

**EFFECTIVE DOSE AND IMAGE QUALITY OF CBCT DIAGNOSTIC  
IMAGING SCANS**

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## **ABSTRACT**

**CAMERON G. WALKER: Effective Dose and Image Quality of CBCT Diagnostic Imaging Scans**

(Under the direction of Dr. John Ludlow)

To address the important issues surrounding the CBCT imaging modality we have initiated an investigation of CBCT dosimetry and its relation to image quality in pediatric and adult patients. RANDO, ATOM adult and ATOM child phantoms were scanned with the i-CAT Next Generation CBCT machine at the 17x23cm field of view. Each phantom houses 24 optical stimulated luminescent (OSL) dosimeters or thermoluminescent (TLD) dosimeters. Dosimeter readings were used to calculate equivalent dose, effective dose and patient risk using 2007 ICRP guidelines. OSL calibration and correction was verified using an ion chamber, NanoDot OSL dosimeters and a medical radiography system. Effective dose calculations ranged between 71 $\mu$ Sv (TLD) and 65 $\mu$ Sv (OSL) for the RANDO phantom and 70 and 71 for the Adult and child phantoms respectively. Our results validate optical stimulated luminescent dosimeters and the ATOM phantoms as efficient and accurate tools to estimate the effective dose of CBCT scans.

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## **I LITERATURE REVIEW**

The use of ionizing radiation in diagnostic medical examinations has increased over the last 20 years to the point where the annual per capita dose to the US population from all sources has doubled (1). The risk of this exposure is significant, and it has been estimated that from 1.5% to 2% of all US cancers may be attributed to computed tomography (CT) studies alone (2). While CT is being used in all aspects of medical diagnosis, a dramatic increase in the use of cone beam CT (CBCT), a form of CT, has occurred in dentistry during the last decade. Some have already declared this the “gold standard” of maxillofacial imaging and predict that it will be used by most dental practices within the next decade(3). Along with many other areas of dental practice technology has found application in orthodontic treatment planning, which is often initiated in the pre-teen age patient(4). This is a particularly vulnerable group because cellular growth and organ development increases the radiosensitivity of tissues. In conjunction with a longer life expectancy in which cancer can develop, adolescents may be twice as sensitive to radiation carcinogenesis as mature adults(5). In addition, changes in the calculation of risk from x-ray exposures to the head and neck area published by the ICRP in 2007 have resulted in increases in estimated risk by as much as 422% from previously used 1990 calculations(6). Currently available CBCT units from different manufacturers have been shown to vary in dose by an order of magnitude for an equivalent field of view (FOV) examination. In addition, technical factor adjustments associated with image quality that are available in many CBCT units can cause as much as 7



fold differences in dose(6). The FDA recently advocated universal adoption of two principles of radiation protection: appropriate justification for ordering each procedure, and careful optimization of the radiation dose used during each procedure. But because aspects of device use and issues related to clinical decision making fall outside of its purview, the FDA is also encouraging complementary actions for other groups to take, which will support the FDA effort. Among these is the development of diagnostic reference levels both locally and through a national radiation dose registry(7). Because current methods of measuring radiation dose are largely based on adult-sized models, providing meaningful dose metrics for pediatric procedures can be particularly challenging. The FDA is encouraging efforts to improve and establish standards for pediatric dose calculations(7). Current techniques for measuring patient dose from CBCT examinations are either excessively complex or unreliable. Simple, reliable tools to measure dose and estimate risk are urgently needed as these units find their way into increasing numbers of applications across the whole spectrum of dental practice.

## II INTRODUCTION

A review paper published at the end of 2007 in the New England Journal of Medicine (NEJM), estimated that from 1.5% to 2% of all cancers in the United States (US) may be attributable to the radiation from computed tomography (CT) studies(2). When the total number of CT examinations during the period from 1993 to 2006 are graphed, an exponential rise in the number of examinations far exceeding the growth in the US population over the same period can be appreciated(1). In 1994 total annual effective dose of ionizing radiation to a person in the US was estimated at 3.60 mSv(8). Of this total, approximately 0.49 mSv was attributed to exposures from ionizing radiation in diagnostic procedures. Current estimates of per capita annual US dose are 6.20 mSv with almost 3 mSv coming from diagnostic procedures(1).

Cancer is the principal long-term effect of exposure to x-rays. Evidence indicates that an adult exposure to x-rays as low as 90 mSv or a fetal exposure of 9-20 mSv is a cancer risk(9). A linear-no-threshold hypothesis of x-ray risk fits most data for cancer development, but extrapolation of this data must be used to estimate risks from the lower doses that are utilized for diagnostic imaging. The majority of scientists working in this area accept this extrapolation as reasonable and prudent(9). Although the risk to an individual from a single exam may not itself be large, millions of exams are performed each year, making radiation exposure from medical imaging an important public health issue. A routine CT head scan

may have an effective dose of approximately 2 mSv(10). CBCT examinations have been reported to impart a fraction of this dose; however, scans from some units approach 1 mSv, (11)and scans from other units have been shown to be equivalent in dose to optimized CT scans(6). This range of differences is especially important when considering the pediatric population because cellular growth and organ development is associated with increased radiosensitivity of tissues. In conjunction with a longer life expectancy in which cancer can develop, children may be two times or more sensitive to radiation carcinogenesis as mature adults(7,10).

According to our published measurements, a CBCT examination might result in a 2.5 increase in adult dose using a NewTom 3G, a low-dose unit (68  $\mu$ Sv) in comparison with conventional panoramic and cephalometric imaging (26  $\mu$ Sv)(12) (13). However, other CBCT units and protocols may result in much higher doses to the patient. For example we reported a 21-fold increase in patient dose with the CB Murcuray (569  $\mu$ Sv) over conventional imaging(12). This represents a substantial increase over conventional alternatives for an increase in diagnostic efficacy or patient treatment efficacy that has yet to be demonstrated. As much as 90% of those beginning orthodontic treatment for the first time are pediatric patients. In comparison to adults, radiation risk is significantly greater for this group of patients.

The biological effect of exposure to ionizing radiation, expressed as the risk of cancer development over a lifetime, is determined from absorbed radiation dose in combination with other factors that account for differences in exposed tissue sensitivity and other patient susceptibility factors such as gender and age. Simple measurement of absorbed ionizing radiation does not account for sensitivity of tissues or other factors important for determining

risk. To address this issue the International Commission on Radiological Protection (ICRP) suggested in 1990 that effective dose ( $E$ ) be adopted as the best means of comparing dose and risk from any exposure to ionizing radiation(14). Organs and tissues known to be most susceptible to radiation damage were assigned weights that represent the relative contribution of each tissue to overall risk. Effective dose, reported in Sieverts, was defined as the sum of the products of each tissue-weighting factor ( $W_T$ ) and the equivalent dose to that tissue ( $H_T$ ) or  $E = \sum W_T + H_T$ (14). Using extrapolations of cancer morbidity and mortality data associated with well documented exposures to large populations, such as the survivors of the atomic bomb explosions, radiation detriment, including the weighted probabilities of fatal and non-fatal cancer, can be calculated from effective dose.

In 2007 the ICRP published a revision of the tissues and weights used in effective dose calculation based on data accumulated since the original publication(9). Of significance for maxillofacial imaging is an increase in the risk estimation for brain tissues and the addition of salivary glands, oral mucosa and lymph nodes, which may be partially or fully irradiated during maxillofacial examinations. These changes in the calculation of risk from x-ray exposures to the head and neck area prescribed by ICRP have resulted in increases in estimated risk to adult patients by as much as 422% from previously used 1990 calculations (6,11,12,15,16)

The process of measuring dose requires a device known as a phantom. There are numerous design variations described in the literature or commercially available that include differences in phantom size, material composition, and number of dosimeters. While all phantoms simulate human morphology and radiation attenuation characteristics, the gold standard method of obtaining dosimetry for calculating effective dose requires an

anthropomorphic phantom. Alternate techniques for calculating dose that do not use anthropomorphic phantoms include CTDIvol, Air Kerma-area-product (KAP), and Dose area product (DAP). In a previous study comparing anthropomorphic phantom and a standard acrylic cylinder with a single ion chamber used to calculate (CTDIvol) we demonstrated that the standard acrylic cylinder underestimates effective dose by 38%-62%(6). This underestimation is in part due to the failure to account for scatter dose to tissues outside of the scan region. KAP is another method that has recently been used to calculate dose(17). Values reported in the referenced study underestimate effective dose measured with an anthropomorphic phantom in our own studies by 90% to 300%(6,15). Dose area product (DAP) has also been suggested as a simple approach for calculating dose. However, our experiments with the SCANORA 3D (Sorodex, Milwaukee, WI) unit revealed an approximately 3-fold change in effective dose between various locations of the small FOV with no change in DAP (unpublished data). In contrast, anthropomorphic phantoms made from materials that have similar x-ray attenuation characteristics as human tissue and have multiple dosimeters allow for accurate measurement of absorbed dose. In a recent study we confirmed that an anthropomorphic phantom using bone equivalent material in place of a human skeleton can provide reliable measures of effective dose(18). Overall, our review of the literature and findings from our previous studies indicate that the anthropomorphic phantom currently provides the most reliable dose measurements.

CBCT technology and applications are developing rapidly. The applications of CBCT are moving beyond diagnosis to tools for treatment. Scans can now be used to fabricate implant surgical placement guides or used to fabricate a patient wire sequence for finishing an orthodontic case(19,20). Despite rapid development and adoption by practicing clinicians,

dose information remains incomplete, outdated, or non-existent with no objective standards of image quality. To further address the important issues surrounding this rapidly developing imaging modality we propose a thorough investigation of CBCT dosimetry and the development of a simple device that may be used to inexpensively and accurately determine patient risk and objectively document image quality. As a first step we have undertaken the validation of the ATOM Adult and pediatric phantoms and optically stimulated luminescent dosimeters (OSL) for application in dental CBCT by comparing them to the widely used RANDO phantom with TLD dosimeters.

### III MATERIALS AND METHODS

Three head and neck phantoms were used in the study the RANDO adult skull (Radiation analog dosimetry system: Nuclear Associates, Hicksville, NY) , an adult male ATOM phantom (Model 701, CIRS, Northfolk, VA) and a 10 year-old ATOM phantom (Model 706, CIRS, Northfolk, VA). To allow for dosimeter placement, each phantom was sectioned into 25 mm thick increments (see Figure 1). The RANDO and ATOM phantoms were further modified to allow for loading of the 10mm x10mm x 1.5mm NanoDot™ OSL chips (Launduer inc., Glenwood, IL ). The Dosimeter locations for the RANDO phantom were unchanged from previous studies (6,15,21) and have been identified along with their corresponding level in Table 1. Similar to the RANDO, locations for dosimeters in the ATOM phantoms were chosen to correspond with organs that are sensitive to ionizing radiation according to the 2007 ICRP recommendations(9). The 24 dosimeter locations for the ATOM phantoms with their corresponding levels are listed in Table 1.

The Next Generation i-CAT (Imaging Sciences International, Hatfield, PA) was used as our reference machine to compare the RANDO and ATOM phantoms as well as the OSL and TLD dosimetry methods. All Next Generation i-CAT scans were administered in the portrait 17x23cm field of view. The scan parameter of kV is not adjustable and is set at 120kV for the i-CAT. Current was set by the manufacture at 5 mA and we chose the 8.9s scan time for all scans (see Table 2).

In the scans where the 3mm x 3mm x 1mm thermoluminescent dosimeters (TLD) were used, all TLDs were precalibrated, supplied and analyzed by Landauer Inc. (Glenwood, IL). Optical stimulated luminescent (OSL) NanoDot™ dosimeters (Landauer Inc., Glenwood, IL) were cleared by exposure to a low UV emitting light source for 24 hours according to the manufactures instructions. The dosimeters were then read with the MicroStar™ reader (Landauer Inc., Glenwood, IL) (see Figure 2) to obtain baseline readings.

The RANDO, ATOM adult, and ATOM child phantoms were loaded with dosimeters and scanned three times at the settings defined above. To minimize any potential variations in dose an effort was made to position each phantom in the machines in a similar manner. The phantoms were set in position with the scan rotation parallel to the section planes, which in turn were approximately parallel to Frankfort horizontal, a line connecting the infraorbital rim to the external auditory meatus.

After exposure, the dosimeters were removed from the phantoms and OSL dose information was read using the MicroStar™ reader. The OSL dosimeters were allowed to stabilize for at least 10 minutes before reading. The baseline readings for each dosimeter were subtracted to provide the absorbed dose in mRads for each dosimeter. Each value was then divided by the number of scans to provide the average absorbed dose per scan for each dosimeter. Before effective dose was calculated a beam energy calibration factor was used to correct for variations in dosimeter sensitivity across a range of beam energies. Average beam energy was estimated to be 56% of peak kV (22). The conversion factor was then calculated using the following equation derived from dose response data supplied by Landauer(22).

$$Conversion\ Factor = (3 \times 10^{-6} \times x^3 - 0.0007 \times x^2 + 0.0453 \times x + 0.1005)^{-1}$$



where  $x$  = average energy (keV); Using this equation, the calibration factor for the i-CAT (120kV) was 1.118. The calibration factor was multiplied directly to the absorbed dose per scan to obtain the corrected absorbed dose per scan.

TLD dosimeters were sent to the manufacturer for reading (Landauer). The methodology was described previously (6,15), but briefly, TLD dosimeters were analyzed using an automatic hot gas reader. Individual chip sensitivity was used as a correction factor for the reading obtained from each chip. Reported doses were divided by the number of scans to calculate the “exposure per scan” for each dosimeter.

For both TLD and OSL scans, doses readings from discrete anatomical locations were added together and averaged to obtain an average tissue or organ dose in micrograys. These values were then multiplied by the corresponding estimated percentage of the tissue or organ that was irradiated (see Table 3) in the examination to calculate the equivalent dose ( $H_T$ ) in microsieverts ( $\mu\text{Sv}$ ).

For bone marrow, the weighted dose is calculated using the summation of the individual weighted dose to the calvarium, the mandible and the cervical spine (see Table 3). It is important to note the differences the proportion of the bodies bone marrow found in the head and neck in the adult compared to the child. According to Cristy et al (23), the adult mandible contains .8% of the bone marrow, 7.7% in the calvaria and 3.7 % in the cervical spine totaling 12.2% of the total body bone marrow. In contrast, the 10 year old child has 15.4% of total body bone marrow exposed in a head and neck exam, breaking down to 1.1% in the mandible, 11.6% in the calvaria, and 2.7% in the cervical spine(23). As previously described, a correction factor based on mass energy attenuation for bone and muscle (1.97 for the i-CAT) was applied to the calculation of bone surface equivalent dose (6,24).

All other proportions of tissues exposed in the head and neck exam including the skin, lymphatic nodes and muscle were set to be equivalent among the three phantoms. Following the protocol of Ludlow et al(6), the proportion of the skin surface area in the head and neck region directly exposed by each technique was estimated at 5% of the total body allowing the calculation of weighted radiation dose to the skin. Similarly muscle and lymphatic node exposures were estimated to represent 5% of the total body complement of these tissues. The proportion of the esophageal tract that is exposed was set at 10%.

The calculation of effective dose  $E = \sum w_T \times H_T$  expressed in  $\mu\text{Sv}$  is the recommended way to compare the differential exposures to ionizing radiation to an equivalent full body standard(9). The ICRP 2007 tissue weights ( $w_T$ ) were used to obtain weighted equivalent doses for all exposed organs or tissues (see Table 1 and Table 3). The whole body effective dose  $E$  was then obtained by summing the weighted doses.

DICOM files were saved from each scan so that the positioning of the phantom could be verified to help explain any potential differences in dose. DICOM files were imported and analyzed using Dolphin 3D software (Dolphin imaging, Chatsworth CA). Two dimensional lateral cephalometric images were generated from the 3D scans to allow for verification of the exposure area.

Repeated scans on using the RANDO phantom were taken to determine the precision of OSL dosimeter measurements. Each of the 24 dosimeter readings was compared and the percent variation was calculated for each and reported in a table (see Table 6).

Verification of OSL dose readings and calibration for changes in kVp was conducted by exposure of 4 NanoDot dosimeters clustered around an ion chamber (model 2025, Radcal, Monrovia, CA) with a medical radiography system (TREX, Hologic, Marlborough, MA).

Four OSL dosimeters, two on each side of the ion chamber were attached to a radiolucent surface (25). After exposure, the ion chamber reading was reset and the dosimeters were changed and different dosimeters were affixed. The kVp was increased at intervals of 10kV starting at 80kV and ending at 120kV. Current was set at a constant 125mA. Exposure time was reduced as kV was increased in order to keep the dose of a similar magnitude across each of the test conditions (see Figure 4). Measurements from the ion chamber were recorded in milli-Röntgens and then converted to mRads at  $1R = 0.876 \text{ Rads in air}$ . Dose readings of each of the 4 OSL dosimeters were averaged together to give the average dose in mRad. The calculated conversion factors for each kVp setting were multiplied by the average OSL dose to calculate the average corrected dose in mRad. The doses from the ion chamber were plotted against kVp along with the uncorrected and corrected OSL doses (see Figure 4).

The main concern for ionizing radiation is the risk of cancer. The ICRP estimated cancer risk coefficient of  $5.5 \times 10^{-2} \text{ Sv}^{-1}$  (9) was used to estimate patient risk.

## IV RESULTS

Equivalent dose information is summarized in table 4. Equivalent doses were highest for salivary gland tissues. Next highest was the oral mucosa followed by the extrathoracic airway and brain. The effective dose calculated for the RANDO phantom using TLDs was 71  $\mu\text{Sv}$  compared to 65  $\mu\text{Sv}$  when using OSL dosimeters. Effective dose was calculated to be 70  $\mu\text{Sv}$  using the ATOM adult phantom with OSL dosimeters. The ATOM child was very similar at 71  $\mu\text{Sv}$ . The reconstructed lateral cephalograms, found in Figure 3, allow for the comparison of phantom position in the scans. Phantom position in the volume was similar in the RANDO (TLD), ATOM adult and ATOM child. In contrast, the RANDO (OSL) scan appears to be positioned in such a way that the posterior calvarium is not exposed in a similar way to the other phantoms.

A repeat scan of the RANDO phantom using OSL dosimeters was conducted in order to OSL reliability. The results of the repeated scan can be found in table 5. The percent variation between the first and second scan was also reported on the same table as well as the variation between the RANDO TLD scan and the RANDO OSL scan 1. The variation between the two OSL scans was generally low. Only two equivalent doses varied by more than 5%. Esophagus had the highest variation at 16% followed by lens of the eye at 8% variation. The variation was much higher when comparing OSL with TLD. Only two

equivalent doses varied by less than 5% and equivalent dose to bone marrow, thyroid and brain varied by 41%, 50%, 28% respectively.

When looking directly at the dosimeter readings to compare the OSL with TLD scans in mGy (see Table 6), the posterior calvarium had the highest variation at 119% followed by left calvarium, thyroid surface left, right parotid and midbrain at 73%, 60% 50% and 44% variation respectively. The lowest variation was in the pharynx / esophagus at 1.6% followed by the right cheek, right lens of eye left ramus and left orbit at 2.9%, 3.5%, 5.5% and 8.3 % variation respectively. The total average variation was 14.4 percent.

The repeated OSL scans with the RANDO phantom were compared at the dosimeter level (see table 6). The highest variation was 15.5% found in the esophagus followed by 13.2% in the left mandible body and 9% variation in the left calvarium. The lowest variations were 0.2%, 0.9% and 1.0% in the left orbit, left back of the neck and right ramus respectively.

Verification of the recommended correction for kVp was conducted using an ion chamber, NanoDot<sup>TM</sup> dosimeters and a medical radiography system. The decrease in NanoDot<sup>TM</sup> dosimeter sensitivity with the increase in kVp is demonstrated in figure 4. At 80kV the uncorrected average OSL dose was almost identical to the dose measured by the ion chamber. However, the discrepancy between average OSL dose and ion dose increased as kVp increased. Application of the calibration factors successfully corrected the average OSL dose as demonstrated in (See figure 4).

To allow patients to grasp the relatively low risk of a CBCT examination the effective dose from the CBCT exam was expressed as the days of per capita background radiation. The i-CAT NG 17x23 8.9s scan is the same effective dose as 11 days of background

radiation (see table 7). This is helpful for patients to understand. However, of primary interest is in the risk of developing a fatal cancer. The probability of a fatal cancer for the adult is 4 in 1million, where for the child it is 12 in 1million. Divided out, the probability is 1 in 260,000 for an adult and one in 85,000 for the child.

## V DISCUSSION

The most interesting of our results was the variation in equivalent and effective doses that we observed between OSL and TLD dosimeters (see table 5) in the RANDO phantom. We identified five potential explanations for the variation between the OSL and TLD dosimeter scans; 1) OSL and TLD calibration and kVp correction issues 2) random error 3) radiation incidence angle dependence 4) machine changes that occurred in the 5 years between 2007 and 2012 5) Phantom positioning differences.

To explore the variation between the OSL and TLD readings we first verified our dosimeter calibration as well as our correction for kVp using an ion chamber, NanoDot<sup>TM</sup> dosimeters and a medical radiographic system. The NanoDot<sup>TM</sup> dosimeters were optimized by Landauer for readings at 80kV (44keV) and need no correction at that beam energy. We verified the accuracy of our OSL dosimeters at 80kV using the ion chamber (Figure 4) with the ion dose and the average OSL dose only varying by 0.1%. We then verified the accuracy of the correction factors provided by Landauer by changing kVp (see Figure 4). The correction factor reduced the variation from 2% to 0.8% at 120 kV. Hence, the variation between the 2007 TLD readings and the 2012 OSL readings cannot be explained by improper OSL calibration or kVp correction.

The TLDs used for the 2007 scans were provided and analyzed by Landauer. Unfortunately, Landauer had since eliminated their TLD service and it was not possible to

confirm the calibration and correction process that they had in place at that time. Because we did not directly control the TLD calibration and reading process, it is possible that some of the difference between the 2007 RANDO readings and the 2012 readings may be due issues with the TLD process. This highlights one of the advantages to being in control of the read and calibration process.

The OSL dosimeters contain  $\text{Al}_2\text{O}_3\text{:C}$  discs inside the plastic housings that are 5mm in diameter and 0.1mm thick. It is logical to think that in a 360 degree CBCT examination around a stationary phantom the dosimeter would be exposed at the 0.1mm thick edge for a short period of time potentially reducing the sensitivity. However, previous studies by Lavoei et al (25) and Jursinic (26) found negligible change in angular response when the dosimeters were exposed while in a head and neck phantom. Moreover, the angular dependence of OSLs is equivalent to that of TLDs (26) and thus cannot be the source of the variation between the two.

We also considered that there may have been a change in to the Next Generation i-CAT machine itself between 2007 and 2012. We used the same physical machine for our 2007 TLD scans as well as our 2012 OSL exposures and according to the manufacture, no updates to the machine had occurred that would have altered the filtration or the exposure settings.

We chose the 17x23cm FOV because it allowed for exposure of the entire head of each of the phantoms making the results less sensitive to variations in position. Regardless, the most likely cause of a portion of the variation between the 2007 TLD and the 2012 OSL scans was differences in RANDO phantom positioning. The reconstructed cephalometric radiographs of the RANDO OSL scans demonstrated a difference in the anterior-posterior positioning of the phantom in the volume relative to the TLD scan(see Figure 3). To be specific, compared to



the RANDO TLD scan the OSL scan appears to be positioned ~5mm more inferiorly and is not centered in the anterior-posterior dimension resulting in the posterior portion of the cranium outside the field of view.

The equivalent doses for both OSL and TLD scans followed the same general pattern except for thyroid and bone marrow. Even though the TLD scan was positioned ~5mm higher in the volume than the OSL scan, the equivalent thyroid dose the TLD scan was 50% lower than the OSL measurement. If the discrepancy in thyroid dose were due to vertical phantom position we would expect that the equivalent thyroid dose in the TLD scan would be greater than the OSL dose due to possible increased neck exposure. Hence, the difference in thyroid dose may not easily be explained by variation in vertical phantom position.

In contrast, the 41% variation in equivalent bone marrow dose between the TLDs and OSLs might better be explained by phantom position. The lateral cephalometric reconstructions demonstrate that the posterior cranium dosimeters were out of the scan field of view in the OSL scans. Additionally the percent variation between the OSL and TLD scans by dosimeter shows a 119% difference in the posterior calvarium dosimeter. The reduced calvarial dose may have partially contributed to the decrease in bone marrow dose in the OSL scans. However, posterior calvarial dose can only explain a maximum of 12.5% of bone marrow dose variation leaving the remaining 28 percent of variation unexplained.

When comparing all three phantoms, a slight variation in the placement of dosimeters was necessary due to differences in anatomy, size and an updated understanding of tissue weights. The dosimeter locations in the RANDO Phantom were selected with the ICRP 1990 tissue weights in mind, where the ATOM phantom dosimeter locations were chosen

after the 2007 update. Thus, similar to the updated ICRP 2007 guidelines, in the updated ATOM phantoms, less emphasis is placed on skin surface and more dosimeters are devoted to the brain, bone marrow and thyroid. The only area that shows a large difference in equivalent dose is the thyroid with the ATOM phantoms showing an increased thyroid dose relative to the RANDO (OSL). The differences in dosimeter location, coupled with potential differences in size and attenuation characteristics result in a difference in soft equivalent doses as well as effective dose between the ATOM and RANDO phantoms.

As expected, the equivalent dose for bone marrow was increased in the ATOM child phantom relative to the ATOM adult. Equivalent dose for brain was also increased in the child phantom. We postulate that the smaller size of the child allowed for a larger percentage of the cranium to be exposed at the 17x23cm field of view. Increased bone marrow and brain equivalent doses resulted in a numerically larger effective dose in the child phantom compared to the ATOM adult. . Although the differences in effective dose between the adult and the child were small, the risk of a fatal cancer is between 3-5 times greater for a child than an adult. Using the 2007 ICRP guidelines of 1 in a million cancers per  $5.5 \times 10^{-2} \mu\text{Sv}$  (9), the probability of a fatal cancer for the adult was 1 in 260,000 while it was 1 in 85,000 for the child.

## **VI CONCLUSIONS**

1. Optically stimulated luminescent dosimeters are an accurate and repeatable tool for conducting dosimetry with head and neck phantoms.
2. Control of the TLD reading and calibration process is important to be able to explain variations ensure reliable dosimetry.
3. Effective Dose for a given CBCT field of view may be increased for pediatric patients due to an increase in the percentage of active bone marrow and increased brain exposure.

## VII. TABLES

**Table 1. ICRP Tissue Weights 1990 vs 2007**

| Tissue            | 1990 $w_T$ | 2007 $w_T$ |
|-------------------|------------|------------|
| Bone marrow       | 0.12       | 0.12       |
| Esophagus         | 0.05       | 0.04       |
| Thyroid           | 0.05       | 0.04       |
| Bone surface      | 0.01       | 0.01       |
| Brain             | remainder  | 0.01       |
| Salivary glands   | -          | 0.01       |
| Skin              | 0.01       | 0.01       |
| Remainder Tissues | 0.05*      | 0.12†      |

\* Brain, Muscle † Extrathoracic region,  
Lymphatic nodes, Muscle, Oral Mucosa

**Table 2. Location of Dosimeters in Anthropomorphic Phantoms by Level**

| <i>Phantom</i>            | <i>RANDO</i>                | <i>ATOM<br/>Adult</i> | <i>ATOM<br/>Child</i> |
|---------------------------|-----------------------------|-----------------------|-----------------------|
| <i>Location</i>           | <i>Level (TLD / OSL ID)</i> |                       |                       |
| Calvarium anterior        | 2 (1)                       | 2 (1)                 | 2 (1)                 |
| Calvarium posterior       | 2 (3)                       | 4 (5)                 | 2 (3)                 |
| Calvarium left            | 2 (2)                       | 3 (3)                 | 2 (2)                 |
| Mid-brain                 | 2 (4)                       | 2,3 (2,4)             | 2,3 (4,5)             |
| Pituitary                 | 3 (5)                       | 4 (6)                 | 4 (6)                 |
| Right ethmoid             |                             | 5 (9)                 |                       |
| Left orbit                | 4 (6)                       |                       |                       |
| Right orbit               | 4 (7)                       |                       | 4 (7)                 |
| Right lens of eye         | 3 (8)                       | 4-5 (7)               | 4-5 (8)               |
| Left lens of eye          | 3 (9)                       | 4-5 (8)               | 4-5 (9)               |
| Left nasal airway         |                             |                       | 5 (11)                |
| Right cheek               | 5 (10)                      |                       |                       |
| Right maxillary sinus     |                             |                       | 5 (10)                |
| Left maxillary sinus      |                             | 6 (10)                |                       |
| Right parotid             | 6 (11)                      | 7 (12)                | 6 (12)                |
| Left parotid              | 6 (12)                      | 7 (13)                | 6 (13)                |
| Right ramus               | 6 (13)                      | 7 (14)                | 7 (15)                |
| Left ramus                | 6 (14)                      | 7 (15)                | 7 (16)                |
| Right mandible body       | 7 (17)                      |                       |                       |
| Left mandible body        | 7 (18)                      |                       |                       |
| Oropharyngeal airway      |                             | 7 (11)                |                       |
| Left back of neck         | 7 (16)                      | 8 (16)                | 6 (14)                |
| Center sublingual gland   | 7 (21)                      | 8 (19)                | 7 (19)                |
| Right submandibular gland | 7 (19)                      | 8 (17)                | 7 (17)                |
| Left submandibular gland  | 7 (20)                      | 8 (18)                | 7 (18)                |
| Center C Spine            | 6 (15)                      | 8 (20)                | 8 (20)                |
| Midline thyroid           | 9 (22)                      |                       |                       |
| Thyroid surface - left    | 9 (23)                      |                       |                       |
| Thyroid superior-left     |                             | 9 (21)                | 8 (21)                |
| Thyroid – left            |                             | 10 (22)               | 9 (22)                |
| Thyroid - right           |                             | 10 (23)               | 9 (23)                |
| Esophagus                 | 9 (24)                      | 10 (24)               | 9 (24)                |

**Table 3. Tissue Irradiated**

| Organ                 | RANDO                         |                              | ATOM Adult                    |                         | ATOM Child                    |                         | 2007 IRCP<br>( $w_T$ ) |
|-----------------------|-------------------------------|------------------------------|-------------------------------|-------------------------|-------------------------------|-------------------------|------------------------|
|                       | Fraction<br>irradiated<br>(%) | OSL /TLD ID<br>(See Table 1) | Fraction<br>irradiated<br>(%) | OSL ID<br>(See Table 1) | Fraction<br>irradiated<br>(%) | OSL ID<br>(See Table 1) |                        |
| Bone Marrow           | 12.2                          |                              | 12.2                          |                         | 15.4                          |                         | 0.12                   |
| mandible              | 0.8                           | 13, 14, 17, 18               | 0.8                           | 14, 15                  | 1.1                           | 15, 16                  |                        |
| calvaria              | 7.7                           | 1, 2, 3                      | 7.7                           | 1, 3, 5                 | 11.6                          | 1-3                     |                        |
| cervical spine        | 3.7                           | 15                           | 3.7                           | 20                      | 2.7                           | 20                      |                        |
| thyroid               | 100                           | 22, 23                       | 100                           | 21-23                   | 100                           | 21-23                   | 0.04                   |
| esophagus             | 10                            | 24                           | 10                            | 24                      | 10                            | 24                      | 0.04                   |
| skin                  | 5                             | 8, 9, 10, 16                 | 5                             | 7, 8, 16                | 5                             | 8, 9, 14                | 0.01                   |
| bone surface          | 16.5                          |                              | 16.5                          |                         | 16.5                          |                         | 0.01                   |
| mandible              | 1.3                           | 13, 14, 17, 18               | 1.3                           | 14, 15                  | 1.3                           | 15, 16                  |                        |
| calvaria              | 11.8                          | 1, 2, 3                      | 11.8                          | 1, 3, 5                 | 11.8                          | 1-3                     |                        |
| cervical spine        | 3.4                           | 15                           | 3.4                           | 20                      | 3.4                           | 20                      |                        |
| Salivary glands       | 100                           |                              | 100                           |                         | 100                           |                         | 0.01                   |
| parotid               | 100                           | 11, 12                       | 100                           | 12, 13                  | 100                           | 12, 13                  |                        |
| submandibular         | 100                           | 19, 20                       | 100                           | 17, 18                  | 100                           | 17, 18                  |                        |
| sub-lingual           | 100                           | 21                           | 100                           | 19                      | 100                           | 19                      |                        |
| brain                 | 100                           | 4, 5                         | 100                           | 2, 4, 6                 | 100                           | 4-6                     | 0.01                   |
| remainder             |                               |                              |                               |                         |                               |                         | 0.12                   |
| lymphatic nodes*      | 5                             | 11-15, 17-22, 24             | 5                             | 11-13, 17-19, 21-24     | 5                             | 12,13, 17, 19, 21-24    |                        |
| extrathoracic airway* | 100                           | 6, 7, 11-15, 17-22, 24       | 100                           | 9-13, 17-19, 21-24      | 100                           | 10-13, 17-19, 21, 24    |                        |
| muscle*               | 5                             | 11-15, 17-22, 24             | 5                             | 12, 13, 17-19, 21-24    | 5                             | 10-13, 17-19, 21, 24    |                        |
| oral mucosa*          | 100                           | 11-14, 17-21                 | 100                           | 11-13, 17-19            | 100                           | 12-13, 17-19            |                        |
| lens of eyes          | 100                           | 5                            | 100                           | 7, 8                    | 100                           | 8, 9                    |                        |
| Pituitary             | 100                           | 6-9                          | 100                           | 6                       | 100                           | 6                       |                        |

\*remainder tissues

**Table 4. Equivalent and Effective Dose**

| <i>Organ</i>          | i-CAT NG 17x23 cm FOV 8.9s |                        |                             |                             |
|-----------------------|----------------------------|------------------------|-----------------------------|-----------------------------|
|                       | <i>RANDO<br/>(TLD)</i>     | <i>RANDO<br/>(OSL)</i> | <i>ATOM Adult<br/>(OSL)</i> | <i>ATOM Child<br/>(OSL)</i> |
| Bone Marrow           | 115                        | 76                     | 69                          | 78                          |
| Thyroid               | 183                        | 304                    | 549                         | 537                         |
| Esophagus             | 33                         | 34                     | 33                          | 38                          |
| Skin                  | 52                         | 49                     | 41                          | 40                          |
| Bone surface          | 410                        | 366                    | 236                         | 249                         |
| Salivary glands       | 1250                       | 1147                   | 1077                        | 1015                        |
| Brain                 | 950                        | 717                    | 535                         | 764                         |
| Lymphatic nodes*      | 54                         | 48                     | 44                          | 40                          |
| Extrathoracic airway* | 1083                       | 966                    | 879                         | 882                         |
| Muscle*               | 54                         | 48                     | 42                          | 40                          |
| Oral mucosa*          | 1226                       | 1089                   | 1145                        | 1037                        |
| Lens                  | 967                        | 1007                   | 817                         | 676                         |
| Effective Dose        | 71                         | 65                     | 70                          | 71                          |
| remainder tissues*    |                            |                        |                             |                             |

**Table 5. Equivalent Dose and Percent Variation**

| <i>Organ</i>          | <i>RANDO<br/>(TLD)</i> | <i>RANDO<br/>(OSL) Scan 1</i> | <i>RANDO (OSL)<br/>Scan 2</i> | <i>% Variation<br/>(TLD vs OSL)</i> | <i>% Variation<br/>(Scan 1 vs Scan 2)</i> |
|-----------------------|------------------------|-------------------------------|-------------------------------|-------------------------------------|---|
| Bone Marrow           | 115                    | 76                            | 74                            | -41                                 | 3   |
| Thyroid               | 183                    | 304                           | 296                           | 50                                  | 3   |
| Esophagus             | 33                     | 34                            | 29                            | 2                                   | 16  |
| Skin                  | 52                     | 49                            | 46                            | -5                                  | 5   |
| Bone surface          | 410                    | 366                           | 357                           | -11                                 | 2   |
| Salivary glands       | 1250                   | 1147                          | 1120                          | -9                                  | 2   |
| Brain                 | 950                    | 717                           | 700                           | -28                                 | 2   |
| Lymphatic nodes*      | 54                     | 48                            | 46                            | -12                                 | 3   |
| Extrathoracic airway* | 1083                   | 966                           | 938                           | -11                                 | 3   |
| Muscle*               | 54                     | 48                            | 46                            | -12                                 | 3   |
| Oral mucosa*          | 1226                   | 1089                          | 1061                          | -12                                 | 3   |
| Lens                  | 967                    | 1007                          | 926                           | 4                                   | 8   |
| Effective Dose        | 71                     | 65                            | 63                            | -9                                  | 3   |
| remainder tissues*    |                        |                               |                               |                                     |   |



**Table 6. OSL Dosimeter Dose and Percent Variation**

| Location                      | Dosimeter Number | RANDO TLD 2007 (mGy) | RANDO OSL 2012 Scan 1 (mGy) | RANDO OSL 2012 Scan 2 (mGy) | % Variation TLD to OSL Scan 1 | % Variation OSL Scan 1 to Scan 2 |
|-------------------------------|------------------|----------------------|-----------------------------|-----------------------------|-------------------------------|----------------------------------|
| calvarium anterior (2)        | 1                | 0.80                 | 0.73                        | 0.69                        | 8.5                           | 6.5                              |
| calvarium left (2)            | 2                | 0.87                 | 0.40                        | 0.44                        | 73.0                          | -9.0                             |
| calvarium posterior (2)       | 3                | 0.57                 | 0.14                        | 0.14                        | 119.3                         | 5.0                              |
| mid brain (2)                 | 4                | 0.90                 | 0.57                        | 0.55                        | 44.5                          | 3.7                              |
| pituitary (3)                 | 5                | 1.00                 | 0.86                        | 0.85                        | 14.8                          | 1.7                              |
| right orbit (4)               | 6                | 1.23                 | 0.96                        | 0.92                        | 25.0                          | 3.7                              |
| left orbit (4)                | 7                | 1.03                 | 1.12                        | 1.12                        | -8.3                          | 0.2                              |
| right lens of eye (3)         | 8                | 1.00                 | 0.97                        | 0.90                        | 3.5                           | 6.8                              |
| left lens of eye (3)          | 9                | 0.93                 | 1.05                        | 0.95                        | -11.6                         | 9.8                              |
| right cheek (5)               | 10               | 1.13                 | 1.10                        | 1.06                        | 2.9                           | 3.6                              |
| right parotid (6)             | 11               | 1.53                 | 0.92                        | 0.91                        | 50.0                          | 1.6                              |
| left parotid (6)              | 12               | 1.37                 | 1.15                        | 1.20                        | 17.5                          | -4.8                             |
| right ramus (6)               | 13               | 1.33                 | 0.89                        | 0.90                        | 39.6                          | -1.0                             |
| left ramus (6)                | 14               | 1.13                 | 1.07                        | 1.12                        | 5.5                           | -4.1                             |
| center C spine (6)            | 15               | 1.30                 | 0.93                        | 0.90                        | 32.8                          | 4.1                              |
| left back of neck (7)         | 16               | 1.07                 | 0.81                        | 0.80                        | 27.2                          | 0.9                              |
| right mandible body (7)       | 17               | 1.23                 | 1.07                        | 1.01                        | 13.9                          | 5.6                              |
| left mandible body (7)        | 18               | 0.90                 | 1.12                        | 0.98                        | -21.4                         | 13.2                             |
| right submandibular gland (7) | 19               | 1.37                 | 1.15                        | 1.08                        | 17.4                          | 6.5                              |
| left submandibular gland (7)  | 20               | 1.10                 | 1.21                        | 1.16                        | -9.4                          | 3.9                              |
| center sublingual gland (7)   | 21               | 1.07                 | 1.23                        | 1.19                        | -14.1                         | 3.3                              |
| midline thyroid (9)           | 22               | 0.23                 | 0.36                        | 0.35                        | -42.7                         | 1.7                              |
| thyroid surface - left (9)    | 23               | 0.13                 | 0.25                        | 0.24                        | -60.4                         | 4.1                              |
| pharynx/ esophagus (9)        | 24               | 0.33                 | 0.34                        | 0.29                        | -1.6                          | 15.5                             |
| Average                       |                  | 0.98                 | 0.85                        | 0.82                        | 14.4                          | 3.3                              |

**Table 7. Cancer Risk**

|                                    | <i>Effective Dose in<br/><math>\mu\text{Sv}</math></i> |       | <i>Days of per capita<br/>background (6.5<br/><math>\mu\text{Sv}</math> per day)</i> |       | <i>Probability of x<br/>in a million fatal<br/>cancer</i> |       | <i>Probability of 1 in<br/>x of a fatal cancer</i> |       |
|------------------------------------|--|-------|--|-------|---|-------|--|-------|
|                                    | Adult  | Child | Adult  | Child | Adult   | Child | Adult  | Child |
| i-CAT NG 17x23 cm FOV 8.9s<br>scan | 70   | 71    | 11   | 11    | 4   | 12    | 260K   | 85K   |

## VIII. FIGURES

Figure 1. MicroStar™ Reader (A) and NanoDot™ Dosimeters (B)

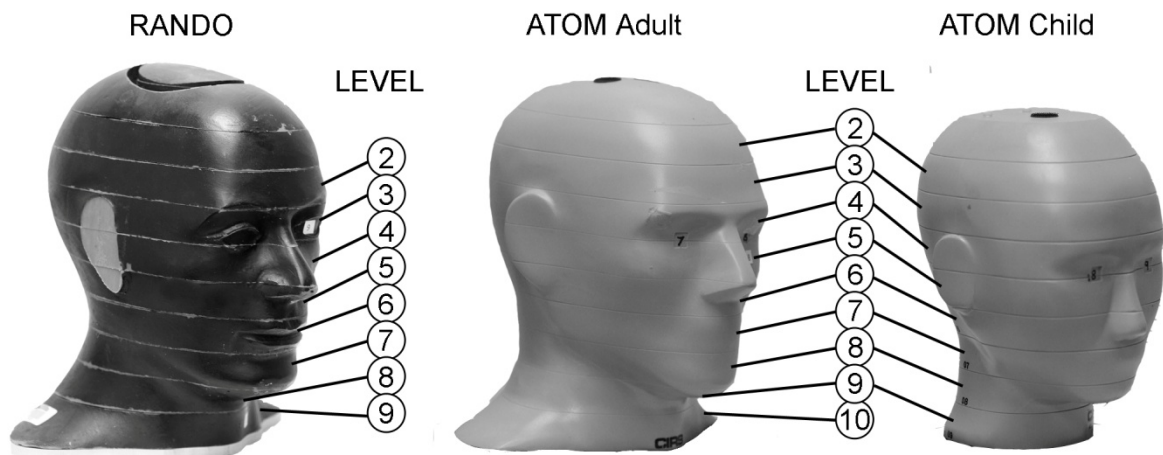
A



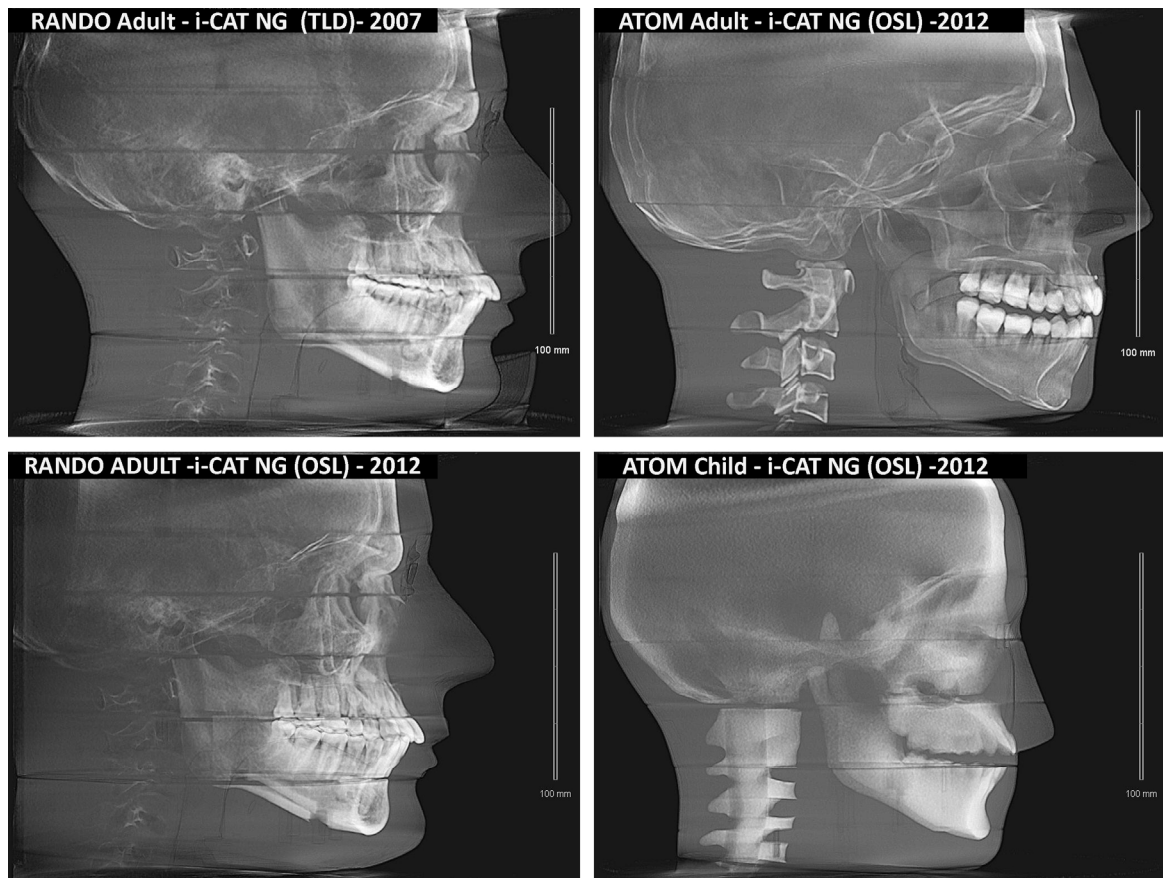
B



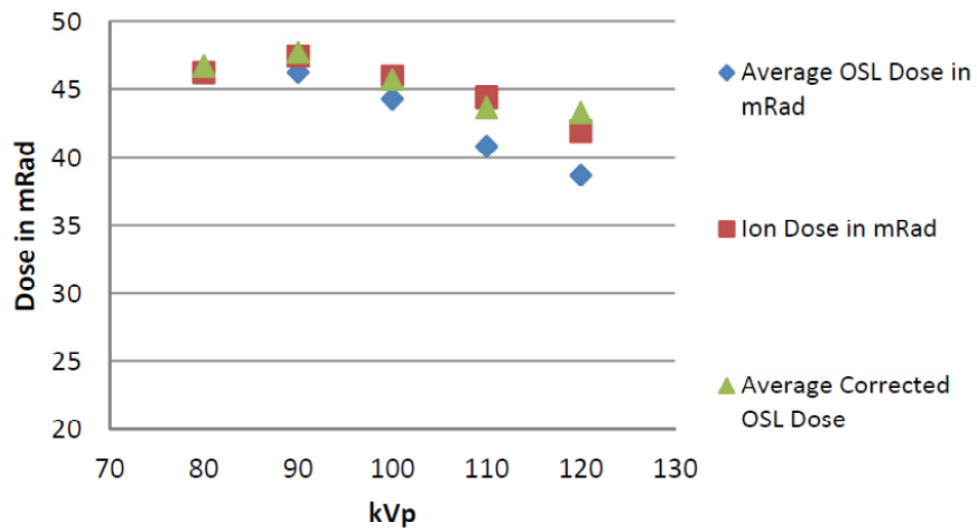
**Figure 2 Phantoms and Levels**



**Figure 3 Lateral Cephalometric View of Scans for Verification Phantom Position**



**Figure 4 Verification of OSL Calibration and Correction for Variations in kVp**



| kVp | mA  | mS  | Average<br>OSL Dose<br>(mRad) | Ion Dose<br>(mRad) | Average<br>Corrected<br>OSL Dose<br>(mRad) | Correction<br>Factor |
|-----|-----|-----|-------------------------------|--------------------|--|----------------------|
| 80  | 125 | 200 | 46.5                          | 46.3               | 46.8                                       | 1.00526945           |
| 90  | 125 | 160 | 46.3                          | 47.5               | 47.8                                       | 1.01052952           |
| 100 | 125 | 125 | 44.3                          | 46.0               | 45.7                                       | 1.03204713           |
| 110 | 125 | 100 | 40.9                          | 44.4               | 43.6                                       | 1.06835017           |
| 120 | 125 | 80  | 38.7                          | 42.0               | 43.3                                       | 1.1186116            |

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