GENDEP showed that genetic variation in CYP2D6 was associated with serum concentrations of both escitalopram and nortriptyline [20].

In addition, also two independent genes that are related to antidepressant pharmacodynamics have been identified in relation to antidepressant drug response, SLC6A4 and HTR2A [11,12,22]. Both genes encode for proteins that affect the synaptic cleft of serotonergic neurons, and SLC6A4 encodes the serotonin reuptake transporter, the main pharmacological target of SSRIs [11,12,22]. Nevertheless, more genes have been identified in studies in relation to antidepressant drug response in depression, including for example FKBP5 and BDNF, but evidence is weaker (e.g., heterogeneity between studies is larger or studies were conducted with limited sample size) and requires additional studies to fully elucidate their role in antidepressant drug response in depression. Although a small number of genes have been repeatedly associated with antidepressant drug response in depression, the clinical benefit of knowing the status of these genes is considered to be limited at this time [12]. Furthermore, genome-wide association studies (GWAS), that aimed to identify genetic variants in relation to antidepressant drug response across the genome without having a predefined hypothesis [23–27], have not detected genetic loci associated with antidepressant drug response that reached the level of statistical significance, not even the above-mentioned genes that have been previously reported in relation to antidepressant drug response in depression.

Within the present review, our main aim is to provide an overview of the methodological limitations and challenges associated with genetic studies of antidepressant response in depression. Next, we will discuss how specifically drug–gene interaction models in studies can contribute to identify genetic variants affecting antidepressant drug response in depression.

Challenges to identify genetic loci associated with antidepressant response in depression

One of the major challenges to the identification of genetic loci associated with antidepressant response is low statistical power, especially in the GWAS that have been published to date [23–27]. For example, a recently published meta-analysis had a discovery sample of 865 MDD patients in which a GWAS was conducted, and the meta-analysis that also included the replication samples had a total sample size of 2394 MDD patients [27]. With such relatively small sample sizes, the identification of significant effects at the accepted GWAS significance threshold of $p < 5 \times 10^{-8}$ would require very large effect sizes on decrease in depressive symptoms over time. For this reason, larger collaborating efforts, as were also required to identify genetic loci in other phenotypes (e.g., [28,29]), are likely required to have well-powered studies to identify genetic loci with modest effects on the decrease in depressive symptoms [30]. Besides increasing the sample size, researchers have also applied other solutions to overcome the issues of the sample size, which includes studies of intermediate phenotypes. However, also this strategy has some important limitations that need to be considered. For example, a GWAS on plasma drug concentrations of citalopram and escitalopram, which was performed in 435 MDD patients, identified two genome-wide significant independent loci that included the CYP2C9 and CYP2D6 genes [31]. These genes are encoding for proteins that affect drug metabolism. However, studies as these are not able to identify genes affecting antidepressant pharmacodynamics [31]. Furthermore, although genetic variation in CYP2D6 is also associated with citalopram and nortriptyline in the GEN-
DEP trial, no significant association was observed with drug response [20]. The clinical implication with respect to antidepressant drug response needs therefore to be elucidated.

An additional option for an intermediate phenotype could be, theoretically, the prescribed antidepressant dosage, as has also been done to identify loci involved in treatment resistance to coumarins [32–34]. However, coumarin treatment is precisely titrated by reference to the international normalized ratio (INR) while any antidepressant dose titration would rely on clinical response (unless blood levels are assessed on a regular basis). In the case of antidepressant drugs, participants at the upper end of the dosage phenotype distribution will include patients who were prescribed a higher prescribed antidepressant dosage because of a more severe type of depression as well as patients who were prescribed a higher antidepressant dosage because of nonresponse.

Of equal importance is the choice of the design to be able to identify genetic variants associated with antidepressant drug response. The study design most commonly used to date in genomic studies of antidepressant drug response is the ‘treated-only’ design, which is restricted to MDD patients who initiate antidepressant treatment and who are then followed over time to characterize treatment resistance. As was shown before in simulation models [35], genetic variants related with response in a treated-only design could theoretically reflect the main effect of the SNP on the indication of use. For example, it has been previously shown that antidepressant drugs have only a significant benefit over placebo treatment in patients with severe depressive symptoms [36]. When a study consists of a heterogeneous population of patients with mild and severe depressive symptoms, a larger drop in depressive symptoms during follow-up could theoretically be restricted to individuals with more severe depressive symptoms. In this scenario, genes associated with more severe depressive symptoms could thus be associated with heterogeneous antidepressant drug response. Although the effect of baseline severity of the depressive symptoms can be decreased by taking the percentage decrease in depressive symptoms, which is done in a limited number of the studies, this effect estimate can still be in part dependent on the severity of the depressive symptoms at baseline. Genes that have been previously published in relation with depressive symptoms or MDD as well as with antidepressant drug response could be examples of genes that only show an association with antidepressant drug response because of their association with a subset of severe depressive symptoms. Indeed, a systemic review and meta-analysis reported that the $S$ variant of the HTTLPR polymorphism in the $SLC6A4$ gene, a variant that has been repeatedly associated with antidepressant drug response [12], was associated with an 11% higher risk of MDD [37]. In theory, the observed association between $SLC6A4$ and antidepressant drug response also could be influenced by the association between $SLC6A4$ and the risk of MDD, and the true effect of $SLC6A4$ on the therapeutic response of antidepressants could be in theory be smaller. Yang et al. observed that genetic variation in the $APC$ gene was associated with both MDD as well as with the therapeutic response to antidepressants [38]. In addition to these examples, more genes have been shown to associate both to MDD as well as to the decrease in depressive symptoms after initiation of treatment (e.g., [38]). As no reference group was taken into account in the pharmacogenetic analysis, it is currently unknown whether or not these variants are truly associated with the therapeutic response of antidepressants in depression [38] or simply reflect genetic main effects.

An additional challenge to successfully identify genetic variants associated with antidepressant drug response is the reduction in depressive symptoms that is unrelated to the use of antidepressant drugs themselves [39], which is fairly common following antidepressant drug treatment in MDD patients. When people with MDD are enrolled at the height of their symptoms, subsequent improvement may be spontaneous, the response to the nonpharmacological part of treatment, or the result of ‘regression to the mean’ [40]. This issue is visualized in Figure 1, in which a hypothetical placebo-controlled randomized trial on antidepressant response in depression is displayed. Here, both the group treated with antidepressants and the placebo-treated group showed significant reduction in depressive symptoms. However, one should take into account that only the area displayed in gray is attributable to the use of the antidepressant. When a treated-only design (with only depressive patients that are treated) is used to identify loci associated with antidepressant drug response in depression, the decrease in depressive symptoms will be in part be the result of the antidepressant drug treatment, but is also in part unrelated to the antidepressant drug treatment. In this case, both the depressive indication of antidepressants as well as the natural disease course of the depression can influence the results from a pharmacogenomic study on antidepressant drug response in depression. Again, taking into account an untreated reference population may be able to disentangle the reduction of depressive symptoms caused by the drug with the reduction of depressive symptoms caused by nonpharmacological reasons (e.g., if patients expect improvement because of receiving treatment) or by the natural disease course.
Taken together, studies using a treated-only design to identify genetic variants associated with antidepressant drug response in depression will not only identify loci that are associated with the therapeutic response of the antidepressant drug treatment, but also identify loci associated with the severity of the depressive indication and with the natural disease course of the depression. As we describe below, choosing a study design that includes a reference population with similar indication that is using placebo treatment will be able to disentangle the true antidepressant response related to the drug from the ‘response’ that is unrelated to the use of antidepressants.

**Drug–gene interaction models in pharmacogenetic studies**

In epidemiology, interaction terms are used to demonstrate whether the association between a determinant and outcome is modified by another factor. This way, patients at excessively high risk to develop a certain disease can be identified. For pharmacogenomics, this means that the association between the drug and outcome is modified by certain genetic factors, and that patients with excessively high risk to develop adverse drug reactions or treatment resistance can be identified. However, these so-called ‘drug–gene interaction studies’ to identify pharmacogenetic loci that are associated with response or adverse drug reactions are not often performed. Using collaborative efforts, the ‘Cohorts for Heart and Aging Research in Genomic Epidemiology’ consortium [41] aimed to identify genetic loci that interact with drug use on multiple outcomes of drug use. However, neither genome-wide drug–gene interaction studies of antihypertensive agents and cardiovascular disease outcomes [42] nor of predefined drug classes and QT interval [43] identified genetic loci at genome-wide significant thresholds. Although these results could mean that there are no genetic loci that substantially modify the association between the drug and study outcome (and thus have no or limited clinical importance), the statistical power of these studies could also be too limited. Notably, the statistical power of a genome-wide drug–gene interaction study is dependent on multiple factors [43]. First, the frequency of drug use in the study population should be sufficient, with less commonly used drugs necessitating larger study populations. Second, the effect size should be substantial at least for the interaction, otherwise larger sample sizes are required. And third, the effect size of the drug on the outcome of interest. In case of a fairly low effect size of the drug on the outcome (e.g., a rare adverse drug event or a relatively small intended drug effect), a larger study population is required. Because of the issue of a limited sample size, new statistical programs have been developed to further increase the statistical power by leveraging longitudinal drug and phenotype assessments often available in prospective cohort studies [44], which can considerably increase statistical power [43].

Despite indications of a better performance of studies that use drug–gene interaction analyses, studies analyzing the effect of a SNP on the change of the study outcome after initiation of drug treatment can still provide valuable results. For example, several loci
were identified in a GWAS on cholesterol lowering in users of statin therapy [45]. Loci that were identified with the change in LDL cholesterol level after initiation of statin therapy include \( \text{SORT1}, \text{SLCO1B1}, \text{APOE} \) and \( \text{LPA} \). Besides being related to change in LDL cholesterol level after initiation of statin therapy, three of these loci (notably \( \text{SORT1}, \text{APOE} \) and \( \text{LPA} \)) have also been identified in relation to LDL cholesterol level in the most recent GWAS [46]. Based on the simulation studies described earlier, these loci could, besides being related to the LDL cholesterol lowering response of statins, also be related to the main effect of the SNP on LDL cholesterol level [35], despite having adjusted for the baseline LDL cholesterol level [45]. Similar as discussed before, these genes do not necessarily reflect the biological mechanism how statins lower LDL cholesterol level despite showing an association with LDL cholesterol reduction in statin users. Different from antidepressant drug response in depression is that the response is unrelated to the drug itself (e.g., placebo response or natural relief in depressive symptoms; Figure 1) is smaller in users of statins because LDL-cholesterol concentrations will not decrease spontaneously or as part of a disease course (e.g., [47]). This particular issue has been raised in a review by Hall et al. who showed that several genetic variants are associated with the placebo response [48]. In case of the pharmacogenetics of antidepressant drug response, several genes of particular interest to the field (e.g., \( \text{TPH2}, \text{SLC6A4}, \text{HTR2A} \)) are highlighted in this paper to be genes involved in placebo response. As we highlighted above that a relatively large placebo effect is a likely cause of the difficulties with antidepressant pharmacogenomics, it is not surprising that all genetic loci for LDL cholesterol reduction in statin users were replicated in a drug–gene interaction analysis [45].

Together with the simulation analyses [35], it is likely that the chance of successfully identifying genetic loci with the therapeutic response is dependent on the choice of the design. For drugs such as antidepressants, that have a relatively small ‘true therapeutic effect’ (drug treatment effect – placebo treatment effect) [36], taking into account a reference group could therefore have important implications.

**Application & considerations of drug–gene interaction models in pharmacogenetic randomized clinical trials on antidepressant response**

To the best of our knowledge, no studies have yet studied the association between genetic variants and antidepressant drug response in depression using drug–gene interaction models in a population containing a group of MDD patients treated with an antidepressants as well as a reference group (e.g., MDD patients treated with placebo). Nevertheless, a limited number of studies compared their results with a placebo-treated population [49], used a model-based approach to define the nonspecific response [50], or evaluated whether SNPs are specifically associated with response in nortriptyline or escitalopram [51]. In the case of a formal drug–gene interaction analysis, both the group of patients treated with an antidepressant drug and the group of patients treated with placebo would be followed over time and the depressive symptoms would be assessed at multiple time points. This procedure is exactly the same as what has been used to determine whether an antidepressant drug is more effective than a placebo [36]. Within this setting a drug–gene interaction model will determine whether a genetic variant is associated with the decrease in depressive symptoms in the group treated with an antidepressant relative to the group treated with placebo. The regression formulas for the statistical analyses for the treated-only and place-controlled pharmacogenomics studies are as follows:

**Regression formula for treated-only designs**

Change in depressive symptoms = \( \alpha + \beta_1 \times \text{SNP} + \beta_3 \times \text{covariates} \)

**Regression formula for drug–gene interaction models in randomized clinical trials**

Change in depressive symptoms = \( \alpha + \beta_1 \times \text{SNP} + \beta_2 \times (\text{drug/placebo group}) + \beta_3 \times \text{SNP} \times (\text{drug/placebo group}) + \beta_5 \times \text{covariates} \)

In these formulas, \( \alpha \) is the intercept of the regression line and the \( \beta_5 \) reflect the effect size of the independent variable on the change in depressive symptoms over time. In the treated-only design, \( \beta_3 \) measures the effect size of the SNP on the drug effect (e.g., reduction in depressive symptoms) in users. For the regression formula that is required in drug–gene interaction models in placebo-controlled studies, \( \beta_2 \) reflects the effect size of the effect allele on the change in depressive symptoms over time in users of antidepressants relative to the placebo group. In case of the treated-only design \( \beta_3 \), the beta estimate of interest whereas in the placebo-controlled pharmacogenomic study \( \beta_5 \) is the beta estimate of interest.

Hypothetical examples of two situations of a drug–gene interaction analysis in a placebo-controlled pharmacogenomic study are presented in Figure 2. According to the hypothetical situation depicted in the upper two graphs of the figure (Figure 2A), carriers of the variant allele (for simplicity reasons we combine heterozygous and homozygous variant allele
Figure 2. Hypothetical drug–gene interaction situations in a randomized clinical trial on antidepressant drug response in depression. The trajectory of the depressive symptoms over time is depicted in antidepressant-treated patients as a solid line, and in placebo-treated patients as a dashed line. (A) Carriers of the variant allele (heterozygous and homozygous carriers combined for simplicity reasons) are associated with, on average, a larger decrease in depressive symptoms than homozygous carriers of the major allele, but the effect size is similar for users of antidepressant treatment and users of placebo treatment. (B) Carriers of the variant allele that use also antidepressant treatment have a steeper decline in depressive symptoms within the time period than homozygous carriers of the major allele and users of placebo treatment. This hypothetical genetic variant is therefore a modifier of antidepressant drug response.

carriers together in the examples) showed a larger reduction in the proportion of patients with clinical depressive symptoms over time than homozygous carriers of the major allele. However, the decrease in the proportion of patients with depressive symptoms over time is similar for users of antidepressant treatment (solid line) and for users of placebo treatment (dashed line). This indicates that, although associated with a faster relief in depressive symptoms, this allele is not modifying the antidepressant drug response. If we would have used a treated-only design, the conclusion for this specific hypothetical allele would be that it is associated with antidepressant drug response in depression. However, as the association of this genetic variant is similar in the placebo-treated group, this hypothetical allele is more likely to reflect associations with depressive indicators or natural disease course. In contrast, true drug–gene interactions are shown in Figure 2B. In this example, the variant allele has no effect on reducing the severity of the depres-
Drug–gene interactions in antidepressant response

Drug–gene interaction analyses in population-based cohort studies

In addition to randomized controlled clinical trials, population-based cohort studies also can have valuable data available to identify genetic loci associated with response to antidepressants. For contributing in such studies, cohorts should have data available on drug use and have cross-sectional assessments of depressive symptoms collected concurrently [52]. Importantly, data on depressive symptoms should be collected for the total (unselected) study population. We defined genetic loci as being potential loci affecting antidepressant drug response in depression when genetic variants are associated with a different depressive symptoms score between users of antidepressants and nonusers of any antidepressant drug. The rationale of this theory is visualized in Figure 3, where interaction between the SNP and drug is present only in Figure 3C (the association between the genetic variant and depressive symptoms score is different between users and nonusers of antidepressants). In Figure 3B, the SNP is associ-

Figure 3. Hypothetical drug–gene interaction situations in a (prospective) cohort study on antidepressant drug response in depression. (A) No interaction and no association with cross-sectional assessments of depressive symptoms. (B) No interaction but association with cross-sectional assessments of depressive symptoms. (C) Interaction present, only association with cross-sectional assessments of depressive symptoms in treated participants.
Review Noordam, Avery, Visser & Stricker

were prescribed for indication other than depression. For example, it should be noted that antidepressants

tant limitations that need to be taken into account.

Drug response in depression, there are some impor-

future studies on the genetic basis of antidepressant

In case of The Netherlands, SSRIs are most often pre-

expression levels were altered after stimulation with an SSRI in

Visualization, as done in our study in case of the

HTR2A

in the therapeutic response of SSRIs in

Furthermore, these findings

Conclusion & future perspective

With the increased possibilities to study genetic
determinants in relation to certain study outcomes,

However, although being a promising model for

These loci, however, could theoretically also be associ-

Further-

studies aiming to identify genetic loci associ-

For example, the largest GWAS to date on lipid lowering during statin
therapy required more than 18,000 users of statin therapy to identify four independent genetic variants associated with LDL cholesterol lowering after initiation of statin treatment [45]. Still, these identified loci explained only approximately 5% of the total variation in LDL cholesterol response in statin users. Although successful in identifying genetic markers in relation to cholesterol-lowering response in statin users, there is still a long way to accurately predict before initiation of statin treatment whether a patient will develop sufficient lowering in cholesterol level or not. A meta-analysis of the GENDEP, MARS and STAR*D trials on the association between genetic variants and antidepressant drug response in depression only comprised 2256 treated MDD patients, and no loci were identified that reached the level of statistical significance [26]. Therefore, also with respect to other pharmacogenomic studies on other phenotypes, this indicates that the sample size is one major limitation [60]. Drug–gene interaction studies on antidepressant drug response in depression should also be aware of this, as such studies normally require larger sample sizes.

In summary, the search for genetic markers associated with antidepressant drug response in depression is difficult and only moderately successful to date. Although some genetic loci associated with antidepressant treatment have been replicated by others, generally the heterogeneity is large between studies, possible because of the lack of a reference population in the design of the study. Drug–gene interaction models, which additionally take into account the association between the genetic variant and the reduction in depression symptoms that is unrelated to the use of the antidepressant, will probably increase the ability to identify new loci and to confirm some of the already identified loci. This will also mean that loci previously associated with antidepressant drug response in depression in a treated-only design could be left unconfirmed in these newer drug–gene interaction studies. We expect that drug–gene interaction models will reduce the heterogeneity between studies and will increase the list of loci affecting the therapeutic response of antidepressants. When ethical issues prevent the use of a placebo-reference population especially in studies to be conducted in severe cases of MDD, studies can select different reference population in their study design, including a reference population on psychotherapy or on different antidepressant drug treatment. Nevertheless, studies should be performed in larger (collaborative) settings to increase the statistical power whatever the composition of the reference population is. Likely, the use of drug–gene interaction models will increase our understanding of the genetic basis of antidepressant response.

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**Executive summary**

- Although many studies have been conducted aiming to identify genetic variants in relation to antidepressant drug response in depression, only few genes have been repeatedly and robustly identified, notably CYP2D6, ABCB1, SLC6A4 and HTR2A.
- Important limitations of studies that have been conducted include the used sample size (and thus statistical power), but also the study design itself: the so-called ‘treated-only design’ in which only treated depressive patients are followed in time.
- The reduction in depressive symptoms that is observed in a study with a treated-only design can have different origins, including the indication of use, the natural disease course of the depressive indication (independent of drug use) and the true response of the antidepressant drug treatment.
- The inclusion of a reference group, such as a placebo-treated population, could disentangle the mix of origins why depressive patients show a decrease in depressive symptoms over time.
- The drug–gene interaction model in a placebo-controlled trial investigates what genetic variants associate with antidepressant drug response in antidepressant-treated depressive patients beyond the association that is observed in placebo-treated depressive patients.
- Genetic variants associated with ‘antidepressant response’ in both antidepressant-treated depressive patients and placebo-treated depressive patients are unlikely variants involved in antidepressant drug response in depression.
- Drug–gene interaction models in prospective cohort studies, in which genetic variants are differently associated with depressive symptoms in antidepressant-treated participants and untreated participants, might be an alternative strategy to identify genetic variants of interest for antidepressant drug response in depression.
- Future studies might benefit to investigate drug–gene interactions instead of main effects to identify genes for antidepressant drug response in depression.
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• Meta-analysis of the most commonly studied genetic markers for antidepressant drug response.


Drug–gene interactions in antidepressant response

Review

Simulation study of pharmacogenetic studies with the treated-only design and overall population design.

Meta-analysis describing that only depressive patients with a severe indication benefit from antidepressant treatment.

Meta-analyses of genetic studies on major depressive disorder.

Association of APC and REEP5 gene polymorphisms with major depression disorder and treatment response to antidepressants in a Han Chinese population.

Placebo response and antidepressant clinical trial outcome.

The effect of regression to the mean in epidemiologic and clinical studies.

Describes the concept of regression to the mean when studying the pharmacological response of a drug.


