

# Binding of Typical and Atypical Antipsychotic Agents to 5-Hydroxytryptamine-6 and 5-Hydroxytryptamine-7 Receptors<sup>1</sup>

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## ABSTRACT

The authors examined the affinities of 36 typical and atypical antipsychotic agents for the cloned rat 5-hydroxytryptamine-6 (5-HT<sub>6</sub>) and rat 5-hydroxytryptamine-7 (5-HT<sub>7</sub>) receptors in transiently expressed COS-7 cells (5-HT<sub>7</sub>) or stably transfected HEK-293 cells (5-HT<sub>6</sub> receptors). Clozapine and several related atypical antipsychotic agents (rilapine, olanzepine, tiospirone, fluperlapine, clorotepine and zotepine) had high affinities for the newly discovered 5-HT<sub>6</sub> receptor ( $K_s < 20$  nM). The 5-HT<sub>7</sub> receptor bound clozapine, rilapine, fluperlapine, clorotepine, zotepine and risperidone but not tiospirone and olanzepine, with affinities less than 15 nM. In addition, several typical antipsychotic agents (chlorprothixene, chlorpromazine, clothiapine and fluphenazine) had high affinities for both the 5-HT<sub>6</sub> and 5-HT<sub>7</sub> receptors. Pimozide, a diphenylbutylpiperidine, had the highest affinity of all

the typical antipsychotic agents tested for the 5-HT<sub>7</sub> receptor ( $K_i = 0.5$  nM). Three putative atypical antipsychotic agents melperone, amperozide and MDL 100907 did not bind with high affinities to either the 5-HT<sub>6</sub> or 5-HT<sub>7</sub> receptors ( $K_s > 50$  nM). Several dopamine-selective antipsychotic agents (raclopride, rimcazole and penfluridol) had essentially no affinity for either the 5-HT<sub>6</sub> or 5-HT<sub>7</sub> receptors ( $K_i$  values  $> 5000$  nM). Although 5-HT<sub>6</sub> or 5-HT<sub>7</sub> receptor affinity alone does not predict whether or not a drug will have atypical antipsychotic activity, the relatively high affinity of the 5-HT<sub>6</sub> receptor for several clozapine-related compounds, in combination with the enrichment of 5-HT<sub>6</sub> messenger RNA in the striatum, suggests that the ability of at least some atypical antipsychotic drugs to interact with 5-HT<sub>6</sub> receptors may contribute to their lack of extrapyramidal side effects.

Clozapine, the prototypical atypical antipsychotic drug, has greater efficacy in treatment-resistant schizophrenia than do typical antipsychotic drugs (Kane *et al.*, 1988; Meltzer *et al.*, 1989a). In addition, unlike any other known antipsychotic agent, clozapine is effective in masking symptoms of tardive dyskinesia and tardive dystonia without appreciably causing other types of extrapyramidal symptoms (Meltzer and Luchins, 1984; Friedman and Lannon, 1989; Meltzer *et al.*, 1989a; Lieberman *et al.*, 1991). The mechanism of action of clozapine remains unknown, although previous reports indicated that clozapine has functionally important interactions with serotonergic (Fink *et al.*, 1984; Meltzer *et al.* 1989b), dopaminergic (Altar *et al.*, 1988), muscarinic (Miller and Hilley, 1974) and  $\alpha$ -1 adrenergic (Cohen and Lipinski, 1986) receptors. More recent studies examined the binding of clozapine and related

compounds to the newly cloned D4 receptor (Van Tol *et al.*, 1991), the 5-HT<sub>1C</sub> receptor (Canton *et al.*, 1990; Roth *et al.*, 1992) and all five subtypes of muscarinic receptors (Bolden *et al.*, 1992).

Since our previous study of the 5-HT<sub>1C</sub> binding profile of clozapine and related atypical antipsychotic agents (Roth *et al.*, 1992), several additional 5-HT receptors have been cloned, including the 5-HT<sub>2F</sub> (Kursar *et al.*, 1992), the 5-HT<sub>6A</sub> and 5-HT<sub>6B</sub> (Matthes *et al.*, 1993), 5-HT<sub>1Ea</sub> and 5-HT<sub>1Eb</sub> (Amlaiky *et al.*, 1992; McAllister *et al.*, 1992; Zgombick *et al.*, 1992; Lovenberg *et al.*, 1993), 5-HT<sub>6</sub> (Monsma *et al.*, 1993) and 5-HT<sub>7</sub> (Shen *et al.*, 1993) receptors. Only the 5-HT<sub>6</sub> (Monsma *et al.*, 1993) and 5-HT<sub>7</sub> (Shen *et al.*, 1993) receptors were reported to bind clozapine with high affinities; scant published information is available in regard to the pharmacology of most of these newly cloned 5-HT receptor subtypes.

The 5-HT<sub>6</sub> and 5-HT<sub>7</sub> receptors pharmacologically resemble the 5-HT<sub>2</sub> receptor (Monsma *et al.*, 1993; Shen *et al.*, 1993), which has been postulated to be a major site of action of clozapine and related compounds (Meltzer *et al.*, 1989b). In particular, Monsma *et al.* (1993) and Shen *et al.* (1993) noted

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that both the 5-HT<sub>6</sub> and 5-HT<sub>7</sub> receptors appeared to have high affinities for many psychotherapeutic drugs of diverse classes (e.g., antidepressants and antipsychotics). In addition, the 5-HT<sub>6</sub> receptor messenger RNA is highly enriched in striatum (Monsma *et al.*, 1993), a potentially important site of action of antipsychotic drugs with regard to both extrapyramidal symptoms and perhaps cognitive functioning.

For these reasons, we examined the binding affinities of 36 typical and atypical antipsychotic drugs for the rat 5-HT<sub>6</sub> and 5-HT<sub>7</sub> receptors by using both transient and stably expressing cell lines. We discovered that several atypical antipsychotic agents with high affinities for the 5-HT<sub>2</sub> receptor also possessed high affinities for both the 5-HT<sub>6</sub> and 5-HT<sub>7</sub> receptors. It is conceivable that certain unique properties of clozapine and related compounds could be mediated by functionally important interactions with either 5-HT<sub>6</sub> or 5-HT<sub>7</sub> receptors.

## Materials and Methods

**Materials.** The sources of drugs used in this study were previously specified (Meltzer *et al.*, 1989b; Roth *et al.*, 1992) with the exception of the following compounds: olanzapine (Eli Lilly, Indianapolis, IN), MDL 100907 (Marion Merrell Dow, Cincinnati, OH), clorotepine (–) and clorotepine (+) (gifts of P. Seeman, Toronto, Canada), N-desmethyl and N-oxideclozapine metabolites (Sandoz, Basel, Switzerland). [<sup>3</sup>H]-LSD (66 Ci/mmol) was from New England Nuclear (Boston, MA). Cell culture supplies were from GIBCO/BRL (Gaithersburg, MD), common laboratory chemical were from Sigma (St. Louis, MO) and molecular biology reagents were obtained from Stratagene (Torrrey Pines, CA) or New England BioLabs (Boston, MA).

**Cell culture.** COS-7 cells were transfected with p5HT7 or pSVK3–5HT1C by using the DEAE-dextran technique of Cullen (Cullen, 1987) exactly as previously described (Choudhary *et al.*, 1992, 1993). The HEK-293 cells that express the 5-HT<sub>6</sub> receptor were previously characterized (Monsma *et al.*, 1993). The GF-6 cells, which stably express the 5-HT<sub>2</sub> complementary DNA, were from D. Julius and were previously characterized (Choudhary *et al.*, 1992, 1993). All cells were grown in Dulbecco's modified Eagle's medium, which contained high glucose (4500 mg/l), supplemented with glutamine, pyruvate and 10% fetal calf serum (regular medium). For binding experiments, the cells were switched to regular medium that contained 10% dialyzed medium at least 48 hr before harvesting.

**Radioligand binding.** The cells were washed with phosphate-buffered saline (148 mM NaCl, 2.7 mM KCl, 8 mM NaH<sub>2</sub>PO<sub>4</sub>, pH = 7.40, twice for 10 min each) and then harvested with a cell scraper into 20 ml of phosphate-buffered saline per plate. After concentration by centrifugation (1000 × *g* for 10 min), the cells were lysed in binding buffer (50 mM Tris Cl, pH = 7.40) and membranes were collected by centrifugation (20,000 × *g* for 20 min at 4°C). After resuspension and recentrifugation in binding buffer, the membranes were frozen as tight pellets at –80°C.

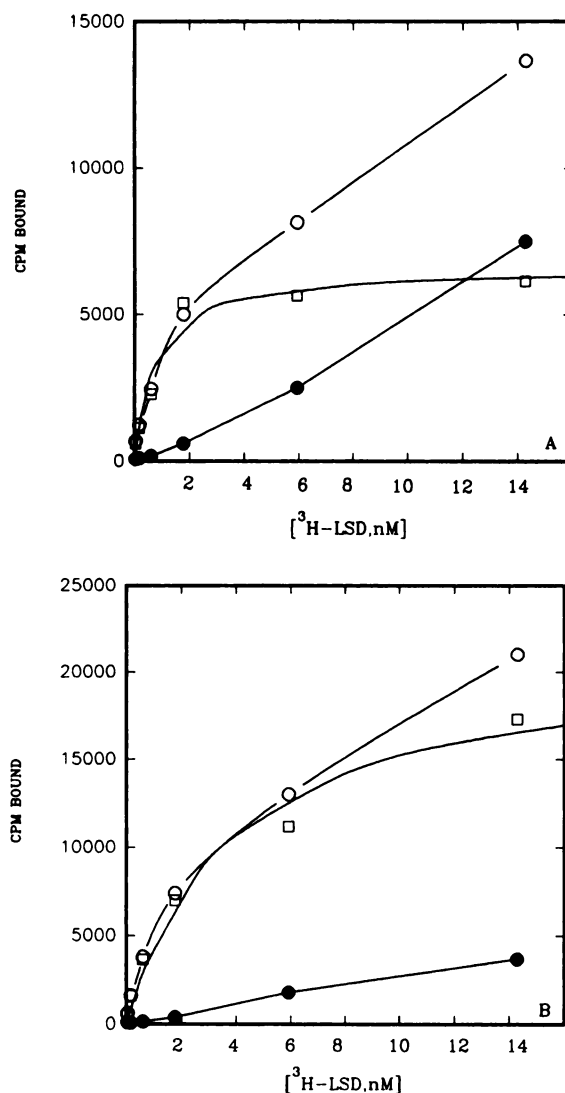
The 5-HT<sub>2</sub> and 5-HT<sub>1C</sub> binding assays were performed exactly as previously described (Roth *et al.*, 1992; Choudhary *et al.*, 1992). For 5-HT<sub>6</sub> and 5-HT<sub>7</sub> receptors, [<sup>3</sup>H]-LSD binding assays were performed in a total volume of 0.2 or 0.5 ml, respectively, at 25°C for 90 min in the dark, as previously detailed (Roth *et al.*, 1991, 1992). After incubation, the membranes were collected onto polyethyleneimine-pretreated glass fiber filters (GF/C, Whatman), washed with 5 ml of ice-cold binding buffer three times and then quantified by liquid scintillation spectrophotometry. Quenching was corrected for by the sample channels ratio technique. Nonspecific binding was determined with 10 μM clozapine and represented at least 95% of total binding. Competition and saturation binding data were analyzed by using the LIGAND program (Munson and Rodbard, 1980). The data reported here represent the mean of at least two separate competition binding studies in which five to seven concentrations of unlabeled ligand were used with duplicate determinations for each point. The protein concentration was deter-

mined with the Bio-Rad kit (Richmond, CA) by using bovine serum albumin as the standard.

**Data analysis.** The pK<sub>i</sub> values of typical and putative atypical antipsychotic drugs were compared by *t* tests and correlated by using Spearman *rho* coefficient. The pK<sub>i</sub> values were used as described in Meltzer *et al.* (1989b) to determine whether the 5-HT<sub>6</sub> and 5-HT<sub>7</sub> pK<sub>i</sub> values contributed to the discrimination of typical and atypical antipsychotic drugs by using stepwise discriminative function analysis (Meltzer *et al.*, 1989b).

## Results

**Expression of rat 5-HT<sub>6</sub> and 5-HT<sub>7</sub> receptors.** The HEK-293 cells that stably expressed the rat 5-HT<sub>6</sub> receptor were prepared as previously detailed (Monsma *et al.*, 1993). A

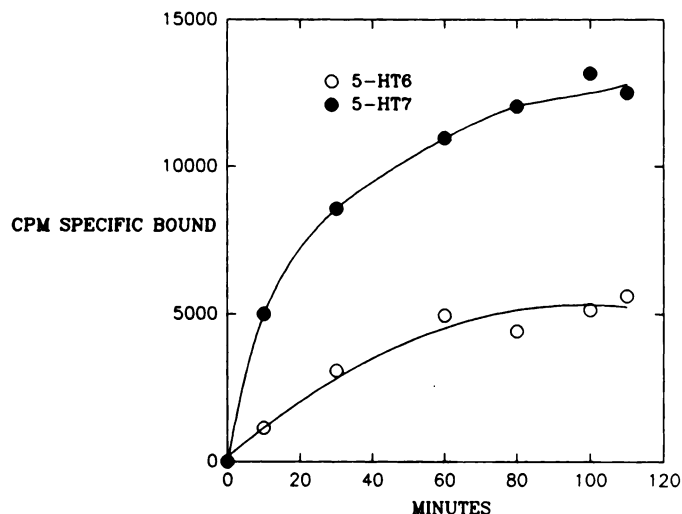


**Fig. 1.** Saturation binding plots of [<sup>3</sup>H]-LSD to 5-HT<sub>6</sub> (A) and 5-HT<sub>7</sub> (B) receptors. Shown are typical saturation binding isotherms for [<sup>3</sup>H]-LSD binding to 5-HT<sub>6</sub> (A) and 5-HT<sub>7</sub> (B) receptors. Shown are total (○), nonspecific (●) and specific (□) counts per minute bound at increasing [<sup>3</sup>H]-LSD concentrations (in nanomolar quantities). The data were fit by using the LIGAND program; the lines were computer generated. Clozapine (10 μM) was used to define nonspecific binding. For the 5-HT<sub>6</sub> receptor, the following values were obtained (means ± S.E.M.): K<sub>d</sub> = 1.3 ± 0.6 nM; B<sub>max</sub> = 1.34 ± 0.3 pmol/mg. For the 5-HT<sub>7</sub> receptor, the following values were obtained (means ± S.E.M.): K<sub>d</sub> = 7 ± 2.5 nM; B<sub>max</sub> = 24.7 ± 4.3 pmol/mg.

line that expressed high levels of 5-HT<sub>6</sub> receptors was chosen for further study. As shown in figure 1A, [<sup>3</sup>H]-LSD bound with high affinity and capacity to 5-HT<sub>6</sub> receptors stably expressed in HEK-293 cells. Untransfected HEK-293 cells do not express specific [<sup>3</sup>H]-LSD binding (not shown). Figure 2 shows the time course of binding of [<sup>3</sup>H]-LSD to the 5-HT<sub>6</sub> and 5-HT<sub>7</sub> receptors.

For 5-HT<sub>7</sub> receptors, transiently transfected COS-7 cells were used because they expressed higher receptor levels than did stably transfected HEK-293 cells. As shown in figure 1B, [<sup>3</sup>H]-LSD bound to 5-HT<sub>7</sub> receptors transiently expressed in COS-7 cells. As a control, untransfected COS-7 cells do not express specific [<sup>3</sup>H]-LSD binding (Roth *et al.*, 1992).

**Binding of typical antipsychotic drugs to 5-HT<sub>6</sub> and 5-HT<sub>7</sub> receptors.** Figure 3 shows that several typical antipsychotic drugs bound to 5-HT<sub>6</sub> receptors with high affinity.

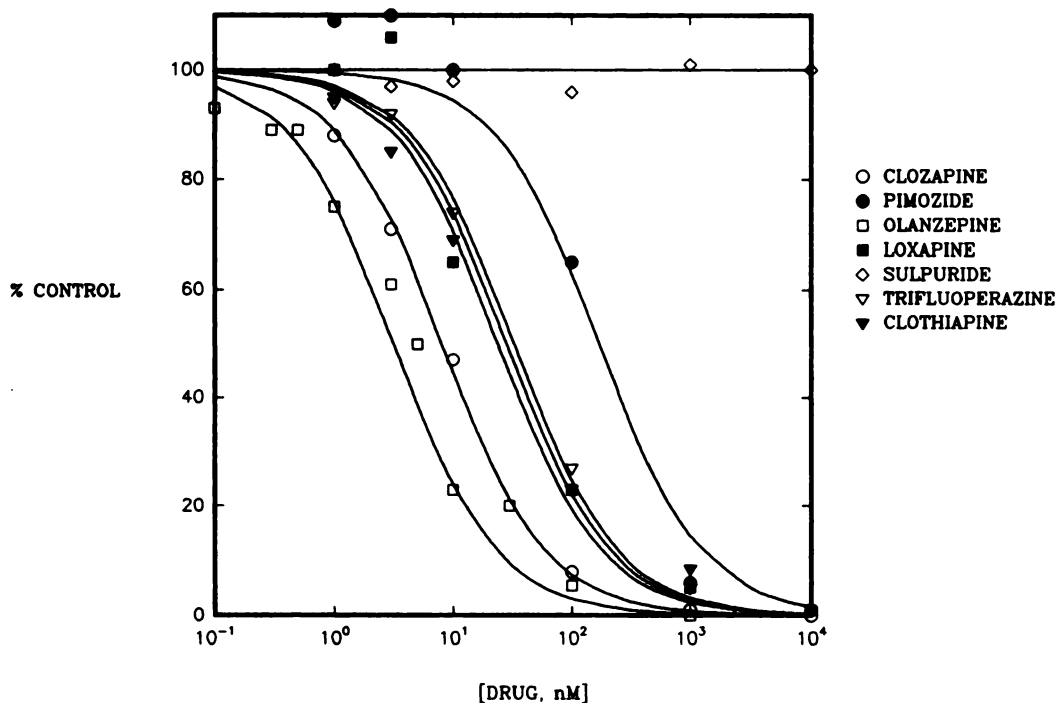


**Fig. 2.** Time course of [<sup>3</sup>H]-LSD binding to 5-HT<sub>6</sub> and 5-HT<sub>7</sub> receptors. Shown is a typical time course experiment in which 5-HT<sub>6</sub> (○) or 5-HT<sub>7</sub> (●) receptors were incubated with 5 nM [<sup>3</sup>H]-LSD at 25°C for various periods. Nonspecific binding was determined with 10 μM clozapine.

Tables 1 to 3 summarize our findings with 36 typical and atypical antipsychotic agents. For comparison, pK<sub>i</sub> values for the 5-HT<sub>2</sub>, 5-HT<sub>1C</sub> and D<sub>2</sub> receptors are also shown (tables 2 and 3). All pK<sub>i</sub> values were derived from binding assays performed with cloned receptors unless otherwise specified. As can be seen, chlorprothixene, chlorpromazine, amoxapine, thioridazine, loxapine, clothiapine, fluphenazine, clorotepine (–) and perphenazine all had high affinities for the 5-HT<sub>6</sub> receptor ( $K_i < 20$  nM). Pimozide, thiothixene, trifluoperazine and acetophenazine had intermediate affinities ( $K_i < 100$  nM); mesoridazine, molindone and spiperone had low affinities ( $K_i < 1100$  nM). Haloperidol, trifluoperidol, metoclopramide, rimcazole, remoxipride, raclopride and penfluridol did not significantly inhibit 5-HT<sub>6</sub> radioligand binding ( $K_i > 5000$  nM).

The affinities of these drugs for the 5-HT<sub>7</sub> receptors differed in several respects. Pimozide (fig. 4, table 1) had the highest affinity of all the typical antipsychotic drugs tested ( $K_i = 0.5$  nM); acetophenazine, chlorprothixene, fluphenazine, thiothixene and spiperone all had affinities less than 20 nM. Amoxapine, chlorpromazine, clothiapine, loxapine, perphenazine, thioridazine, trifluoperazine and trifluoperidol had intermediate affinities ( $K_i < 150$  nM); haloperidol, molindone and prochlorperazine had low affinities ( $K_i < 1000$  nM). Rimcazole, remoxipride, raclopride and penfluridol all had essentially no affinity for the 5-HT<sub>7</sub> receptors ( $K_i > 5000$  nM).

**Binding of atypical antipsychotic drugs to 5-HT<sub>6</sub> and 5-HT<sub>7</sub> receptors.** We also evaluated the affinities of many putative atypical antipsychotic drugs for the cloned 5-HT<sub>6</sub> and 5-HT<sub>7</sub> receptors. The rationale for considering all the following compounds, with the exception of clorotepine, atypical was previously given (Meltzer *et al.*, 1989b; Roth *et al.*, 1992): clozapine, fluperlapine, rilapine, perlapine, tiospirone, olanzepine, risperidone, clorotepine (+), zotepine, amperozide, melperone and tenilapine. Bogoso *et al.* (1991) suggest that clorotepine (+) behaves atypically, although clorotepine (–) has a typical profile. For the 5-HT<sub>6</sub> receptor, zotepine, clorotepine (+ and –), clozapine, rilapine, olanzepine, tiospirone and flu-



**Fig. 3.** Inhibition of [<sup>3</sup>H]-LSD binding to 5-HT<sub>6</sub> receptors by various typical and atypical antipsychotic drugs. Shown are typical competition binding isotherms for [<sup>3</sup>H]-LSD bound to 5-HT<sub>6</sub> receptors. The data represent the mean percent of the maximum specific binding (defined with 10 μM clozapine); the lines were drawn by the computer by using parameter estimates from the LIGAND program.

# TABLE 2

Binding characteristics of 5-HT<sub>6</sub> and 5-HT<sub>7</sub> receptors  
Data represent mean  $\pm$  S.D. of computer-derived  $K_i$  values from LIGAND program for  $n = 2$  to 4 separate experiments.

Drug	5-HT <sub>6</sub> $K_i$ (pK <sub>i</sub> )	5-HT <sub>7</sub> $K_i$ (pK <sub>i</sub> )
<b>Phenothiazines</b>		
Chlorpromazine (T) <sup>a</sup>	4 $\pm$ 1.4 (8.35)	21 $\pm$ 7 (7.6)
Thioridazine (T)	6.6 $\pm$ 1.7 (8.18)	70 $\pm$ 48 (7.15)
Fluphenazine (T)	17 $\pm$ 3.3 (7.77)	8 $\pm$ 5 (8.1)
Perphenazine (T)	17 $\pm$ 2.9 (7.77)	23 $\pm$ 7 (7.6)
Trifluoperazine (T)	66 $\pm$ 48 (7.18)	80 $\pm$ 13 (7.1)
Acetophenazine (T)	72 $\pm$ 48 (7.14)	2.4 $\pm$ 1 (8.62)
Prochlorperazine (T)	148 $\pm$ 92 (6.83)	196 $\pm$ 80 (6.7)
Mesoridazine (T)	159 $\pm$ 53 (6.8)	73 $\pm$ 40 (7.14)
<b>Thioxanthenes</b>		
Chlorprothixene (T)	3 $\pm$ 0.6 (8.52)	5.6 $\pm$ 1 (8.25)
Thiothixene (T)	45 $\pm$ 2.2 (7.35)	12 $\pm$ 1 (7.9)
<b>Heterocyclic Compounds</b>		
Zotepine (A) <sup>b</sup>	1.17 $\pm$ 0.4 (8.93)	1.78 $\pm$ 0.6 (8.75)
Clorotepine (+) (A)	0.41 $\pm$ 0.2 (9.38)	0.38 $\pm$ 0.05 (9.42)
Clorotepine (-) (T)	17.7 $\pm$ 5 (7.75)	1.3 $\pm$ 0.41 (8.89)
Clozapine (A)	4 $\pm$ 0.7 (8.40)	6.3 $\pm$ 3.7 (8.2)
Amoxapine (T)	6 $\pm$ 0.6 (8.22)	40.6 $\pm$ 25 (7.39)
Loxapine (T)	15 $\pm$ 1.5 (7.82)	43 $\pm$ 25 (7.37)
Clothiapine (T)	16 $\pm$ 6 (7.80)	4.3 $\pm$ 2.6 (8.37)
Fluperlapine (A)	16.5 $\pm$ 10 (7.78)	4.6 $\pm$ 2 (8.34)
Periapine (A)	70 $\pm$ 21 (7.15)	28 $\pm$ 3 (7.55)
<b>Butyrophenones</b>		
Melperone (A)	1254 $\pm$ 359 (5.9)	578 $\pm$ 135 (6.24)
Spiperone (T)	1595 $\pm$ 842 (5.80)	9.9 $\pm$ 5.7 (8.00)
Trifluoperidol (T)	>5000 (<5.3)	63 $\pm$ 31 (7.2)
Haloperidol (T)	>5000 (<5.3)	263 $\pm$ 41 (6.58)
<b>Diphenylbutylpiperidine</b>		
Pimozide (T)	71 $\pm$ 30 (7.15)	0.5 $\pm$ 0.05 (9.3)
Penfluridol (T)	>5000 (<5.3)	>5000 (<5.3)
<b>Diphenylbutylpiperazine</b>		
Amperozide (A)	67 $\pm$ 12 (7.2)	549 $\pm$ 120 (6.3)
<b>Miscellaneous Compounds</b>		
Olanzapine (A)	2.5 $\pm$ 0.9 (8.6)	104 $\pm$ 12 (6.98)
Rilapine (A)	6.95 $\pm$ 38 (8.2)	2.8 $\pm$ 1.7 (8.6)
N-Desmethylozapine (I)	9.4 $\pm$ 7 (8.02)	14.9 (7.8)
Tiospirone (A)	74 $\pm$ 52 (7.13)	0.64 $\pm$ 0.06 (9.19)
Risperidone (A)	425 $\pm$ 59 (6.37)	1.39 $\pm$ 1 (8.86)
MDL 100907 (A)	>5000	ND <sup>d</sup>
Sulpiride (T)	>5000	>5000
Rimcazole (T)	>5000	>5000
Raclopride (T)	>5000	>5000
Remoxipride	>5000	>5000
Molindone (T)	>5000	265 $\pm$ 36 (6.6)
Metoclopramide	>5000	ND
Clozapine-N-oxide (I) <sup>c</sup>	>5000	>5000
Chlorpromazine-N-Oxide (I)	>5000	>5000

<sup>a</sup> Typical.

<sup>b</sup> Atypical.

<sup>c</sup> Inactive.

<sup>d</sup> Not done.

perlapine all had high affinities; amperozide, perlapine and tenilapine had intermediate affinities. Risperidone and melperone had weak affinities; the putative antipsychotic drug MDL 100907 and the pharmacologically inactive metabolite of clozapine, clozapine-N-oxide, had no affinity for the 5-HT<sub>6</sub> receptor.

For the 5-HT<sub>7</sub> receptor, compounds with structures similar to that of clozapine (fluperlapine and perlapine) had relatively high affinities, as did risperidone, zotepine, clorotepine ( $\pm$ ) and tiospirone (tables 1–3). The major metabolite of clozapine, N-desmethylozapine, had higher affinity for the 5-HT<sub>6</sub> than for the 5-HT<sub>7</sub> receptor. Interestingly, risperidone had a 303-fold

# TABLE 3

Binding of typical antipsychotic agents to cloned serotonin receptors  
Shown are pK<sub>i</sub> values for cloned 5-HT<sub>1c</sub>, 5-HT<sub>2</sub>, 5-HT<sub>6</sub> and 5-HT<sub>7</sub> receptors except as otherwise noted.

Drug	pK <sub>i</sub>				
	5-HT <sub>6</sub>	5-HT <sub>7</sub>	5-HT <sub>2</sub>	t-HT <sub>1c</sub>	D2
Chlorprothixene	8.5	8.3	9.4 <sup>a</sup>	ND <sup>d</sup>	ND
Chlorpromazine	8.4	7.6	8.6	7.6	8.9 <sup>b</sup>
Amoxapine	8.2	7.4	9.0	8.7	ND
Thioridazine	8.2	7.2	8.2 <sup>a</sup>	7.1	8.1 <sup>a</sup>
Loxapine	7.8	7.4	8.7 <sup>a</sup>	8.0	8.1 <sup>a</sup>
Clothiapine	7.8	8.37	9.1	ND	ND
Fluphenazine	7.8	8.1	8.7	6.2	9.3 <sup>c</sup>
Clorotepine (-)	7.8	8.6	ND	ND	7.9 <sup>a</sup>
Perphenazine	7.8	7.6	8.6 <sup>a</sup>	7.3	9.2 <sup>a</sup>
Thiothixene	7.4	7.9	7.3 <sup>c</sup>	5.8	9.2 <sup>a</sup>
Trifluoperazine	7.2	7.1	8.4 <sup>a</sup>	7.0	9.7 <sup>c</sup>
Pimozide	7.2	9.3	8.1 <sup>a</sup>	<5	8.6 <sup>c</sup>
Acetophenazine	7.1	8.6	ND	6.0	ND
Prochlorperazine	6.8	6.7	8.2 <sup>a</sup>	7.1	8.3 <sup>a</sup>
Mesoridazine	6.8	7.1	8.2	7.1	8.1 <sup>a</sup>
Molindone	<5.3	6.6	6.3	ND	7.8 <sup>b</sup>
Spiperone	5.8	8.0	9.48	6.01	10.2 <sup>c</sup>
Haloperidol	<5.3	6.6	7.8	5.6	9.3 <sup>c</sup>
Trifluoperidol	<5.3	7.3	ND	ND	ND
Rimcazole	<5.3	<5.3	<5.3	ND	ND
Raclopride	<5.3	<5.3	<5.3	<5.3	8.7 <sup>c</sup>
Penfluridol	<5.3	<5.3	7.2	ND	ND
Metoclopramide	<5.3	ND	ND	ND	ND

<sup>a</sup> Meltzer *et al.*, 1989 (rat cortex, not cloned).

<sup>b</sup> Malmberg *et al.*, 1993 (cloned, expressed in Ltk- cells).

<sup>c</sup> Van Tol *et al.*, 1991 (cloned, expressed in Ltk- cells).

<sup>d</sup> Not done.

TABLE 3

Binding of atypical antipsychotic agents to cloned serotonin receptors

Shown are pK<sub>i</sub> values obtained from cloned 5-HT<sub>1c</sub>, 5-HT<sub>2</sub>, 5-HT<sub>6</sub> and t-HT<sub>7</sub> receptors except as otherwise noted.

Drug	pK <sub>i</sub>				
	5-HT <sub>6</sub>	5-HT <sub>7</sub>	5-HT <sub>1c</sub>	5-HT <sub>2</sub>	D2
Clorotepine (+)	9.4	9.4	ND <sup>d</sup>	ND	8.8 <sup>b</sup>
Zotepine	9.0	8.8	ND	9.2 <sup>a</sup>	9.0 <sup>a</sup>
Olanzapine	8.6	7.0	ND	ND	ND
Clozapine	8.4	8.2	8.2	8.4	7.25 <sup>b</sup>
Rilapine	8.2	8.6	7.6	9.2	7.4 <sup>a</sup>
Fluperlapine	7.8	8.3	7.7	8.2	6.5 <sup>a</sup>
Tiospirone	7.6	9.2	8.0	10.2	9.3 <sup>c</sup>
Periapine	7.2	7.6	ND	7.9 <sup>a</sup>	6.3 <sup>a</sup>
Amperozide	7.2	6.3	5.9	7.9	6.3 <sup>c</sup>
Tenilapine	7.0	7.3	7.2	7.4	5.8 <sup>a</sup>
Risperidone	6.4	8.9	7.5	9.6	8.8 <sup>c</sup>
Melperone	5.9	6.3	5.9	7.1	6.7 <sup>a</sup>

<sup>a</sup> Meltzer *et al.*, 1989 (rat striatum).

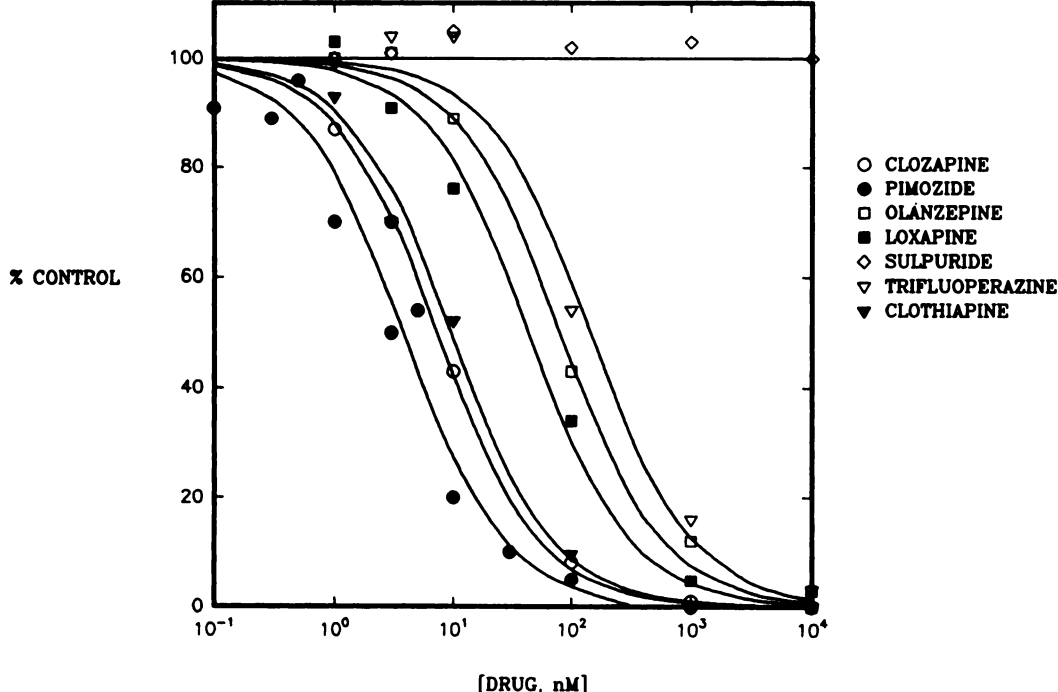
<sup>b</sup> Van Tol *et al.*, 1991 (cloned, Ltk- cells).

<sup>c</sup> Malmberg *et al.*, 1993 (cloned, Ltk- cells).

<sup>d</sup> Not done.

higher affinity for the 5-HT<sub>7</sub> than for the 5-HT<sub>6</sub> receptor; olanzapine preferred the 5-HT<sub>6</sub> receptor by 40-fold (table 1). However, most atypical compounds had similar affinities for both 5-HT<sub>6</sub> and 5-HT<sub>7</sub> receptors.

The possibility that the relative affinity of atypical antipsychotic drugs for the 5-HT<sub>6</sub> and 5-HT<sub>7</sub> receptors compared with the D2 receptor distinguishes these compounds from typical antipsychotics was also examined because the previously reported 5-HT<sub>2</sub>/D2 ratio was a sensitive index of atypicality (Meltzer *et al.*, 1989b). To do this, pK<sub>i</sub> values were used (tables



**Fig. 4.** Inhibition of [ $^3$ H]-LSD binding to 5-HT $_7$  receptors by various typical and atypical antipsychotic drugs. Shown are typical competition binding isotherms for [ $^3$ H]-LSD bound to 5-HT $_7$  receptors. The data represent the mean percent of maximum specific binding (defined with 10  $\mu$ M clozapine); the lines were drawn by the computer by using parameter estimates from the LIGAND program.

2 and 3). The differences in the  $pK_i$  values for the 5-HT $_6$  and D2, for the 5-HT $_7$  and D2 and for 5-HT $_2$  and D2 receptors were significantly greater for atypical than typical antipsychotic drugs ( $P < .001$ , data not shown). Stepwise discriminant function revealed that the  $pK_i$  values for the D2 receptor contributed most to the discrimination, followed by the 5-HT $_2$  (average squared canonical correlation with D2 alone = 0.61; with 5-HT $_2$  added, 0.71). The stepwise discrimination did not find significant improvement in the prediction by adding 5-HT $_6$  or 5-HT $_7$   $pK_i$  values.

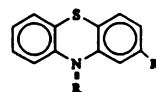
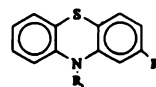
## Discussion

The major finding of this article was that a large number of both typical and atypical antipsychotic drugs have high affinities for the cloned rat 5-HT $_6$  and 5-HT $_7$  receptors. Clozapine and fluperlapine, both of which have been shown clinically to function as atypical antipsychotic agents (Korsgaard *et al.*, 1984; Woggon *et al.*, 1984; Woggon *et al.*, 1986; Kane *et al.*, 1988), had high affinities for both 5-HT $_6$  and 5-HT $_7$  receptors. These results suggest that a functional interaction with 5-HT $_6$  and/or 5-HT $_7$  receptors may contribute to the unique effects of some, but not all, atypical antipsychotic drugs. However, the ability of drugs to bind to 5-HT $_6$  and/or 5-HT $_7$  receptors does not appear to be essential for producing an antipsychotic drug that has little adverse effect on extrapyramidal function, *e.g.*, melperone.

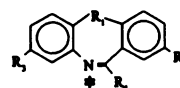
Previous studies demonstrated that atypical antipsychotic drugs bind to a large number of neurotransmitter receptors, including dopaminergic (Meltzer *et al.*, 1989b), adrenergic (Cohen and Lipinski, 1986), cholinergic (Bolden *et al.*, 1992) and serotonergic receptors (Meltzer and Nash, 1991). Studies of the importance of 5-HT receptors for an atypical antipsychotic profile have concentrated on the 5-HT $_2$  receptor and, to a lesser extent, the 5-HT $_{1C}$  (Roth *et al.*, 1992) and 5-HT $_3$  and 5-HT $_4$  receptors (Meltzer and Nash, 1991). Thus, Meltzer *et al.* (1989b) demonstrated that atypical antipsychotic drugs, as a

group, have a ratio of 5-HT $_2$ /D2  $K_i$  values greater than 10; typical antipsychotic drugs have 5-HT $_2$ /D2  $K_i$  values less than 10. Since those studies were published, 13 5-HT receptor subtypes have been cloned: 5-HT $_{1A}$  (Kobilka *et al.*, 1987), 5-HT $_{1D\alpha}$  (Hamblin and Metcalf, 1991), 5-HT $_{1D\beta}$  (Voight *et al.*, 1991), 5-HT $_{1C}$  (Julius *et al.*, 1988), 5-HT $_{1E\alpha}$  and 5-HT $_{1E\beta}$  (Amlaiky *et al.*, 1992; McAllister *et al.*, 1992; Zgombick *et al.*, 1992; Lovenberg *et al.*, 1993), 5-HT $_2$  (Pritchett *et al.*, 1988), 5-HT $_{2F}$  (Kursar *et al.*, 1992), 5-HT $_3$  (Maricq *et al.*, 1991), 5-HT $_{5A}$  and 5-HT $_{5B}$  (Matthes *et al.*, 1993), 5-HT $_6$  (Monsma *et al.*, 1993) and 5-HT $_7$  (Shen *et al.*, 1993). The present studies represent an extension of our prior studies. Previously, we examined the 5-HT $_{1C}$  receptor and found that the spectrum of drug binding did not favor a hypothesis that implicated the 5-HT $_{1C}$  receptor in antipsychotic drug actions (Roth *et al.*, 1991). We now find that most, but not all, atypical antipsychotic drugs, and selected typical antipsychotic drugs, bind strongly to 5-HT $_6$  and 5-HT $_7$  receptors.

Monsma *et al.* (1993) reported the cloning and preliminary pharmacological characterization of the 5-HT $_6$  receptors. These authors found that many psychoactive compounds with tricyclic and/or heterocyclic structures had high affinities for the 5-HT $_6$  receptor. Interestingly, these authors reported that the 5-HT $_6$  receptor was highly enriched in striatum and certain limbic regions. Our results suggest that many atypical antipsychotic drugs can bind with high affinity to the 5-HT $_6$  receptor. Although we do not know the physiological relevance of this high-affinity binding, it is conceivable that the lack of extrapyramidal side effects of clozapine and related compounds could be the result in part of an action at 5-HT $_6$  receptors in the striatum. In this regard, it is interesting to note that risperidone, which does not have high affinity for the 5-HT $_6$  receptor, can cause extrapyramidal side effects in humans at high doses (Chouinard *et al.*, 1993). Consistent with this, thioridazine, which does not cause catalepsy and appears to have other atypical features in rats (Merchant and Dorsa, 1993), has a relatively high affinity for the 5-HT $_6$  receptor.



**Fig. 5.** Structures of phenothiazines and heterocyclic compounds. \*For zotepine and clorotepine (octoclothepin), N = C. For clorotepine ( $\pm$ ), the 7-membered ring is fully saturated.



\*FOR ZOTEPINE N=C FOR OCTOCLOTHEPIN N=C AND NO DOUBLE BOND

Shen *et al.* (1993) cloned another 5-HT receptor that they named 5-HT<sub>7</sub>. As we report here, the 5-HT<sub>7</sub> receptor has high affinity for pimozide (table 1). Pimozide is a somewhat novel antipsychotic agent that is effective in the treatment of Tourette's syndrome, certain cases of obsessive-compulsive disorder and tics (Cohen *et al.*, 1992). It is conceivable that some of the unique actions of pimozide are dependent in part on an action at the 5-HT<sub>7</sub> receptor.

We found no mean differences between the pK<sub>i</sub> values of typical and atypical antipsychotic drugs for 5-HT<sub>6</sub> and 5-HT<sub>7</sub> receptors (not shown). This result was similar to our previous finding with regard to the 5-HT<sub>2</sub> receptor (Meltzer *et al.*, 1989b). There was also no clear pattern of greater affinity for the 5-HT<sub>6</sub> or 5-HT<sub>7</sub> receptors for either class of drugs. Because we reported that the ratio of the K<sub>i</sub> values for 5-HT<sub>2</sub> and D2 receptor binding significantly differentiated atypical and typical antipsychotic drugs (Meltzer *et al.*, 1989b), a similar approach was tried by using 5-HT<sub>6</sub> and 5-HT<sub>7</sub> ratios. We found no contribution for the 5-HT<sub>6</sub> and 5-HT<sub>7</sub> receptors for the group as a whole. However, this analysis does not rule out the possibility that, for specific drugs in which the 5-HT<sub>6</sub> or 5-HT<sub>7</sub> affinities are high, occupancy of 5-HT<sub>6</sub> or 5-HT<sub>7</sub> receptors could contribute to certain therapeutic actions. It is interesting that the affinities for all drugs for the 5-HT<sub>2</sub> receptor, with the exception of thiothixene and clozapine, was equal to or greater than that for the 5-HT<sub>6</sub> and 5-HT<sub>7</sub> receptors.

One of the difficulties with a mere statistical analysis of the findings by a stepwise discriminant function analysis is that only one aspect of an atypical antipsychotic drug's actions is considered (*i.e.*, lack of extrapyramidal side effects). Clozapine, which is the prototypic atypical antipsychotic drug, has unique actions, which include its effectiveness in treatment-resistant schizophrenia, its apparent lack of induction of tardive dyskinesia and its ability to suppress symptoms of tardive dyskinesia (Kane *et al.*, 1988; Lieberman *et al.*, 1991; Meltzer and Luchins, 1984). No currently available antipsychotic drug has these unique actions, although it is important to remember that none of the drugs listed as atypical antipsychotics has been used in large-scale trials for treatment-resistant schizophrenia or tardive dyskinesia. At the present time, we do not know if clozapine's high affinities for the 5-HT<sub>6</sub> and/or 5-HT<sub>7</sub> receptors is essential for its unique actions. When more clinical information is available on the effectiveness of the drugs listed in table 2, we may be better able to rule in or out a role for the 5-HT<sub>6</sub> and 5-HT<sub>7</sub> receptors for the actions of clozapine and similar compounds.

In terms of structure-activity relationships (fig. 5), we are able to reach several conclusions in regard to the tricyclic and heterocyclic molecules and their ability to recognize 5-HT<sub>6</sub> receptors. For phenothiazines, an aldehyde in the R2 position greatly decreased affinity for the 5-HT<sub>6</sub> receptor. The nature of the R1 substituent had little effect with the derivatives tested, except in the case of chlorpromazine N-oxide, which was devoid of affinity for the 5-HT<sub>6</sub> and 5-HT<sub>7</sub> receptors. For the 5-HT<sub>7</sub> receptors, in general, the bulkier the substitution at the R2 position is, the higher the affinity is; this was particularly clear with acetophenazine > fluphenazine > perphenazine and trifluoperazine > prochlorperazine. Similar to the 5-HT<sub>6</sub> receptor, the nature of the R2 substituent had little effect on binding affinity with the exception again, of chlorpromazine N-oxide.

For heterocyclic molecules, a halogen at either the R2 or R3

position was associated with high affinity. This relationship did not hold consistently with the 5-HT<sub>7</sub> receptors, in which, for instance, loxapine and amoxapine both had lower affinities than did perlapine. The nature of the R4 substituent had apparently little effect on the affinities for both the 5-HT<sub>6</sub> and 5-HT<sub>7</sub> receptors. Also, the presence of a nitrogen, carbon, oxygen or sulfur at the R1 position had no detectable effect on the affinity for the 5-HT<sub>6</sub> receptor (compare loxapine and clothiapine). For the 5-HT<sub>7</sub> receptor, however, an oxygen at the R1 position was generally associated with lower affinity than was a sulfur (compare clothiapine and loxapine). Demethylation of the ring nitrogen at R4 had no large effect on the affinity for either the 5-HT<sub>6</sub> or 5-HT<sub>7</sub> receptors.

In general, butyrophenones had low affinities for the 5-HT<sub>6</sub> receptor. For the 5-HT<sub>7</sub> receptor, the nature of the substituent had great effects on the affinity. In general, the larger the group is, the higher the apparent affinity is. Thus, for the butyrophenone series, we found the following relationship for the 5-HT<sub>7</sub> receptor: spiperone > trifluoperidol > haloperidol > melperone. The presence of nitrogens greatly increased the affinity for the 5-HT<sub>7</sub> receptor; this was seen for both the butyrophenones and the diphenylbutypiperidines. Taken together, these findings demonstrate that the 5-HT<sub>6</sub> and 5-HT<sub>7</sub> receptors, although they both have a high affinity for many antipsychotic agents, have distinct structure-activity relationships. These differences suggest that 5-HT<sub>6</sub> and/or 5-HT<sub>7</sub>-subtype selective agents could be synthesized.

In conclusion, we discovered that two recently cloned 5-HT receptors, 5-HT<sub>6</sub> and 5-HT<sub>7</sub>, possessed high affinities for clozapine and related atypical antipsychotic agents. The high affinity of selected typical and atypical antipsychotic agents for these newly cloned 5-HT receptors may be important for mediating the unique actions of certain antipsychotic drugs. In particular, the high affinity for the 5-HT<sub>6</sub> receptor, in view of its concentration in the striatum, suggests that 5-HT<sub>6</sub>-active agents could be important for certain motor effects of typical and atypical antipsychotic agents. The availability of 5-HT<sub>6</sub> and/or 5-HT<sub>7</sub> receptor selective agents will shed light on the physiological role of these interesting receptors.

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