Bamlanivimab therapy for acute COVID-19 does not blunt SARS-CoV-2-specific memory T cell responses

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Running title: T cell responses to SARS-CoV-2 following monoclonal antibody therapy
ABSTRACT
Despite the widespread use of SARS-CoV-2-specific monoclonal antibody (mAb) therapy for the treatment of acute COVID-19, the impact of this therapy on the development of SARS-CoV-2-specific T cell responses has been unknown, resulting in uncertainty as to whether anti-SARS-CoV-2 mAb administration may result in failure to generate immune memory. Alternatively, it has been suggested that SARS-CoV-2-specific mAb may enhance adaptive immunity to SARS-CoV-2 via a "vaccinal effect." Bamlanivimab (Eli Lilly) is a recombinant human IgG1 that was granted FDA emergency use authorization for the treatment of mild to moderate COVID-19 in those at high risk for progression to severe disease. Here, we compared SARS-CoV-2 specific CD4+ and CD8+ T cell responses of 95 individuals from the ACTIV-2/A5401 clinical trial 28 days after treatment with 700 mg bamlanivimab versus placebo. SARS-CoV-2-specific T cell responses were evaluated using activation induced marker (AIM) assays in conjunction with intracellular cytokine staining (ICS). We demonstrate that most individuals with acute COVID-19 develop SARS-CoV-2-specific T cell responses. Overall, our findings suggest that the quantity and quality of SARS-CoV-2-specific T cell memory was not diminished in individuals who received bamlanivimab for acute COVID-19. Receipt of bamlanivimab during acute COVID-19 neither diminished nor enhanced SARS-CoV-2-specific cellular immunity.
INTRODUCTION

In 2019, severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) arose as a novel human pathogen and etiologic cause of coronavirus disease 2019 (COVID-19), a clinical syndrome ranging in disease severity from asymptomatic infection to severe pneumonia and death (1). Monoclonal antibodies (mAb) have demonstrated efficacy against viral pathogens, like respiratory syncytial virus and Ebola (2), and were quickly developed and tested in clinical trials to identify safe and effective prophylaxis and treatments for COVID-19. The clinical efficacy and safety data from such trials resulted in emergency use authorization (EUA) from the U.S. Food and Drug Administration for several products, and subsequent clinical use in adults and children at risk for severe COVID-19 (3, 4).

Although clinical trials have demonstrated the safety of SARS-CoV-2 neutralizing mAb (nAb) therapy and efficacy in preventing hospitalization and mortality from COVID-19, little is known regarding the effects of mAb therapy for acute COVID-19 on the development and maintenance of adaptive immunity to SARS-CoV-2 (5), in particular virus-specific CD4+ and CD8+ T cell responses. Since the early administration of SARS-CoV-2-specific mAb during acute COVID-19 can reduce viral burden and improve viral clearance (4, 6), there is concern that persons with acute infection who receive mAb therapy will develop inferior quality adaptive immunity to SARS-CoV-2 due to reduced exposure to viral antigens (7). Alternatively, it has been hypothesized that mAb therapy could enhance antiviral adaptive immunity through a “vaccinal effect” (8–10).

NAb are able to block viral entry into host cells, thereby preventing infection. When sufficient amounts of exogenous (e.g. mAb therapy) or endogenous (e.g. pre-formed from prior infection or immunization) nAb are present, it is possible to achieve sterilizing immunity (11). Although in most persons with acute COVID-19 the initial, non-specific, innate immune response is rapidly complemented by SARS-CoV-2-specific adaptive immune responses consisting of antibody-producing B cells (humoral immunity) and CD4+ and CD8+ T cells (cellular immunity), it takes time for this adaptive antiviral response to develop
(11–13). During primary SARS-CoV-2 infection, many cells will become infected prior to the development of SARS-CoV-2-specific humoral immunity, and efficient viral clearance likely requires cellular immunity (11, 12, 14–17). Following acute infection and viral clearance, B and T cells ultimately provide long-lived protection in the form of memory B and T cells (13, 18–22). With T cell assistance, SARS-CoV-2-specific memory B cells (MBCs) undergo somatic hypermutation to form antibodies with increased affinity and neutralization potential to SARS-CoV-2 (22–24).

Most immunocompetent adults with primary SARS-CoV-2 infection develop SARS-CoV-2-specific CD4+ and CD8+ T cell responses (12, 13, 25). Both SARS-CoV-2-specific CD4+ and CD8+ T cells have been shown to be valuable components of protective immunity to SARS-CoV-2 and to correlate with less severe COVID-19 (11, 12, 26–28). SARS-CoV-2 antigen-specific CD4+ and CD8+ T cells can be detected as early as three days post-symptom onset, and memory CD4+ and CD8+ T cells to SARS-CoV-2 remain detectable in most individuals for at least several months post-infection (12, 13, 18, 20, 21). Differences can be observed between SARS-CoV-2-specific CD4+ and CD8+ T cells, including cytokine-production profiles and memory subsets (12, 13, 20, 21, 25, 26). This likely reflects the diverse array of effector and memory CD4+ and CD8+ T cells that are generated in response to SARS-CoV-2 infection, and the distinct roles played by these T cell subsets in shaping the antiviral response (11).

While certain T cell subsets like Th1 and cytotoxic CD4+ and CD8+ T cells may directly act on and/or eliminate virally infected cells, other T cell subsets like follicular helper CD4+ T cells (T\textsubscript{FH}) play a more indirect yet crucial role in refining B and T cell-mediated antiviral responses (27–30). Specifically, T\textsubscript{FH} are important in nAb development, following infection (or vaccination) (11, 27, 28). For example, the changes observed in MBCs following SARS-CoV-2 infection point to a role for long-lived germinal center B cell-T cell interactions, in particular B cell-T\textsubscript{FH} interactions, in the maturation of SARS-CoV-2-specific antibody responses (31).

Bamlanivimab is a neutralizing human immunoglobulin (IgG)-1 that can recognize the receptor binding
domain (RBD) of the SARS-CoV-2 ancestral spike (S) protein and prevent RBD interaction with human angiotensin-converting enzyme 2, thereby blocking viral entry into host cells (32, 33). In November 2020, the administration of a single intravenous dose of 700 mg bamlanivimab was issued EUA by the FDA for the treatment of mild to moderate acute COVID-19 in the outpatient setting in adults at risk for severe COVID-19 and within 10 days from symptom onset (34). Here we present data on SARS-CoV-2-specific CD4+ and CD8+ memory T cell responses at study day 28 for 95 participants of the ACTIV-2/A5401 clinical trial with mild-to-moderate COVID-19 who received 700 mg of bamlanivimab (n = 46) or placebo (n = 49) on study day 0.

RESULTS

Bamlanivimab treatment and SARS-CoV-2-specific CD4+ T cell frequencies

This study examines early memory T cell responses in 95 persons who had acute COVID-19 approximately 1 month after these persons received either a single 700 mg intravenous dose of bamlanivimab (Treatment; n = 46) or intravenous normal saline placebo control (Placebo; n = 49). These persons received bamlanivimab or placebo on study day 0. Subsequently, peripheral blood was collected from these same persons on study day 28 to isolate and cryopreserve peripheral blood mononuclear cells (PBMC) for memory T cell analyses. SARS-CoV-2-specific CD4+ and CD8+ memory T cell responses were measured for all subjects at study day 28 and compared between treatment and placebo groups. PBMC samples with <85% cell viability (5/95 samples) upon thawing were excluded from analyses.

Frequencies of SARS-CoV-2-spike and non-spike (CD4-RE) specific CD4+ T cells were measured by activation induced marker (AIM) assay (surface OX40+ and 41BB+ Fig. 1A and C, Fig. S2A; surface OX40+ and CD40L+ Fig. 1B and D, Fig. S2B). Antigen-specific CD4+ T cell frequencies by surface expression of OX40 and either CD40L or 41BB positively correlated when plotted by these combinations of AIM markers;
demonstrating that CD4+ T cell responses were comparable whether CD40L or 41BB was used in combination with OX40 for the identification of SARS-CoV-2-specific CD4+ T cells (Fig. 1C and D, Fig. S2C). At day 28, the majority (≥90%) of the participants from both the Treatment and Placebo groups had positive spike and non-spike SARS-CoV-2-specific CD4+ T cell responses (Fig. 1C and D, Fig. S1 A and B). There was no significant difference in the magnitude of antigen-specific CD4+ T cell responses between the Treatment and Placebo group based on percentages (Fig. 1C and D) or stimulation index (SI; Fig. S2A and B) by Mann-Whitney test. The percent of individuals making detectable responses to spike, non-spike, or all epitopes was 89-100% and not significantly different between the Treatment and Placebo groups for any stimulation condition (Fisher’s exact test p-value 0.43 to >0.99 for spike, CD4-RE and combined antigen-specific CD4+ responses by AIM; Fig. 1C and D). All the Treatment group (45/45) and nearly all the Placebo group (44/45) participants (88-89/90 total) had measurable SARS-CoV-2-specific CD4+ T cell responses by at least one AIM measure (‘combined’, Fig. 1C and D).

**SARS-CoV-2-specific CD4+ T cell functional responses**

Functionality of SARS-CoV-2-specific CD4+ T cells at day 28 was assessed by a combination of surface AIM marker expression with intracellular cytokine staining (AIM+ICS) following stimulation with spike and non-spike (CD4-RE) peptide megapools (MP) (CD4+ surface CD40L+ plus intracellular interferon gamma (IFNg), tumor necrosis factor alpha (TNFa), Granzyme B (GzmB), and/or interleukin-2 (IL-2); Fig. 2A-F, Fig. S3). There was no difference in polyfunctionality of SARS-CoV-2-specific antiviral CD4+ T cells observed between the Treatment and Placebo groups based on cytokine production (Fig. 2F). Overall, 96% of Treatment and Placebo group participants had SARS-CoV-2-specific IFNg+ CD4+ T cell responses; 82% of Treatment and 84% of Placebo participants had IFNg+ CD4+ T cell responses detectable to spike epitopes, and 93% of Treatment and 86% of Placebo participants had IFNg+ CD4+ T cell responses detectable to non-spike epitopes (Fig. 2B). IFNg+ CD4+ T cell response magnitudes were not significantly different
between the Treatment and Placebo groups for any stimulation condition, whether comparing percentages (Fig. 2B) or stimulation index (Fig. S3A) by Mann-Whitney test. SARS-CoV-2-specific CD4⁺ T cells most often demonstrated production of IFNγ and TNFα (Fig. 2B, E). GzmB (Fig. 2C) and IL-2 (Fig. 2D) production were also seen. Cytokine production by antigen-specific CD4⁺ T cells predominantly exhibited a Th1 profile, as would be anticipated for acute COVID-19. SARS-CoV-2-specific CD4 T cells from trial participants who received mAb therapy did not demonstrate less cytokine production than those who received placebo for any of the cytokines measured (Fig. 2 and Fig. S3).

**SARS-CoV-2-specific circulating T<sub>FH</sub> cells**

SARS-CoV-2-specific CD4⁺ circulating T follicular helper cells (T<sub>FH</sub>; defined by CXCR5 positivity) at day 28 were assessed by AIM following 24-hours stimulation with viral spike and non-spike peptides (Fig. 3). Here circulating T<sub>FH</sub> are defined as CXCR5⁺ antigen-specific CD4⁺ T cells in order to capture all activated and resting memory circulating T<sub>FH</sub> responses to SARS-CoV-2, rather than solely responses of activated circulating T<sub>FH</sub>, which would additionally be defined by positive to high PD-1 surface expression. Both the Treatment and Placebo groups had similar T<sub>FH</sub> SARS-CoV-2-specific CD4⁺ T cell frequencies to spike and non-spike epitopes at day 28 (Fig. 3B, D and Fig. S4). No significant differences in antigen-specific T<sub>FH</sub> frequencies or positivity were observed between the Treatment and Placebo groups by Mann-Whitney tests (Fig. 3B, D). Similar results were obtained when antigen-specific T<sub>FH</sub> were measured by stimulation index (Fig. S4A, B). Between 82-98% of individuals had antigen-specific T<sub>FH</sub>, with no significant difference in positive response rates by Fisher’s exact tests (p-values 0.56 to >0.99 for antigen-specific spike, non-spike, and combined T<sub>FH</sub> responses; Fig. 3B, D). Surface expression of CCR6 and CXCR3 on AIM⁺ antigen-specific T<sub>FH</sub> cells was also examined (Fig. S4C, D) as the presence or absence of these markers may be indicators of T<sub>FH</sub> functionality important for adaptive immunity to SARS-CoV-2. T<sub>FH</sub> with CCR6 and CXCR3 surface expression have been demonstrated to play a role in lung homing and
germinal center and rapid anamnestic (recall) responses, respectively (13, 27, 35). Memory T_{FH} negative for surface CXCR3 expression are associated with high quality antibody and germinal center responses (36, 37). No significant difference in the surface expression patterns of the chemokine receptors CCR6 and CXCR3 was observed on antigen-specific T_{FH} cells following mAb therapy versus placebo by Mann-Whitney test (Fig. S4C, D).

**SARS-CoV-2-specific CD4^+ T cell memory subsets**

SARS-CoV-2-specific CD4^+ T cells in the Treatment and Placebo groups were classified into memory subsets based on CD45RA and CCR7 surface expression patterns following stimulation with SARS-CoV-2 spike and non-spike (CD4-RE) epitope-containing MPs by AIM (Fig. 4A-D). Similar to prior studies of adaptive immunity during the convalescent phase of COVID-19 (13, 21), central memory (T_{CM}) and effector memory (T_{EM}) T cells were the most frequent CD4^+ T cell memory phenotypes observed (Fig. 4B, D). Similar findings regarding memory CD4^+ T cell subset frequencies and subsets were observed by AIM+ICS (Fig. S5A, B). SARS-CoV-2-specific CD4^+ T cell memory phenotype frequencies at day 28 post-treatment were not altered by bamlanivimab (Fig. 4B, D, Fig. S5A, B).

**SARS-CoV-2-specific CD8^+ T cell frequencies and functional responses**

SARS-CoV-2-specific CD8^+ T cell responses at day 28 were also evaluated in the Treatment and Placebo groups by AIM (surface CD69^+ and 41BB^+, Fig. S6C-E) and AIM+ICS (surface CD69^+ and intracellular IFNg^+, Fig. 5A and B, Fig. S6A). The use of AIM+ICS allowed for simultaneous assessment of CD8^+ T cell specificity and functionality and proved more sensitive than AIM alone for the detection of SARS-CoV-2 spike and non-spike (CD8-RE)-specific CD8^+ T cells (Fig. 5B, Fig S6). Over half of participants (51-53%) made detectable CD8^+ T cell responses to spike and 53-62% made detectable CD8^+ T cell responses to
non-spike epitopes (Fig. 5B). Overall, 51-62\% of participants generated antiviral CD8\(^+\) T cell responses to spike or non-spike epitopes (Fig. 5B) and 69-76\% made detectable responses to spike and non-spike epitopes combined. There was no significant difference between CD8\(^+\) T cell response rates in Treatment and Placebo groups (69\% compared to 76\%, Fisher’s exact test \( p = 0.64 \). Fig. 5B). There was also no significant difference in the magnitude of antigen-specific CD8\(^+\) T cell responses between the Treatment and Placebo group based on percentages (Fig. 5B) or stimulation index (SI; Fig. 5A).

In addition to IFN\(\gamma\) production, antigen-specific CD8\(^+\) T cells produced additional cytokines, including GzmB (in conjunction with IFN\(\gamma\)) (Fig. 5C), IL-2 (Fig. 5D) and TNF\(\alpha\) (Fig. 5E) in response to stimulation with SARS-CoV-2 peptides. As expected, IL-2 production by antigen-specific CD8\(^+\) T cells was rare (Fig. 5D). There was no difference in cytokine production by antiviral CD8\(^+\) T cells observed between the Treatment and Placebo groups based on the production of single or multiple cytokines by SARS-CoV-2-specific CD8\(^+\) T cells (Fisher’s exact test \( p\)-values between 0.19 to 0.98 for all cytokines and stimulation conditions; Fig. 5B-F).

**SARS-CoV-2-specific CD8\(^+\) T cell memory subsets**

SARS-CoV-2-specific CD8\(^+\) T cell memory subsets were evaluated at day 28 (Fig. 6A, B). No significant differences were observed in the memory CD8\(^+\) T cell populations between the Treatment and Placebo groups (Fig. 6B). Following mAb or placebo therapy, antigen-specific memory CD8\(^+\) T cells generated in response to acute COVID-19 were predominantly \( T_{\text{EM}} \) and \( T_{\text{EMRA}} \) (Fig. 6B), consistent with previous reports of untreated persons with COVID-19 (13, 21).

**Baseline and Day 28 SARS-CoV-2-specific IgG antibody responses**

Blood was drawn prior to administration of bamlanivimab or placebo for antibody response assessments
at study enrollment (baseline, day 0) including IgG to the SARS-CoV-2 nucleocapsid (N), ancestral spike S2 domain (S2) and RBD. Antibody titers were measured using the Bio-Plex Pro Human SARS-COV-2 Serology IgG assay (Bio-Rad Laboratories, Inc., Life Sciences Group (Hercules, CA, USA)). There were no significant differences in baseline serostatus between the Treatment or Placebo group participants for whom T cell responses were evaluated (as would be expected because assignment was randomized). At day 0, SARS-CoV-2 IgG titers to N, the ancestral S2 and RBD were not significantly different between the Treatment and Placebo groups (Fig. 7A-C). Most participants were seronegative and an approximately equal number of participants from both groups were seropositive for RBD IgG at baseline (Fig. 7C). Antibody responses to N, S2, and RBD were again measured at day 28 by the Bio-Plex Pro Human IgG assay (Fig. 7D-F). Similar percentages of the Treatment and Placebo groups were seropositive for N and S2 (Fig. 7D-E) at day 28. Although S2 IgG titers were not significantly different between the groups at day 28 (Fig. 7E), RBD IgG titers were significantly higher in the Treatment group at day 28 (Fig. 7F), likely due to the long half-life and high levels of circulating bamlanivimab remaining in the blood of Treatment group participants at day 28 (37). At day 28, nAb titers in the Treatment group would be anticipated to reflect a mixture of endogenous anti-SARS-CoV-2 nAb as well as bamlanivimab, making comparisons of endogenous nAb titers between the Treatment and Placebo groups at day 28 challenging to interpret. Thus, day 28 nAb titer data were not included in this study.

DISCUSSION

Given that both SARS-CoV-2 infection and use of mAb therapy for the treatment of acute COVID-19 are prevalent, understanding the long-term effects of mAb therapy on adaptive immunity to SARS-CoV-2 is of high importance, and may influence clinical decision making and public health policy. For
example, if mAb therapy were found to negatively impact humoral immunity to SARS-CoV-2 developed during acute COVID-19, resulting in less durable or lower nAb titers, then it would be important to understand how this effect could impact individual risk for re-infection and responses to COVID-19 vaccination to know if recommendations for vaccination should differ for individuals treated with mAb therapy. In such a scenario, it would also be informative to determine if the cellular immune response could compensate for diminished humoral immunity. Given our prior findings that suggest that cellular immunity to SARS-CoV-2 can mitigate severe COVID-19 (12), we sought to examine the impact of mAb therapy for acute COVID-19 on cellular memory immunity to SARS-CoV-2.

We analyzed SARS-CoV-2-specific CD4+ and CD8+ T cell responses from the peripheral blood of 95 persons who had acute COVID-19 following infection with ancestral SARS-CoV-2 and who participated in a randomized, placebo-controlled trial (6). In the trial, participants were treated with either a mAb with activity against ancestral SARS-CoV-2 (34), bamlanivimab, or placebo (6). Antigen-specific circulating Tfh and CD4+ and CD8+ memory T cell subsets were detected in most participants at 28 days after bamlanivimab or placebo. Study day 28 was the latest pre-vaccination time point available for analysis of circulating SARS-CoV-2 memory T cell responses for this cohort. No significant differences were observed in SARS-CoV-2-specific CD4+ or CD8+ T cell magnitude, functionalities, or breadth in trial participants at 28 days after receipt of mAb versus placebo.

It has been hypothesized that SARS-CoV-2-specific mAb administration may result in diminished antiviral T cell responses due to enhanced viral clearance and reduced viral burden following viral neutralization by the mAb, resulting in decreased viral protein antigen present to prime antiviral T cell responses. Alternatively, it has been posited that mAb therapy could enhance SARS-CoV-2-specific adaptive immunity via a “vaccinal effect,” as has been proposed for other antibody-based therapeutics. Our data do not support either of these hypotheses (4, 7–10). Antigen-specific T cell responses were found to be similarly directed against both spike and non-spike epitopes and to be of both similar quality and
quantity ~1 month following bamlanivimab or placebo therapy for acute COVID-19. These findings are in accordance with prior studies that have found that most individuals in the convalescent phase of mild to moderate COVID-19 have SARS-CoV-2-specific CD4+ and CD8+ T cells that recognize multiple viral antigens across the SARS-CoV-2 ORFeome (13, 20, 25). Antigen-specific CD4+ memory T cells formed in response to SARS-CoV-2 infection are primarily Tcm and Tem, whereas antigen-specific CD8+ memory T cells are primarily Tem and Temra, and here we detected no impact of mAb treatment on SARS-CoV-2-specific T cell memory phenotypes.

SARS-CoV-2-specific T\textsubscript{FH} cells had similar frequencies of CCR6 and/or CXCR3 surface expression at day 28 regardless of mAb therapy administration. As mentioned above, surface expression patterns of the chemokine receptors CCR6 and CXCR3 on AIM+ antigen-specific T\textsubscript{FH} cells may be of particular interest when evaluating adaptive immunity to a respiratory pathogen like SARS-CoV-2. Given that surface expression of CCR6 and CXCR3 on SARS-CoV-2-specific T\textsubscript{FH} did not differ significantly, it is anticipated that individuals who received bamlanivimab would not have inferior T\textsubscript{FH}-influenced SARS-CoV-2-specific adaptive immunity.

The similarity in the T cell responses would be consistent with the mAb treated and placebo treated groups experiencing substantially similar total exposure to viral protein antigens, resulting in sufficient antigen presentation and priming of T cells. Studies of other antiviral mAb therapeutics have demonstrated that humoral immunity may be diminished by mAb administration while cellular immunity is not (7). Data from clinical trials of the monoclonal casirivimab and imdevimab antibody cocktail (Regeneron) demonstrated that reductions in SARS-CoV-2 viral levels were transient and modest (4). Enhanced viral clearance following this mAb therapy was most pronounced in individuals who were seronegative and/or had high viral loads at the time of mAb administration, resulting in a median viral load reduction of approximately 3-fold after 7 days (4, 11). Individuals who already had evidence of adaptive immunity to SARS-CoV-2, measured by SARS-CoV-2 antibody seropositivity, and received REGN-COV
mAb therapy displayed minimal differences in viral clearance (4). The participants from the ACTIV-2/A5401 bamalanivimab 700 mg and placebo control groups studied here were comparable in terms of their baseline seropositivity. The majority of participants in both groups were seronegative, suggesting that the study participants had not developed adaptive immune responses to SARS-CoV-2 prior to administration of mAb or placebo, and that the adaptive immunity findings reported here were not confounded by differences in baseline humoral immunity between the study groups. Similar to REGN-COV mAb, bamalanivimab was also shown to have transient and modest antiviral activity in the setting of mild to moderate outpatient acute COVID-19 (4, 6). The primary outcomes analysis for the ACTIV-2/A5401 clinical trial found that administration of a single intravenous dose of 700 mg of bamalanivimab at study entry resulted in lower nasopharyngeal (NP) SARS-CoV-2 viral RNA at study day 3 in the treatment group compared to the placebo group (37). NP SARS-CoV-2 viral RNA levels in the treatment group also demonstrated more rapid reduction in viral RNA levels by decay modeling versus the placebo group (6).

Although this study focused on understanding the impact of bamalanivimab therapy on SARS-CoV-2-specific memory CD4+ and CD8+ T cell responses, we were also able to examine SARS-CoV-2-specific IgG titers in the same subjects. There were no differences in non-RBD IgG titers between the mAb and placebo groups at day 28. In contrast, it was found that following a higher (4200 mg), prophylactic dose of bamalanivimab, individuals without a history of SARS-CoV-2 infection had lower antibody titers after two doses of a COVID-19 mRNA vaccine than individuals who had received placebo control (5). However, antibody titers differed between the groups by twofold or less, which was considered a clinically insignificant difference (5). It is possible that at higher doses bamalanivimab has a greater impact on humoral immunity to SARS-CoV-2 but without significantly impairing responses to subsequent vaccination.

Our study had a number of limitations, including cross-sectional design, small study population size and potential lack of generalizability. This study does not directly assess the protective nature or durability of the SARS-CoV-2-specific T cell responses that were generated by the individuals who were
studied. This study examines adaptive immunity in individuals with mild to moderate, outpatient COVID-19 with normal leukocyte counts but with other risk factors for progression to severe COVID-19. Our findings may not be reflective of all individuals with acute COVID-19, in particular individuals may have specific forms of immunocompromise or immunosuppression, or other risk factors for severe COVID-19 that are not represented by our study population or could not be assessed by this study.

This study is not an efficacy study. The efficacy of bamlanivimab against COVID-19 caused by ancestral SARS-CoV-2 was assumed based on published data, including the primary outcomes from ACTIV-2/A5401 and other clinical trials (6, 34, 38–40). Bamlanivimab represents just one of the many mAb (single agent and combination) therapeutics developed for the treatment of acute COVID-19 that was given EUA by the U.S. FDA (7). Bamlanivimab has not had EUA as a single agent therapeutic for COVID-19 since April 2021. Bamlanivimab is available as a combination therapeutic together with etesevimab. Bamlanivimab/etesevimab is not effective against the Omicron variant and Eli Lilly has voluntarily agreed to have the EUA removed for the use of bamlanivimab/etesevimab in regions where SARS-CoV-2 infection is likely to be caused by Omicron or other non-susceptible (sub)variants (FDA; https://www.fda.gov/media/145802/download). Our findings may not be applicable to COVID-19 caused by SARS-CoV-2 variants not assessed in this study. Additionally, it is possible that other SARS-CoV-2-specific mAb therapeutics may impact adaptive immunity to SARS-CoV-2 differently than a single 700 mg intravenous dose of bamlanivimab. Our findings may not be generalizable to other bamlanivimab doses or other therapeutics for COVID-19. It will be important for future studies to examine the impact of other anti-SARS-CoV-2 mAb therapies, including the impact of both individual mAbs and combinations of mAbs present in mAb “cocktails,” on adaptive immunity to SARS-CoV-2. When evaluating humoral immunity in the setting of mAb administration, the half-life of the mAb and the potential for circulating mAb to confound both binding and neutralizing antibody titers for regions of the spike protein that are targeted by the mAb must be considered.
Overall, our data demonstrate that most immunocompetent individuals with mild to moderate acute COVID-19 indeed develop robust antiviral memory T cell responses, regardless of administration of a SARS-CoV-2-targeted mAb therapy. Specifically, our findings are reassuring that individuals who received bamlanivimab during acute COVID-19 caused by ancestral SARS-CoV-2 were able to form antigen-specific, polyfunctional, antiviral Th1, T_{FH} and memory CD4^+ T cells as well as antigen-specific polyfunctional effector and memory CD8^+ T cells at similar levels to individuals who received only placebo. Considering the multiplicity of roles that T cells play in protective immunity to SARS-CoV-2, our findings may help to influence clinical decision making regarding the use of mAb-based therapeutics for acute COVID-19.

METHODS

Study Population and Trial

Participants in this study represent a subset of participants from the ACTIV-2/A5401 phase 2/3 clinical trial. All of the bamlanivimab 700 mg group and comparison placebo control group participants from the corresponding complete ACTIV-2/A5401 study groups with available clinical data (e.g., treatment assignment, demographics, risk for severe COVID-19; see Table 1) and available PBMC samples collected for immunologic outcomes testing were included in this study. PBMC samples from ~41% of subjects from the bamlanivimab 700 mg and comparison placebo groups were available for this study. Participants were enrolled in the United States between October-November 2020; a period in which COVID-19 in the United States could be attributed to ancestral SARS-CoV-2. The groups were comparable in terms of risk for progression to severe COVID-19, baseline serostatus, and time from COVID-19 symptom onset to enrollment. Please see Table 1 for additional details about the participants. ACTIV-2/A5401 is an ongoing,
multicenter phase 2/3 randomized controlled trial designed to evaluate the safety and efficacy of therapeutics for acute COVID-19 in non-hospitalized adults. Inclusion criteria included adults age 18 years or older with documented SARS-CoV-2 infection by FDA-authorized antigen or molecular testing within seven days prior to study entry and no more than 10 days of symptoms at the time of enrollment. Participants were assigned to bamlanivimab (treatment) or placebo groups at a 1:1 ratio. Randomization was stratified by both time from symptom onset (less than or equal to 5 days post-symptom onset versus greater than 5 days) and risk of progression to severe COVID-19 (low versus high, based on age and comorbid medical conditions). Specifically, individuals assigned to the “high risk” group for progression to severe COVID-19 were age ≥ 55 years and/or had one or more of the following medical conditions: chronic lung disease or moderate to severe asthma, body mass index > 35kg/m², hypertension, cardiovascular disease, diabetes, chronic kidney disease, chronic liver disease (6). Additional information is available at ClinicalTrials.gov (Identifier: NCT04518410). The complete protocol, including complete eligibility criteria for the study and additional clinical data, can be found in the supplementary materials for the primary outcomes manuscript (6).

**PBMC and viability-based quality control**

Peripheral blood was collected from ACTIV-2/A5401 participants at assigned study days. Serum and peripheral blood mononuclear cells (PBMC) were isolated from whole blood using standard operating procedures. PBMC were cryopreserved and stored in liquid nitrogen prior to use. Just prior to use, cryopreserved PBMC were thawed at 37°C and then resuspended in pre-warmed complete RPMI medium with 5% human AB serum (Gemini Bioproducts) and benzonase. Following washing, cell counts and viability were assessed on a Muse Cell Analyzer (Luminex) using the Muse Count & Viability Kit. Additional medium was added to the PBMC to achieve a final concentration of 100,000 PBMC/100 μL. PBMC samples with <85% viability were excluded from analyses. Additional quality control metrics based on T cell
responses to the AIM and AIM+ICS assays were also applied, as described below.

**Activation induced marker assay (AIM)**

PBMC were cultured for 24 hours at 37°C in an incubator with 5% CO$_2$ in the presence of DMSO (negative control; equimolar amount as DMSO vehicle for MPs), Staphylococcal enterotoxin B (SEB; positive control, 1 μg/mL), or SARS-CoV-2 MPs (25, 41, 42) containing spike (S) (25, 42) or non-spike epitopes (CD4-RE dominant and subdominant MPs) (41) (1 μg/mL per MP). PBMC were plated on a 96-well plate with 1 x 10$^6$ PBMC per MP stimulation well and between 0.5-1 x 10$^6$ PBMC per control well; DMSO controls were plated in duplicate except in rare cases where PBMC were limited. Prior to stimulation, 0.5 μg/mL anti-human CD40 mAb (Miltenyi Biotec) blocking antibody was added to the PBMC and the plate was incubated at 37°C for 15 minutes in an incubator with 5% CO$_2$. Chemokine receptor antibodies were also added to the wells on day 1 (see **Supplemental Table 1** for antibodies used in the AIM assay). Following the 24-hour incubation, the PBMC were centrifuged, washed with PBS, stained with LIVE/DEAD Fixable Blue (Invitrogen) diluted 1:1000 in PBS with Fc block (5 μL/sample; BD Biosciences (BD)) for 15 minutes at room temperature, washed with FACS buffer (3% FBS in DPBS without calcium or magnesium), surface stained (see **Table S1** for surface staining panel) for 30 minutes at 4°C, washed with FACS buffer, and fixed with Cytofix Fixation Buffer (BD) at 4°C for 20 minutes, washed twice with Stain Buffer with fetal calf serum (FCS) and stored at 4°C in Stain Buffer with FCS (BD) for up to 8 hours, until flow cytometric analysis. Flow cytometry was performed using a 5-laser Cytek Aurora (Cytek Biosciences). Gating was performed using FlowJo (BD), and AIM$^+$ gates were drawn based on MP-stimulated responses relative to DMSO responses. PBMC quality was evaluated by measuring the median response to SEB for all samples. PBMC samples with responses <50% of the overall median SEB response were excluded from downstream analyses.

Stimulation index (SI) for each sample was calculated by the fold change in the AIM$^+$ response in the MP-
stimulated condition compared to the average DMSO response for the same sample. An SI cutoff of 2 was applied for AIM+ CD4+ T cell responses, and samples that failed to demonstrate at least a two-fold response above background (by SI) were excluded from analysis of background subtracted AIM responses. An SI cutoff of 3 was used for AIM+ CD8+ T cell responses. Background subtracted AIM+ responses were calculated for samples not excluded by the SI criteria by subtracting the DMSO background from antigen-specific (spike or non-spike) T cell responses, with a minimal DMSO level set to 0.001% (43). The limit of quantification (LOQ) was calculated using the geometric mean of all DMSO wells multiplied by the geometric SD factor. Positive responders were defined by those who had background subtracted responses greater than the LOQ. All non-responder values (background subtracted AIM+ response < LOQ) were set at baseline (0.5 x LOQ).

**Hybrid activation induced marker plus intracellular cytokine staining assay (AIM+ICS)**

PBMC were thawed and plated in parallel and as described above for AIM assays. Notably, the CD8-REM was also used for AIM+ICS. No chemokine receptor antibodies were added on day 1 for the AIM+ICS assays (see Table S1 for antibodies used for AIM+ICS). After 20-22 hours, PMA (0.05 μg/mL) and ionomycin (0.25 μg/mL) were added to the positive (ICS) control wells. Two hours later, 0.25 μL/well of GolgiStop (BD) and GolgiPlug (BD) and the AIM marker Ab (see Table S1) were added to all samples, and the plates were incubated for another 4 hours at 37°C (in a 5% CO2 incubator). Cells were then washed, surface stained for 30 minutes at 4°C, fixed and washed using Cytofix/Cytoperm (BD) per the manufacturers’ protocol. Intracellular cytokine staining was then performed using antibodies diluted in Perm/Wash Buffer (BD) for 30 minutes at 4°C. Cells were washed with Stain Buffer with FCS (BD) and stored in this buffer at 4°C until flow cytometric analysis was performed using a Cytek Aurora. For AIM+ICS the minimal DMSO level was set to 0.005% for background subtraction. Gating and SI calculations were performed as described above for AIM. CD4+ T cell responses with SI <2 and CD8+ T cell responses with SI <3 were
excluded from downstream analyses, as described above for AIM. The LOQ was set at 0.01 for background subtracted CD8⁺ T cell responses. Otherwise, LOQ was calculated as described above for AIM.

**Serology**

Serum binding antibody assays were performed to evaluate immunoglobulin (Ig) G responses to SARS-CoV-2 nucleocapsid (N) and ancestral spike S2 domain (S2) and receptor binding domain (RBD) using the Bio-Plex Pro Human SARS-CoV-2 IgG (N, S2, RBD) 4-Plex Panel serology assay (Bio-Rad #12014634) per the manufacturer’s protocols. This assay uses the mean fluorescence intensity (MFI) of a serological control (VIROTROL SARS-CoV-2, Bio-Rad) to generate a standard curve, which can be used to calculate semi-quantitative IgG titers in AU/mL. Data are shown for samples with titers that fell within the working range for the standard curve. All samples with titers that fell outside of the working range for the standard curve were excluded from analyses. IgG titers were also converted to BAU/mL using the manufacturer’s recommended conversion factors for converting Bio-Plex Pro IgG titers in AU/mL to the World Health Organization NIBSC 20/136 standard IgG titers in BAU/mL. The conversion factors were 0.0008 for N, 0.0007 for S2 and 0.0027 for RBD IgG, respectively. The LLOQ and MFI-based cutoffs for positivity for serology assays are indicated in the figures as per the figure legends. Please see individual figures for additional details.

**Statistics**

Statistical analyses were performed in GraphPad Prism 9 (GraphPad Software). Fisher’s exact tests were used to compare T cell response rates to SARS-CoV-2 MPs between treatment and control groups. Mann-Whitney nonparametric tests were used for comparisons between the Treatment and Placebo groups for each stimulation condition(s) and/or cell type(s) between equivalent mAb treatment and placebo group
conditions. Kruskal-Wallis nonparametric tests with post-hoc Dunn’s multiple comparison tests were used for assessing T cell responses across more than one stimulation condition or cell type. Comparisons of antibody titers between mAb treatment and placebo groups was also determined by Mann-Whitney tests. For all analyses a two-sided P value of < 0.05 was considered significant. Additional details of analyses are as described in the corresponding results sections and figure legends.

Study approval

The ACTIV-2/A5401 clinical trial protocol was approved by a central institutional review board (IRB); Advarra (Pro00045266). The La Jolla Institute for Immunology (LJI) IRB provided additional review and approval of this study. All participants enrolled in ACTIV-2/A5401 provided written informed consent for participation.

AUTHOR CONTRIBUTIONS


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Bamlanivimab was donated by Eli Lilly and Company. The content presented is the sole responsibility of the authors and may not represent the opinions of the NIH.

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TRIAL REGISTRATION

ClinicalTrials.gov Identifier: NCT04518410

DATA SHARING

The authors confirm that the data underlying the findings are fully available. Due to ethical restrictions, additional ACTIV-2/A5401 clinical trial study data beyond what is presented in this manuscript and supplement are available upon request from sdac.data@sdac.harvard.edu with the written agreement of the AIDS Clinical Trials Group and the manufacturer of the investigational product.

COMPETING INTERESTS
S.I.R. has no competing interests to declare. J.S.C. has consulted for Merck and Company. P.K. is an employee and shareholder of Eli Lilly. A.S. is a consultant for Gritstone Bio, Flow Pharma, Arcturus Therapeutics, ImmunoScape, CellCarta, Avalia, Moderna, Fortress, Repertoire and AstraZeneca. K.W.C. has received research funding to the institution from Merck Sharp & Dohme. D.M.S. has consulted for and has equity stake in Linear Therapies, Model Medicines and Vx Biosciences and consulted for Bayer, Kiadis, Signant Health and Brio Clinical. S.C. has consulted for GSK, JP Morgan, Citi, Morgan Stanley, Avalia NZ, Nutcracker Therapeutics, University of California, California State Universities, United Airlines, Adagio, and Roche. LJI has filed for patent protection for various aspects of T cell epitope and vaccine design work. The other authors have no competing interests to declare.
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Figure 1. SARS-CoV-2-specific CD4 T cell frequencies are equivalent following treatment with mAb or placebo.

A. Representative flow cytometry plots of SARS-CoV-2-specific CD4+ T cells (OX40+41BB+; see Fig. S1 for ancestral gating) at study day 28 in individuals who received mAb (Treatment; upper, teal boxes) or placebo control (lower, gray boxes) for acute COVID-19. B. Representative flow cytometry plots of SARS-CoV-2-specific CD4+ T cells (OX40+CD40L+; see Fig. S1 for ancestral gating) at study day 28 in individuals who received mAb (Treatment; upper, teal boxes) or placebo control (Placebo; lower, gray boxes) for acute COVID-19. C. Percentage of background subtracted spike, CD4-RE, or combined SAR-CoV-2-specific CD4+ T cells (surface OX40+41BB+, as percentage of CD4+ T cells) at study day 28 in individuals who received mAb (Treatment; upper, teal boxes) or placebo control (Placebo; lower, gray circles) for acute COVID-19 by AIM assay following 24-hour stimulation of PBMC with SARS-CoV-2 spike or CD4-RE MP. Combined AIM assay CD4+ T cell responses were calculated as the sum of the background-subtracted responses to individual (spike and CD4-RE) MPs. The dotted black line indicates the LOQ. Baseline and non-responders set at 0.5 of LOQ. Bars represent geometric mean with geometric standard deviation. Pairwise comparisons were made between equivalent stimulation conditions for Treatment and Placebo groups by Mann-Whitney nonparametric statistical testing; ‘ns’ equals not significant. D. Percentage of background subtracted spike, CD4-RE, or combined SAR-CoV-2-specific CD4+ T cells (surface OX40+CD40L+, as percentage of CD4+ T cells) at study day 28 in individuals who received mAb (Treatment; teal circles) or placebo control (gray circles) for acute COVID-19 by AIM assay following 24-hour stimulation with SARS-CoV-2 spike or CD4-RE MPs. Combined AIM assay CD4+ T cell responses were calculated as in C. The dotted black line, baseline, bars, and ‘ns’ designation were calculated and defined as in C.
Figure 2. SARS-CoV-2-specific CD4 T cell functionality is equivalent following treatment with mAb or placebo.

A. Representative flow cytometry plots of SARS-CoV-2-specific, IFNg⁺ CD4⁺ T cells (CD40L⁺IFNg⁺; see Fig. S1 for ancestral gating) at study day 28 in individuals who received mAb (Treatment; upper, light green boxes) or placebo control (lower, gray boxes) for acute COVID-19. B-E. Percentage of background subtracted spike, CD4-RE, or combined SAR-CoV-2-specific, cytokine-producing CD4⁺ T cells (surface CD40L⁺ intracellular cytokine positive, as percentage of CD4⁺ T cells) at study day 28 in the Treatment (light green circles) and Placebo (gray circles) groups by hybrid AIM+ICS following 24-hour stimulation with SARS-CoV-2 spike or CD4-RE MPs for IFNg (B), GzmB (C), IL-2 (D) and TNFa (E). Combined AIM+ICS assay cytokine-producing, antigen-specific CD4⁺ T cell responses were calculated for each condition as the sum of the background-subtracted responses to individual (spike and CD4-RE) MPs. The dotted black line indicates the LOQ. Baseline set at 0.5 of LOQ. Bars represent geometric mean with geometric standard deviation. Pairwise comparisons were made between equivalent stimulation conditions for Treatment and Placebo groups by Mann-Whitney nonparametric statistical testing; ‘ns’ equals not significant. F. Donut charts representing the proportion of antigen-specific CD4⁺ T cells producing 0-4 cytokines at day 28.
Figure 3. SARS-CoV-2-specific circulating T<sub>FH</sub> populations are equivalent following treatment with mAb or placebo.

A, C. Representative flow plots for antigen-specific T<sub>FH</sub> (CXCR5 x PD-1 gated on all CXCR5<sup>+</sup>AIM<sup>+</sup>CD4<sup>+</sup>) AIM markers included OX40 and 41BB (A) or OX40 and CD40L (C). B, D. Percentage of spike, CD4-RE, or combined SARS-CoV-2-specific circulating T follicular helper T cells (T<sub>FH</sub>; CXCR5<sup>+</sup> as percentage of AIM<sup>+</sup> CD4<sup>+</sup> T cells) at study day 28 in individuals who received mAb (Treatment; teal circles) or placebo control (gray circles) for acute COVID-19 by AIM assay following 24-hour stimulation of PBMC with SARS-CoV-2 spike or CD4-RE MPs; AIM markers included OX40 and 41BB (B) or OX40 and CD40L (D). Combined T<sub>FH</sub> responses were calculated as the sum of the T<sub>FH</sub> specific to the individual (spike and CD4-RE) MPs. Bars represent geometric mean with geometric standard deviation. Pairwise testing by Mann-Whitney; ns equals not significant. Dotted line represents LOQ. Baseline set to 0.5 of LOQ.
Figure 4. SARS-CoV-2-specific CD4+ memory T cell subsets are equivalent following receipt of mAb or placebo.

A, C. Representative flow cytometry plots of total circulating naïve and memory CD4+ T cell subsets (black dots) and proportion of naïve/memory cells that are SARS-CoV-2-specific CD4+ T cells by AIM (teal overlay; A. OX40^+41BB^+; C. OX40^+CD40L^+); see Fig. S1 for ancestral gating. B, D. Percentage of SARS-CoV-2-specific CD4+ T cells that are T central memory (Tcm), T effector memory (Tem) and terminal effector cells (Temra) at study day 28 in individuals who received mAb (Treatment; 3 shades of teal/green circles) or placebo control (3 shades of gray circles) for acute COVID-19 by AIM (B. OX40^+41BB and D. OX40^+CD40L) following 24-hour stimulation with SARS-CoV-2 spike or CD4-RE MPs. T cell subtype (Tcm, Tem, Temra) was assigned based on surface expression of CCR7 and/or CD45RA, as in A and C. Bars represent geometric mean with geometric standard deviation. Equivalent memory T cell populations for Treatment and Placebo groups were compared by Kruskal-Wallis tests with Dunn’s post-hoc correction for multiple comparisons; ns equals not significant.
Figure 5. SARS-CoV-2-specific CD8+ T cell responses and functionality are equivalent following treatment with mAb or placebo. A. Representative flow cytometry plots of SARS-CoV-2-specific, IFNγ+ CD8+ T cells (CD40L+IFNγ; see Fig. S1 for ancestral gating) at study day 28 in individuals who received mAb (Treatment; upper, lavender boxes) or placebo control (lower, gray boxes) for acute COVID-19. B-D. Percentage of background subtracted spike, CD8-RE, or combined SAR-CoV-2-specific, cytokine-producing CD8+ T cells (surface CD69+ intracellular cytokine positive, as percentage of CD8+ T cells) at study day 28 in individuals who received mAb (Treatment; lavender circles) or placebo control (gray circles) for acute COVID-19 by hybrid AIM assay + ICS (AIM+ICS) following 24-hour stimulation with SARS-CoV-2 spike or CD4-RE MPs for IFNγ (B), IFNγ + GzmB (C), IL-2 (D) and TNFa (E). Combined AIM+ICS assay cytokine-producing, antigen-specific CD8+ T cell responses were calculated for each condition as the sum of the background-subtracted responses to individual (spike and CD4-RE) MPs. The dotted black line indicates the LOQ. Baseline set at 0.5 of LOQ. Bars represent geometric mean with geometric standard deviation. ns equals not significant by Mann-Whitney test. F. Donut charts representing the proportion of antigen-specific CD8+ T cells producing 0-4 cytokines at day 28 in the Treatment and Placebo groups.
Figure 6. SARS-CoV-2-specific CD8⁺ memory T cell subsets are equivalent following receipt of mAb or placebo.

A. Representative flow cytometry plots of total circulating naïve and memory CD8⁺ T cell subsets (black) and proportion of naïve/memory cells that are SARS-CoV-2-specific CD8⁺ T cells by AIM+ICS (purple overlay, CD69⁺IFNγ⁺; see Fig. S1 for ancestral gating). B. Percentage of SARS-CoV-2-specific (surface CD69⁺ intracellular IFNγ⁺) CD8⁺ T cells that are T central memory (Tcm), T effector memory (Tem) and terminal effector cells (Temra) at study day 28 in individuals who received mAb (Treatment; 3 shades of purple circles) or placebo control (3 shades of gray circles) for acute COVID-19 by hybrid AIM + ICS following 24-hour stimulation with SARS-CoV-2 spike or CD8-RE MPs. T cell subtype (Tcm, Tem, Temra) was assigned based on surface expression of CCR7 and/or CD45RA, as in A. Bars represent geometric mean with geometric standard deviation. Equivalent memory T cell populations for Treatment and Placebo groups were compared by Kruskal-Wallis tests with Dunn’s post-hoc correction for multiple comparisons; ns equals not significant.
Figure 7. SARS-CoV-2-specific baseline IgG titers and seropositivity are similar among Treatment and Placebo groups and non-RBD IgG titers and seropositivity rates at day 28 are similar among Treatment and Placebo group participants.

A-C. Baseline IgG titers for ancestral SARS-CoV-2 N (in A), S2 (in B), and RBD (in C) at study entry (day 0) in individuals who received bamlanivimab (Treatment; blue circles) versus placebo (Placebo; gray circles) in AU/mL. D-F. Day 28 IgG titers for ancestral SARS-CoV-2 N (in D), S2 (in E), and RBD (in F) in Treatment (blue circles) and Placebo (gray circles) groups. Dotted black line indicates lower limit of quantification of detection (titer) for Bio-Plex (N, S2, RBD) serologic assays. Dotted red line indicates cutoff for positivity for Bio-Plex assays; titer results were generated using a standard curve generated by standards provided by the manufacturer [out of range titers were excluded from analyses]. MFI-based positivity was set based on results generated using pre-pandemic, SARS-CoV-2 uninfected control samples.
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<th>TABLES</th>
<th>mAb Treatment and Placebo group participant characteristics</th>
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<td><strong>Table 1.</strong></td>
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<td>Bamlanivimab (n = 46)</td>
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<td><strong>Age (years)</strong></td>
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<tr>
<td><strong>Sex</strong></td>
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<tr>
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<td>59% (27/46)</td>
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<td><strong>Race</strong></td>
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<tr>
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<td>Other/Mixed Race</td>
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<td><strong>Sample Collection Dates</strong></td>
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<td><strong>SARS-CoV-2 PCR Positivity</strong></td>
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<td><strong>Days post-symptom onset at randomization</strong></td>
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<td>≤ 5 days</td>
<td>30% (14/46)</td>
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<tr>
<td>&gt; 5 days</td>
<td>70% (32/46)</td>
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