

Effect of talocrural joint mobilizations on restricted ankle  
dorsiflexion and the kinematics of squatting tasks

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A thesis submitted to the faculty of the University of North Carolina at Chapel Hill in partial fulfillment of the requirements for the degree of Master of Arts in the Department of Exercise & Sport Science (Athletic Training) in the College of Arts & Sciences.

Chapel Hill  
2013

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## **ABSTRACT**

**MOLLY SMITH:** Effect of talocrural joint mobilizations on restricted ankle dorsiflexion and the kinematics of squatting tasks  
(Under the direction of Darin Padua)

Joint mobilization treatments aimed at increasing ankle dorsiflexion range of motion (DF-ROM) may affect DF-ROM and squat kinematics in healthy subjects with restricted dorsiflexion. Measures of DF-ROM and squat kinematics (knee valgus displacement, medial knee displacement, and dorsiflexion displacement) were assessed in 43 subjects. Subjects were randomly assigned to a control (calf stretching and sham mobilization) or treatment (calf stretching, mobilization with movement treatment, and anterior to posterior talocrural joint mobilization) group. All subjects, regardless of group, demonstrated significantly improved DF-ROM at post testing. During squatting tasks, dorsiflexion displacement increased significantly from pre- to post-testing in both double and single leg squats. No significant differences were observed for knee valgus displacement or medial knee displacement. Thus, calf stretching improved passive and active dorsiflexion range of motion in subjects with dorsiflexion restrictions. Joint mobilizations did not have an additive effect on dorsiflexion gains nor affect squatting kinematics at the knee.

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## **CHAPTER I**

### **INTRODUCTION**

#### **BACKGROUND**

Recreational and competitive sports are widely popular in the United States, and while an active lifestyle is healthy, sports can also cause injuries. Common injuries from sports such as running, basketball, and soccer include acute knee injuries, acute ankle sprains, and chronic ankle instability (CAI). Such injuries can be painful, expensive, and may lead to altered lower extremity biomechanics, permanent disability, and the development of early osteoarthritis.

An acute knee injury seen frequently in sport is anterior cruciate ligament (ACL) sprains and full thickness tears. Each year between 80,000 and 250,000 ACL injuries occur, with more than 50% of these injuries occurring in young athletes between 15 and 25 years of age. In addition, females participating in “high-risk” sports involving pivoting and jumping are four- to six- times more likely to suffer an ACL tear than males participating in the same sports (Hewett, Myer et al. 2005; Griffin, Albohm et al. 2006). Data collected by the American Board of Orthopaedic Surgeons showed that in 2004, ACL reconstruction was the sixth most common surgical procedure performed by sports medicine fellows and the third most common surgery among general surgeons (Griffin, Albohm et al. 2006). ACL injuries cause both temporary and permanent disability, loss of time from work, school, and sports, decreased academic performance in school, and may lead to the need

for further reconstructions or to degenerative joint disease (Freedman, Glasgow et al. 1998; Griffin, Albohm et al. 2006). It is estimated that surgery and rehabilitation for each ACL injury costs approximately \$11,000-17,000, for a total of millions of dollars spent annually because of ACL injuries (Hewett, Myer et al. 2005; Gianotti, Marshall et al. 2009). ACL injuries may also cause increased risk of knee osteoarthritis, with up to 50% of people with reconstructed ACLs showing signs of articular degeneration 15 years after surgery (Lohmander, Ostenberg et al. 2004; Meunier, Odensten et al. 2007; Roos, Englund et al. 2007; Hui, Salmon et al. 2011).

Ankle injuries are the most common lower extremity injury in the recreational and athletic settings with more than 25,000 ankle sprains occurring daily in the United States (Mickel, Bottoni et al. 2006; Wikstrom and Hubbard 2010). The greatest predisposing factor for ankle sprains is a history of at least one ankle sprain, and suffering repetitive ankle sprains can lead to chronic ankle instability (Milgrom, Shlamkovitch et al. 1991; Bahr and Bahr 1997; McKay, Goldie et al. 2001; Hertel 2002; Beynnon, Webb et al. 2004). The recurrence rate of ankle sprains is greater than 70%, and up to 75% of people who sprain their ankle develop some level of chronic functional ankle instability (Wikstrom and Hubbard 2010). Repetitive ankle sprains have also been linked to an increased risk of osteoarthritis and articular degeneration at the ankle (Harrington 1979; Hertel 2002).

The prevalence of knee and ankle injuries is high, therefore ongoing research is attempting to identify ways to prevent and treat such injuries. Lower extremity injuries often cause altered neuromuscular movement patterns and biomechanical changes, which can lead to movement compensations and further injury. One factor that has been associated with both knee and ankle injuries is ankle dorsiflexion range of motion.

Decreased or restricted dorsiflexion predisposes athletes to patellar tendinopathy and has been shown to alter biomechanics potentially contributing to injury (Malliaras, Cook et al. 2006; Backman and Danielson 2011). For example, decreased dorsiflexion range of motion has been associated with factors that increase ACL injury risk during a jump landing task. These include less knee-flexion displacement, greater knee-valgus displacement and greater ground reaction forces (Fong, Blackburn et al. 2011). Similarly, decreased dorsiflexion range of motion is associated with increased frontal plane knee excursion during a drop land task in young female soccer players (Sigward, Ota et al. 2008). It has also been found that affording individuals more ankle dorsiflexion with the use of a heel lift during a squat eliminated the presence of medial knee displacement (MKD), which is associated with tight and weak ankle musculature and can increase the risk of ACL injury and patellofemoral pain syndrome (Bell, Padua et al. 2008). However, there seem to be a number of factors that can contribute to restricted dorsiflexion range of motion.

Ankle dorsiflexion restrictions seem to be important factors in human movement and potentially lower extremity injury. Interventions to increase dorsiflexion motion may improve biomechanics and prevent injury. Dorsiflexion restrictions can be due to multiple factors, therefore identifying the cause of the restriction is crucial for intervention. Decreased dorsiflexion range of motion is present following several lower extremity injuries, such as acute inversion ankle sprains and chronic ankle instability (Youdas, McLean et al. 2009). In fact, functional dorsiflexion may even be decreased during jogging in individuals with CAI compared to healthy controls (Drewes, McKeon et al. 2009). These dorsiflexion restrictions can be due to decreased osteokinematic motion, decreased arthrokinematic motion, and/or positional faults (Denegar, Hertel et al. 2002; Mulligan

2004; Grindstaff 2009). Osteokinematic motion is due to contractile tissue (i.e. muscle, tendon, and fascia) and restrictions can be addressed through stretching (Prentice 2004). Ankle dorsiflexion, for example, can be increased through static stretching of the calf musculature (Radford, Burns et al. 2006). Arthrokinematic motion is the movement of articulating surfaces relative to each other, and can be restricted by inert connective tissue (i.e. ligaments and joint capsule). Normal arthrokinematic motion is necessary for normal osteokinematic motion to occur, and arthrokinematic motion can be restored through joint mobilizations (Prentice 2004). Joint mobilizations have been shown to increase dorsiflexion after ankle sprains or immobilization and in people with chronic ankle instability (Green, Refshauge et al. 2001; Reid, Birmingham et al. 2007; Landrum, Kelln et al. 2008; Hoch and McKeon 2010). This increase in dorsiflexion motion may be due to a restoration of posterior talar glide or because of a correction of a bony positional fault, which may occur following injury and can cause movement restrictions and/or pain (Denegar, Hertel et al. 2002). Specifically in the ankle, an anteriorly positioned talus can cause decreased dorsiflexion motion by limiting the amount of posterior glide that the talus can achieve during dorsiflexion (Mulligan 2004). In a study of chronic ankle instability, talar position was significantly more anterior in CAI limbs than in non-CAI limbs (Wikstrom and Hubbard 2010). It has been proposed that without joint mobilization, ankle dorsiflexion motion may be restored to a normal range through excessive stretching of the plantar flexors, extreme motion at surrounding joints, or forced at the talocrural joint through an abnormal axis of rotation (Denegar, Hertel et al. 2002). Talar laxity may also be affected by lower extremity injury. Talar laxity represents mechanical laxity in the talocrural joint, and is often seen after ankle sprains and in individuals with CAI (Denegar

et al., 2002; T. J. Hubbard, Kaminski, Vander Griend, & Kovaleski, 2004; Nauck, Lohrer, & Gollhofer, 2010).

Since dorsiflexion range of motion is related to a variety of lower extremity injuries, an accurate measurement of dorsiflexion range of motion is essential to identify deficits and create injury prevention and intervention strategies. Measures of lower extremity range of motion can be taken passively, actively, or functionally. While passive and active range of motion measurements are easier clinical measures that allow for identification of range of motion impairments and tracking of changes over time, functional measurements may allow for a better representation of how the individual moves during physical activity. Double and single leg squat tasks represent functional lower extremity movements and provide information on a number of variables including functional ankle dorsiflexion and medial knee displacement. Double and single leg squatting tasks have been used in a variety of research studies looking at variables such as dorsiflexion motion, muscle strength, and neuromuscular characteristics (Bell, Padua, & Clark, 2008; Padua, In Review; Macrum, In Review; (Dill, Begalle et al. In Review).

Restricted dorsiflexion has been shown to play a role in a variety of lower extremity injuries. Research has assessed the role of both stretching and joint mobilizations on dorsiflexion range of motion. There is a gap in the literature, however, in comparing interventions which address both soft tissue and bony involvement in dorsiflexion restriction. This study will identify the specific contributions of joint mobilizations in addition to stretching, and will also look at a variety of ankle and knee kinematics prior to and during functional movement. Therefore, the purpose of this study is to determine the effects of a Mulligan's mobilization with movement (MWM) joint mobilizations on

passive dorsiflexion range of motion, talar laxity, and double and single leg squat kinematics in subjects with restricted dorsiflexion.

## **INDEPENDENT VARIABLES**

- Group
  - Control Group: Stretching plus sham mobilization
  - Intervention Group: Stretching plus joint mobilization
- Time
  - Pre-treatment
  - Post-treatment

## **DEPENDENT VARIABLES**

- Passive dorsiflexion range of motion
  - Weight-bearing lunge
  - Passive, knee extended
  - Passive, knee flexed
- Posterior talar laxity
- Ankle stiffness
  - Anterior-posterior
  - Medial-lateral
- Double and single leg squat knee and ankle kinematics
  - Dorsiflexion displacement
  - Medial knee displacement
  - Knee valgus displacement

## **RESEARCH QUESTIONS AND HYPOTHESES**

- Research Question #1: Is there a significant difference between the effect of ankle joint mobilizations plus stretching and stretching alone on measures of passive range of motion, ankle stiffness, and posterior talar laxity in individuals with restricted dorsiflexion ROM?
  - Research Question #1a: Is there a significant difference between the effect of ankle joint mobilizations plus stretching and stretching alone on measures of passive range of motion?
    - Research Hypothesis #1a: There will be significant increases in measures of passive range of motion for both groups, and significantly greater increases for the mobilization group compared to the stretching only group.

- Research Question #1b: Is there a significant difference between the effect of ankle joint mobilizations plus stretching and stretching alone on measures of ankle stiffness?
  - Research Hypothesis #1b: There will be a significant increase between the joint mobilization group and the stretching group on measures of ankle stiffness.
- Research Question #1c: Is there a significant difference between the effect of ankle joint mobilizations plus stretching and stretching alone on measures of posterior talar laxity?
  - Research Hypothesis #1c: There will be a significant increase between the joint mobilization group and the stretching group on measures of posterior talar laxity.
- Research Question #2: Is there a significant difference between the effect of ankle joint mobilizations plus stretching and stretching alone on measures of dorsiflexion displacement and medial knee displacement during double and single leg squatting tasks in individuals with restricted dorsiflexion ROM?
  - Research Question #2a: Is there a significant difference between the effect of ankle joint mobilizations plus stretching and stretching alone on measures of dorsiflexion displacement during double and single leg squatting tasks in individuals with restricted dorsiflexion ROM?
    - Research Hypothesis #2a: There will be significant increases in measures of dorsiflexion displacement during double and single leg squatting tasks for both groups, and significantly greater increases among the joint mobilization group than the stretching only group.
  - Research Question #2b: Is there a significant difference between the effect of ankle joint mobilizations plus stretching and stretching alone on measures of medial knee displacement during double and single leg squatting tasks in individuals with restricted dorsiflexion ROM?
    - Research Hypothesis #2b: There will be significant decreases in measures of medial knee displacement during double and single leg squatting tasks for both groups, and significantly greater decreases among the joint mobilization group than the stretching only group.
  - Research Question #2c: Is there a significant difference between the effect of ankle joint mobilizations plus stretching and stretching alone on measures of knee valgus displacement during double and single leg squatting tasks in individuals with restricted dorsiflexion ROM?
    - Research Hypothesis #2c: There will be significant decreases in measures of knee valgus displacement during double and single leg

squatting tasks for both groups, and significantly greater decreases among the joint mobilization group than the stretching only group.

## STATISTICAL HYPOTHESES

- Statistical Hypothesis #1
  - $H_0$ : EXP=CON
  - $H_A$ : EXP $\neq$ CON
  - $H_{R1a}$ :EXP >CON
  - $H_{R1b}$ :EXP >CON
  - $H_{R1c}$ :EXP >CON
- Statistical Hypothesis #2
  - $H_0$ : EXP=CON
  - $H_A$ : EXP $\neq$ CON
  - $H_{R2a}$ :EXP >CON
  - $H_{R2b}$ :EXP <CON
  - $H_{R2c}$ :EXP <CON

## OPERATIONAL DEFINITIONS

- Healthy subject: Subjects that have no history of lower extremity surgery, no history of knee or ankle injury in the past six months (i.e. an injury that caused the subject to refrain from activity from two or more days), and are not currently doing rehabilitation on any ankle or knee injuries.
- Double leg squat: Participants perform a squat maneuver, beginning with their feet shoulder-width apart, toes pointing straight ahead, and arms extended over their head. Subjects then flex their knees such as when sitting into a chair, to a depth of at least 60 degrees of knee flexion.
- Single leg squat: Participants perform a single leg squat maneuver, beginning by standing on their dominant leg with their hands on their waist and their non-dominant leg flexed to 45 degrees at the hip and 90 degrees at the knee. Subjects will then squat to a depth of at least 60 degrees of knee flexion.
- Restricted dorsiflexion: Equal to or less than 40 degrees of passive dorsiflexion measured with the weight-bearing lunge test.
- Talocrural joint mobilization treatment: A single treatment session of 3-30 second bouts of a Mulligan's mobilization with movement (MWM) talocrural joint mobilizations.
- Stretching treatment: A single treatment session of 2-30 second bouts of knee extended calf stretching and 2-30 second bouts of knee bent calf stretching on a slant board.



- Sham mobilization treatment: A single treatment session of 3-30 second bouts of a sham mobilization consisting of passive knee flexion with the ankle held in a neutral orthoplast splint.
- Peak knee valgus: The maximum frontal plane knee angle that occurs during the descent phase of a double or single leg squatting task.
- Knee valgus displacement: The difference between initial knee valgus angle and the peak knee valgus angle that occurs during the descent phase of a double or single leg squatting task.
- Medial knee displacement: The difference between initial frontal plane knee angle and the peak frontal plane knee angle that occurs during the descent phase of a double or single leg squatting task.
- Peak ankle dorsiflexion: The maximum ankle dorsiflexion angle that occurs during the descent phase of a double or single leg squatting task.
- Ankle displacement: The difference between initial ankle dorsiflexion angle and the peak ankle dorsiflexion angle that occurs during the descent phase of a double or single leg squatting task.

## **ASSUMPTIONS**

- The use of a standard goniometer to measure passive range of motion is representative of the joint's range of motion.
- All subjects will truthfully report current and past medical conditions which may exclude them from the study.
- The testing equipment will not prevent normal body motions.

## **DELIMITATIONS**

- All subjects will be healthy, uninjured students at the University of North Carolina, Chapel Hill.
- Subjects will be classified as having restricted dorsiflexion based on the criteria established by previous research.

## **LIMITATIONS**

- The motion of the posterior talar laxity test and ankle arthrometer test are similar to a joint mobilization movement.
- The findings of this study may not be applicable to other populations.

- Subjects may participate in activity or stretching between the pre-testing screening session to determine inclusion and the testing session.
- The individual effort put into correctly performing the double and single leg squatting tasks cannot be assessed.

## **SIGNIFICANCE OF THE STUDY**

Many athletes have ankle dorsiflexion restrictions, which have been associated with increased knee tendinopathies and increased ACL injury risk factors. Therefore, many athletes would benefit from efforts to increase ankle dorsiflexion range of motion. Such efforts include stretching and joint mobilizations. This study will investigate the effect of joint mobilizations on dorsiflexion restriction in otherwise healthy subjects on measures of ankle and knee motion. This study aims to determine if range of motion efforts should be included in existing ACL prevention programs.

## **CHAPTER II**

### **REVIEW OF THE LITERATURE**

#### **INTRODUCTION**

Common injuries in sport involve acute knee injuries, chronic knee pain, acute ankle sprains, and chronic ankle instability. Such injuries can be expensive and painful and may lead to disability and osteoarthritis. Thus, prevention of knee and ankle injuries is important. Both knee and ankle injuries have been linked to decreased ankle dorsiflexion range of motion. This study investigated the effect of treatments commonly used to increase dorsiflexion range of motion: stretching and joint mobilizations, in an effort to correct risky movement patterns and prevent common lower extremity injuries.

#### **RELEVANT ANATOMY**

Understanding the functional anatomy of the lower extremity is crucial for understanding the biomechanics, kinematics, and relationship between the knee and ankle during lower extremity movement. Dynamic and static stabilizers of both the knee and ankle joints are of interest for this investigation.

##### **Knee**

The knee is a diarthrodial synovial modified hinge joint capable of the physiological motions of flexion, extension, and rotation and the arthrokinematic motions of rolling and gliding (Prentice 2004). The bony anatomy of the knee contains the articulations between the femur, tibia, and patella. The femoral condyles articulate with the tibial plateau, and

the medial femoral condyle is larger and more distal than the lateral condyle (Chhabra, Elliott et al. 2001). This asymmetry of the femoral condyles is an important component of the “screw home” mechanism, which occurs during the final 30 degrees of knee extension (Voight, Hoogenboom et al. 2007). The “screw home” mechanism refers to the internal rotation of the femur on the tibia as the knee extends in a closed chain, due to the larger size of the medial condyle. The “screw home” mechanism locks the knee into full extension and provides additional stability to the fully extended knee (Prentice 2004). The patella is a sesamoid bone that articulates with the femur and serves the purpose of increasing the quadriceps moment arm, providing continuity between the quadriceps tendon and patellar tendon, protecting the knee joint, and reducing pressure on the patellar tendon (Kaufer 1971; Chhabra, Elliott et al. 2001).

The femur and tibia are separated by two menisci; crescent-shaped fibrocartilaginous structures which serve to improve bony congruency between the round femoral condyles and the flat tibial plateau (Lee and Fu 2000). The menisci also assist with load bearing, shock absorption, joint stability, joint lubrication, and proprioception (Lee and Fu 2000; Englund 2008). The large, C-shaped medial meniscus is tightly attached to the tibia, joint capsule, and medial collateral ligament (Chhabra, Elliott et al. 2001). The medial meniscus is more commonly injured due to its tight attachments and inability to slide out of the way of large loads. The smaller, O-shaped lateral meniscus is an important weight-bearing structure that is attached to the tibia via the ligaments of Humphrey and Wrisberg and the popliteus tendon (Lee and Fu 2000).

The knee has little bony stability and thus relies heavily on static and dynamic restraints for support. Static stabilization of the knee is provided by four main ligaments,

the anterior cruciate ligament, posterior cruciate ligament, medial collateral ligament, and lateral collateral ligament (Hughston, Andrews et al. 1976). The anterior cruciate ligament (ACL) resists anterior translation of the tibia on the femur, prevents knee hyperextension, provides rotary and varus/valgus stability, and guides tibial and femoral motion during flexion and extension (Voight, Hoogenboom et al. 2007). The ACL extends from the posterior lateral femoral condyle to the anterior tibial spine and is comprised of two bundles which wrap around each other and have varying tautness depending on the knee flexion angle: the anteromedial bundle is tight in knee flexion and the posterolateral bundle is tight in knee extension (Girgis, Marshall et al. 1975; Hughston, Andrews et al. 1976; Arnoczky 1983). At any position of the knee, a portion of the ACL is under tension and is functional. The ACL is intraarticular, extrasynovial, and receives vascularization from the middle geniculate artery (Arnoczky 1983).

The posterior cruciate ligament (PCL) extends from the posterior medial femoral condyle to the inter-articular surface of the tibia and resists posterior translation of the tibia on the femur as well as extreme varus/valgus and rotation motion (Girgis, Marshall et al. 1975). The PCL consists of two bundles: the larger anterolateral bundle, which is tight in knee flexion, and the smaller posteromedial bundle, which is tight in knee extension. The PCL is also intraarticular and extrasynovial and receives vascular supply from the middle geniculate artery. The PCL is assisted with preventing posterior translation of the tibia by the ligaments of Humphrey and Wrisberg, which are not present in all knees (Chhabra, Elliott et al. 2001; Voos, Mauro et al. 2012).

The medial collateral ligament (MCL) is the primary static restraint to valgus stress and spans from the medial femoral epicondyle to the medial meniscus and the tibia

posterior to the pes anserinus insertion and just inferior to the tibial articular surface (Chhabra, Elliott et al. 2001). Like the ACL and PCL, the MCL has two portions: the superficial medial collateral ligament and the deep medial capsular ligament (Warren and Marshall 1979).

The lateral aspect of the knee is stabilized primarily by the lateral collateral ligament (LCL), which resists varus stress and external rotation of the knee. The LCL extends from the lateral femoral condyle to the fibular head and does not have a connection to the joint capsule (Chhabra, Elliott et al. 2001; Voight, Hoogenboom et al. 2007). Other posterolateral stabilizers of the knee that work to resist posterior translation, external rotation, and varus forces include the iliotibial (IT) band, biceps femoris, patellar retinaculum, patellofemoral ligaments, popliteus tendon, popliteofibular ligament, arcuate ligament, fabellofibular ligament, and joint capsule (Chhabra, Elliott et al. 2001).

Dynamic restraints and prime movers of the knee include the quadriceps, hamstrings, IT band, popliteus, and gastrocnemius muscles (Voight, Hoogenboom et al. 2007). The quadriceps muscle group consists of the rectus femoris, vastus lateralis, vastus medialis, and vastus intermedius and is primarily responsible for knee extension and assists with limiting posterior translation of the tibia. The vastus medialis oblique also resists valgus forces on the knee. The quadriceps muscles originate from the anterior superior iliac spine (ASIS) and anterior inferior iliac spine (AIIS) and insert through a common tendon to the tibial tuberosity. The hamstring muscle group includes the biceps femoris, semimembranosus, and semitendinosus and is primarily responsible for knee flexion and assists with limiting anterior translation of the tibia. The biceps femoris also resists varus forces at the knee. The hamstring muscles originate from the ischial tuberosity and linea

aspera of the femur. The biceps femoris has two heads and inserts on the lateral tibial condyle (short head) and the fibular head and lateral tibia (long head). The semimembranosus has many distal attachments, including the oblique popliteal ligament, posterior capsule, posterior tibia, popliteus, and medial meniscus. The semitendinosus joins with the gracilis and sartorius tendons to form the pes anserinus tendon, which attaches on the anteromedial tibia and stabilizes against valgus forces. The IT band is a continuation of the tensor fascia latae and inserts at Gerdy's tubercle on the anterolateral aspect of the tibia. The IT band assists with knee flexion and stabilizes against anterior tibial translation and varus force (Chhabra, Elliott et al. 2001; Voight, Hoogenboom et al. 2007). Furthermore, the popliteus muscle and gastrocnemius/soleus complex provide additional stabilization against anterior and posterior tibial translation, varus forces, and antero-/posterolateral rotational instabilities (Voight, Hoogenboom et al. 2007).

## **Ankle**

The ankle complex is made up of three bony articulations: the talocrural joint, subtalar joint, and distal tibiofibular syndesmosis. Ankle motion is generally defined as plantarflexion/dorsiflexion, inversion/eversion, and internal/external rotation. Movement of the ankle complex does not truly occur in the cardinal planes due to the oblique axis of rotation at the ankle. Ankle motion can be better described as pronation and supination. Open-chain pronation is a combination of dorsiflexion, eversion, and external rotation while open-chain supination consists of plantarflexion, inversion, and internal rotation. Closed-chain pronation consists of plantarflexion, eversion, and external rotation and closed-chain supination is a combination of dorsiflexion, inversion, and internal rotation

(Hertel 2002). The ankle complex is relatively stable due to bony congruency, static ligamentous support, and dynamic muscular restraints (Hertel 2002; Prentice 2004).

The talocrural joint, which is sometimes referred to as the ankle joint, is a hinge joint formed from the articulation of the talar dome, medial malleolus, tibial plafond, and lateral malleolus and allows dorsiflexion and plantarflexion movements. The talocrural joint receives ligamentous stability from the articular capsule, deltoid, anterior talofibular, posterior talofibular, and calcaneofibular ligaments (Hertel 2002; Prentice 2004; Taser, Shafiq et al. 2006). The anterior talofibular ligament (ATFL) is the most commonly injured ankle ligament (Hertel 2002). The subtalar joint consists of the articulation between the talus and the calcaneus and allows pronation and supination motions (Hertel 2002; Prentice 2004). This articulation is supported by an extensive network of ligaments that are not well understood. Ligaments can be categorized as deep, peripheral, and retinacular and work to provide stability to this joint. The distal tibiofibular joint is a syndesmosis that allows for accessory gliding between the tibia and fibula, which is essential for normal ankle mechanics. This joint is stabilized by the interosseous membrane and the anterior and posterior tibiofibular ligaments. Little motion occurs at this joint, but it can be injured during eversion ankle injuries (Hertel 2002).

Dynamic support of the ankle complex is provided by anterior and posterior musculature. The eccentric function of the fibularis longus and brevis muscles control supination of the rearfoot and thus protect against lateral ankle sprains. The anterior musculature including the anterior tibialis, extensor digitorum longus, extensor digitorum brevis, and peroneus tertius also provide dynamic eccentric support by resisting and



slowing the plantarflexion component of supination and thus preventing lateral ligament injury (Hertel 2002).

## **KNEE CONDITIONS**

### **Non-Contact Anterior Cruciate Ligament (ACL) Injuries**

Each year between 80,000 and 250,000 ACL injuries occur and 70% of such injuries are due to non-contact mechanisms, which may be preventable (Hewett, Myer et al. 2005; Griffin, Albohm et al. 2006). ACL tears are most common among young athletes 15 to 25 years of age. Furthermore, female athletes are more susceptible than male athletes (Arendt, Agel et al. 1999; Griffin, Albohm et al. 2006). Each ACL injury costs approximately \$17,000 for a total of between \$1.3 and \$4.3 billion annually for ACL surgery and rehabilitation (Hewett, Myer et al. 2005).

One mechanism of non-contact ACL tears is during tibial external rotation, when there is slack in the ACL. The ACL can impinge on the lateral femoral condyle, which causes a shearing force on the ACL and can result in a tear. This position of tibial external rotation is common in sports involving cutting tasks such as basketball and soccer (Olsen, Myklebust et al. 2004; Bahr and Krosshaug 2005).

### **Risk Factors**

A variety of ACL injury risk factors have been identified. Risk factors include anatomical risks such as large Q-angles and hormonal risks due to increased knee laxity during the periovulatory and luteal phases of the menstrual cycle (Shambaugh, Klein et al. 1991; Heitz, Eisenman et al. 1999; Deie, Sakamaki et al. 2002; Shultz, Sander et al. 2005). Biomechanical and neuromuscular risk factors are a main focus of recent ACL injury research and prevention. Several biomechanical risk factors have been identified. Increased

dynamic knee valgus, internal tibial rotation, and foot pronation have been linked to increased incidence of ACL injury (Woodford-Rogers, Cyphert et al. 1994; Loudon, Jenkins et al. 1996; Allen and Glasoe 2000; Ford, Myer et al. 2003; Hewett, Myer et al. 2005).

Neuromuscular risk factors also play a role in ACL injury. Research has analyzed jump landing, cutting, and pivoting tasks in an effort to identify risky movement patterns. Movements with decreased knee flexion, decreased hip flexion, increased knee valgus, increased hip internal rotation, increased tibial internal or external rotation, less hamstring stiffness, and quadriceps dominant contractions may all play a role in increased ACL injury risk (Huston and Wojtys 1996; Aune 1997; Colby, Francisco et al. 2000; Besier, Lloyd et al. 2001; Malinzak, Colby et al. 2001; Chappell, Yu et al. 2002; Lephart, Ferris et al. 2002; Decker, Torry et al. 2003; Pollard, Davis et al. 2004; McLean, Walker et al. 2005; Padua, Carcia et al. 2005). While risky movement patterns may be inherently present in certain individuals, fatigue may also cause altered movement patterns and increase ACL injury susceptibility (Chappell, Daniel et al. 2005). Muscle stiffness and its relationship to ACL injury is being investigated. Gender differences have been identified for hamstring stiffness, with females exhibiting decreased hamstring stiffness compared to males, which may be a factor in increased female ACL injury (Kibler and Livingston 2001; Granata, Padua et al. 2002; Granata, Wilson et al. 2002; Wojtys, Ashton-Miller et al. 2002; Wojtys, Huston et al. 2003; Blackburn, Norcross et al. 2011).

Prior injury is one of the best predictors of future injury (Hewett, Myer et al. 2005). In people with an ACL tear, contralateral tears occur in 5% of knees and re-tears in 4% of knees (Shelbourne, Gray et al. 2009). Re-injury can be predicted by altered neuromuscular

control of the hip and knee during a dynamic landing task and postural stability task (Paterno 2010).

### **ACL Reconstruction Prognosis**

ACL reconstruction surgery is common after an ACL tear, and while surgeries are often successful, they do not guarantee a successful return to sport or even to normal knee function. Fifteen years after ACL reconstruction with patellar tendon autograft, nearly 60% of patients had further ACL injury either to the reconstructed knee or the contralateral knee (Hui, Salmon et al. 2011). However, positive outcomes have also been identified, with 97% of patients reported normal or near-normal knee function 10 years after ACL reconstruction (Pinczewski, Lyman et al. 2007).

### **Knee Osteoarthritis**

One of the long-term consequences of ACL rupture is the future onset of osteoarthritis (OA) (Roos, Englund et al. 2007). ACL injuries often occur in conjunction with injuries to the menisci, joint cartilage, other ligaments, and subchondral bone. It is likely that these injuries associated with ACL tears significantly contribute to the early development of OA (Roos, Englund et al. 2007). OA is a common condition characterized by loss of articular cartilage in synovial joints. People with OA often have associated osteophyte formation, subchondral bone changes, and synovitis and may also suffer from decreased joint space and bone cysts. OA causes a variety of symptoms including varying degrees of pain, stiffness, functional limitations, and diminished quality of life. OA is generally associated with increased age, but is also strongly associated with previous knee injury. Other risk factors include family history, developmental conditions that affect joint growth or shape, muscle weakness, obesity, and joint injury (Roos, Englund et al. 2007).

Fifteen years after ACL reconstructive surgery, approximately 50% of people have been shown to have radiographic evidence of OA and 12 years after ACL rupture, 75% of female soccer players reported significant symptoms that affected their knee-related quality of life (Lohmander, Ostenberg et al. 2004; Meunier, Odensten et al. 2007; Roos, Englund et al. 2007; Hui, Salmon et al. 2011).

### **Ankle Dorsiflexion and Knee Injuries**

Restricted ankle dorsiflexion range of motion can play a role in altered biomechanics and risky movement patterns and thus may potentially lead to injury. Dorsiflexion range of motion has been shown to affect jump-landing biomechanics. Decreased dorsiflexion range of motion was associated with less knee-flexion displacement, greater knee-valgus displacement, and greater ground reaction forces, all of which are factors that may increase ACL injury risk (Fong, Blackburn et al. 2011). Additionally, medial knee displacement (MKD) is associated with tight lateral and weak medial ankle musculature, potentially increasing ACL injury risk. In one study, individuals who displayed MKD during a squat were afforded more ankle dorsiflexion by standing on a heel lift, which resulted in a decreased amount of MKD (Bell, Padua et al. 2008). Another study measured frontal plane knee excursion, similar to MKD, during a drop landing task and found decreased dorsiflexion range of motion was associated with increased frontal plane knee excursion (Sigward, Ota et al. 2008). Improving ankle dorsiflexion range of motion can potentially reduce risky movement patterns, thus reducing the risk of ACL injury.

## **ACL Prevention Programs**

A variety of ACL injury prevention programs exist and include many tasks such as stretching, strengthening, aerobic conditioning, agilities, plyometrics, and risk awareness training focusing on soft landings, control on landing, dynamic balance, and agility skills (Griffin, Albohm et al. 2006). Prevention programs aim to decrease risk factors and reduce non-contact ACL injuries. Thus far, dorsiflexion range of motion and gastrocnemius/soleus flexibility have not been a focus in injury prevention programs. This study aims to determine if range of motion efforts should be included in existing ACL prevention programs.

## **ANKLE CONDITIONS**

### **Acute Ankle Sprains**

Ankle injuries are some of the most common injuries in the recreational and athletic setting and account for 10-44% of all injuries in the physically active population with more than 25,000 ankle sprains occurring daily in the United States (Mickel, Bottoni et al. 2006; Hughes and Rochester 2008; Dizon and Reyes 2010; Arnold, Wright et al. 2011). Despite the high frequency of ankle sprains, there is still no guaranteed method for eliminating pain caused by ankle sprains. Up to a third of patients who sustain an acute ankle sprain still experience pain for a period of 1 year or longer, with up to a quarter of patients still experiencing pain after 3 years (van Rijn, Willemsen et al. 2011). There is also no trusted way to prevent initial or subsequent ankle sprains. The reinjury rate of ankle sprains may be as high as 80%, and ankle reinjury can lead to lasting ankle instability, pain, and disability (Denegar, Hertel et al. 2002; Hughes and Rochester 2008; Dizon and Reyes 2010; Arnold, Wright et al. 2011).

## **Chronic Ankle Instability**

Chronic ankle instability (CAI) refers to repetitive bouts of lateral ankle instability, leading to numerous ankle sprains (Hertel 2002). CAI may be caused by mechanical instability, functional instability, or a combination of both. Mechanical instability is caused by altered mechanics within the ankle complex and is the result of pathologic ankle ligament laxity, impaired arthrokinematics, synovial inflammation and impingement, and degenerative changes. Functional instability is the recurrence of ankle instability and the feeling of joint instability due to proprioceptive and neuromuscular control, postural control, and/or strength deficits (Hertel 2002). Of patients with previous ankle sprains, 32-74% report some type of chronic symptoms, and 32-47% report some level of functional ankle instability (i.e. sense of giving way) (Arnold, Wright et al. 2011). Repetitive sprains have also been linked to an increased risk of osteoarthritis and articular degeneration at the ankle, and a previous history of at least one ankle sprain represents the greatest predisposing factor for subsequent ankle sprains (Harrington 1979; Milgrom, Shlamkovitch et al. 1991; Bahr and Bahr 1997; McKay, Goldie et al. 2001; Beynnon, Murphy et al. 2002; Hertel 2002).

## **Ankle Dorsiflexion and Ankle Injuries**

Decreased ankle dorsiflexion has been associated with ankle injuries as both a possible cause of ankle sprains and as a result of ankle sprains (Tabrizi, McIntyre et al. 2000; Hertel 2002). Restricted dorsiflexion range of motion may predispose people to ankle injuries. In a study of ankle range of motion and injury, the uninjured limbs of subjects with ankle injuries were compared to controls. The uninjured limbs of the injured group had significantly less passive dorsiflexion than controls, indicating that the injured subjects

may have been lacking dorsiflexion in both ankles prior to injury (Tabrizi, McIntyre et al. 2000; Hertel 2002). It is thought that decreased dorsiflexion could predispose individuals to ankle sprains, because if the talocrural joint is unable to fully dorsiflex, the joint will remain in an open-packed position during movement and will be able to invert and internally rotate more easily, thus increasing risk of ankle sprains (Hertel 2002). Ankle dorsiflexion motion has also been shown to be decreased after acute inversion ankle sprains and in people with CAI (Drewes, McKeon et al. 2009; Youdas, McLean et al. 2009). Another study, however, found no link between abnormal ankle range of motion and injury in a group of dancers (Wiesler, Hunter et al. 1996).

## **CAUSES OF RESTRICTED DORSIFLEXION**

Restricted dorsiflexion can be caused by either osteokinematic or arthrokinematic restrictions. Osteokinematic motion occurs through active muscle contractions that cause movements of a bone or joint, such as ankle dorsiflexion and plantarflexion. Osteokinematic restrictions can occur due to constraints of muscles and tendons, and stretching techniques can be used to increase osteokinematic motion. For example, dorsiflexion motion has been shown to increase after calf stretching (Radford, Burns et al. 2006; Youdas, McLean et al. 2009; Macklin, Healy et al. 2012). Arthrokinematic motion is an accessory motion between articulating joint surfaces and is involuntary. Arthrokinematic motions such as roll and glide occur simultaneously with osteokinematic motion and are needed to reach full, normal range of motion of a joint. Although arthrokinematic motion cannot be produced voluntarily, it can be produced by an external force. Arthrokinematic restrictions involve noncontractile tissue including the joint capsule and ligaments, and can be remedied with the use of joint mobilizations. Joint mobilizations

can restore arthrokinematic motion, improve joint mobility, and allow full, pain-free range of motion at a joint (Prentice 2004). Joint mobilizations have been shown to increase range of motion and decrease pain after ankle sprains and ankle immobilization, and in people with CAI (Green, Refshauge et al. 2001; Collins, Teys et al. 2004; Reid, Birmingham et al. 2007; Landrum, Kelln et al. 2008; Hoch and McKeon 2010). There are two types of talocrural joint mobilizations generally used by practitioners; passive anterior-posterior talocrucal mobilizations and Mulligan's mobilization with movement (MWM) talocrucal joint mobilizations. Both passive and MWM mobilizations have been shown to increase ankle range of motion, and it has been proposed that MWM treatments effectively change joint mechanics by allowing proper posterior talar gliding during ankle dorsiflexion (Green, Refshauge et al. 2001; Collins, Teys et al. 2004; Vicenzino, Branjerdporn et al. 2006; Reid, Birmingham et al. 2007; Landrum, Kelln et al. 2008; Hoch and McKeon 2010).

### **TALAR POSITION, GLIDE, AND LAXITY**

Talar position, glide, and laxity are factors affected by ankle injuries that can impact the amount of dorsiflexion range of motion. Talar position refers to the location of the talus relative to the distal tibia, and has been shown to be anteriorly displaced in people with ankle sprains and CAI (Mulligan 2004; Hubbard, Olmsted-Kramer et al. 2005; Wikstrom and Hubbard 2010). Talar glide is the arthrokinematic gliding motion of the talus that occurs with normal, unrestricted dorsiflexion motion. Reduced posterior talar glide can be found in conjunction with a displaced talus and may occur following a lateral ankle sprain (Denegar, Hertel et al. 2002). Talar laxity represents mechanical laxity in the subtalar joint of the ankle, and increased laxity is seen in ankles with lateral ankle sprains or CAI (Denegar, Hertel et al. 2002; Hubbard, Kaminski et al. 2004; Nauck, Lohrer et al. 2010).



## **Talar Position**

It has been speculated that the mechanism of an inversion ankle sprain may cause the talus to sublux anteriorly on the tibia (Mulligan 2004). The talus has no muscular attachments; therefore if the ligaments that hold it in place are slack, injured, or ruptured, they may allow movement of the talus (Denegar, Hertel et al. 2002). An anterior positional fault of the talus would lead to altered arthrokinematics and osteokinematics of the ankle, and thus cause restricted dorsiflexion (Mulligan 2004; Wikstrom and Hubbard 2010). Studies have shown talar position to be more anterior in CAI limbs than in non-CAI limbs and in individuals with CAI than in healthy individuals (Hubbard, Olmsted-Kramer et al. 2005; Wikstrom and Hubbard 2010). Anterior fibular positional faults have also been found in subjects with ankle sprains (Hubbard and Hertel 2008). Joint mobilizations may correct bony positional faults, which can explain why joint mobilizations cause an increase in ankle dorsiflexion (Green, Refshauge et al. 2001; Collins, Teys et al. 2004; Reid, Birmingham et al. 2007; Landrum, Kelln et al. 2008; Hoch and McKeon 2010). Positional faults may also be seen in healthy ankles with restricted dorsiflexion, and may be a cause of dorsiflexion restriction in uninjured individuals.

## **Posterior Talar Glide**

Posterior talar glide is an arthrokinematic motion that occurs during dorsiflexion, and normal posterior talar glide is thought to be necessary for full, unrestricted dorsiflexion motion. Thus, decreased posterior talar glide may explain dorsiflexion restrictions in individuals. Posterior talar glide has been shown to be restricted after ankle sprains. Interestingly, a study by Denegar et al. found that athletes exhibited restricted posterior talar glide after ankle sprains, but these athletes did not have significantly decreased

dorsiflexion range of motion measures. This suggests that normal range of motion may be achieved with extensive calf musculature stretching (Denegar, Hertel et al. 2002; Hertel 2002). This may mean, however, that the ankle adopted an abnormal axis of rotation in order to achieve full motion, which could possibly lead to future injury or joint dysfunction. The impact of this joint dysfunction has not been explored thus far, but it suggests that joint mobilization is needed to restore proper ankle motion (Denegar, Hertel et al. 2002). A study looking at the effects of a Mulligan's mobilization with movement joint mobilization determined that the mobilization restored normal posterior talar glide and increased ankle dorsiflexion (Vicenzino, Branjerdporn et al. 2006).

The relationship between posterior talar glide and anterior talar position has not been studied, but it is hypothesized that an anteriorly displaced talus would lead to excessive anterior talar glide and restricted posterior talar glide, which would cause further abnormal arthrokinematics and/or loss of motion in both healthy and injured populations (Denegar, Hertel et al. 2002).

### **Talar Laxity**

Talar laxity may also be affected by lower extremity injury. Talar laxity is measured with an instrumented ankle arthrometer, and represents mechanical laxity of the ligaments in the ankle-subtalar joint complex (Hubbard, Kaminski et al. 2004; Nauck, Lohrer et al. 2010). Increased laxity is seen in ankles with a history of lateral ankle sprains or CAI, and may also vary in healthy individuals (Denegar, Hertel et al. 2002; Hubbard, Kaminski et al. 2004; Nauck, Lohrer et al. 2010).

## **AREAS OF NEEDED RESEARCH**

While a significant amount of research exists regarding knee and ankle injuries, risk factors, and treatment techniques, there are still many areas where further research is needed. Future research should compare interventions, which address both soft tissue (stretching) and bony involvement (joint mobilizations) in dorsiflexion restriction. Additionally, future studies could examine the effect of ankle joint mobilizations on ankle and knee kinematics during functional movement patterns. The concept of a talar positional fault is accepted among some therapists, but studies are still inconclusive on the presence of this fault. Further investigation into the presence of an anterior talar positional fault is needed. Lastly, much of the research regarding dorsiflexion restriction uses injured ankles. Research is needed to determine the effects of joint mobilizations on healthy subjects with restricted dorsiflexion in order to determine if joint mobilizations can be used as an injury prevention strategy.

## **CONCLUSION**

The purpose of this study is to determine the effects of a Mulligan's mobilization with movement talocrural joint mobilizations on passive dorsiflexion range of motion, posterior talar glide, talar laxity, and double and single leg squat kinematics in healthy subjects with restricted dorsiflexion. If we can restore joint motion through stretching and/or joint mobilizations, we can potentially correct problems of restricted dorsiflexion. Improved ankle dorsiflexion range of motion may contribute to a decreased risk of knee and ankle injury.

## **CHAPTER III**

### **METHODOLOGY**

#### **SUBJECTS**

A total of forty-three individuals (23 females, 20 males) were selected for this study from a larger group of individuals who volunteered for the study. All participants were selected from a convenience sample of students from the University of North Carolina at Chapel Hill. Subjects were randomly assigned to either the control group or the treatment group. The control group contained twenty-two participants, twelve females and ten males; the treatment group contained twenty-one participants, eleven females and ten males. There were no significant differences between groups for height, weight, and age.

#### **Inclusion criteria**

All study participants were between 18 and 35 years old. All participants self-reported being in good physical condition and physically active, defined as consistent participation in at least 90 minutes of physical activity a week for the past six months. Subjects had 40 degrees or less of passive dorsiflexion during a weight-bearing lunge test. This cut-off point has been shown to be significant (Dill, Begalle et al. In Review).

#### **Exclusion criteria**

Participants were excluded from the study if they had a history of lower extremity surgery, knee or ankle injury in the past 6 months (i.e. an injury that caused the subject to refrain from activity from two or more days) or were currently doing rehabilitation on any

ankle or knee injuries. Subjects were also excluded if they have greater than 40 degrees of passive dorsiflexion during a weight-bearing lunge test. Additional exclusion criteria included any known vestibular, balance, or neurological disorder.

## **MEASUREMENT AND INSTRUMENTATION**

### **Equipment**

Ankle dorsiflexion range of motion was measured on the dominant limb of each subject in three positions; the weight-bearing lunge test and non-weight-bearing with the knee fully extended and the knee flexed to 90 degrees to incorporate both gastrocnemius and soleus flexibility (Piva, Fitzgerald et al. 2006). A standard 19-inch goniometer was used for measures of knee extended and knee flexed passive ankle dorsiflexion. A digital inclinometer was used during a functional weight-bearing lunge technique to measure the tibia angle relative to the vertical start position and for assessing posterior talar glide (Bennell, Techovanich et al. 1998). Inter-rater reliability between trials and between days was calculated with intraclass coefficients (ICC) and standard errors of the measurement (SEM) for each range of motion measurement (ICC<sub>3,1</sub> range .917-.998; SEM range .17-2.56) (Table 2).

An ankle arthrometer (Blue Bay Research, Inc., Milton, FL) was used to measure ankle subtalar joint mobility (Hubbard, Kaminski et al. 2004). The arthrometer consists of an adjustable footplate, a handle, and a tibial pad. The foot is strapped to the plate with the tibial pad placed on the shank. The handle is used to apply a load to the ankle. A spatial kinematic linkage system with six degrees of freedom connects the tibial pad and the footplate and measures rotation and translation movement of the footplate relative to the tibial pad. This motion represents the anterior-posterior load displacement and internal-

external rotational laxity characteristics of the ankle-subtalar joint complex (Kovaleski, Hollis et al. 2002; Hubbard, Kaminski et al. 2004). Reliability (Hubbard, Kaminski et al. 2004; Nauck, Lohrer et al. 2010) and validity (Kovaleski, Hollis et al. 2002; Hubbard, Kaminski et al. 2004; Nauck, Lohrer et al. 2010) have been demonstrated for the ankle arthrometer.

A Motion Star electromagnetic motion capture system (Ascension Technologies, Inc, Burlington, VT) controlled by the Motion Monitor v8.0 (Innovation Sports Training, Inc, Chicago, IL) was used to capture lower extremity kinematics during double and single leg squat tasks. The Motion Star system measured and recorded the position and orientation of the receivers about the  $x$ ,  $y$ , and  $z$  axes. Electromagnetic sensors were placed on the subject's dominant leg at the sacrum, anterior thigh, anterior shank, and dorsal surface of the foot using double sided tape, pre-wrap, and athletic tape. Global and segment axis systems were established with the X-axis designated as positive forward/ anteriorly, the Y-axis positive leftward/medially, and the Z-axis positive upward/superiorly. The lower extremities were modeled by digitizing the second phalanx, ASIS, and the knee and ankle joint centers. Knee and ankle joint centers were defined as the midpoints between the digitized medial and lateral femoral condyles and medial and lateral malleoli, respectively. Data indicating the orientation and position of each sensor relative to a standard range transmitter was conveyed back to a personal computer. Electromagnetic tracking systems have been reported to be reliable (An, Jacobsen et al. 1988) and valid (An, Jacobsen et al. 1988; Milne, Chess et al. 1996) for providing 3-dimensional movement data of body segments and joints. These data were used to measure peak knee flexion, medial knee

displacement, and ankle dorsiflexion displacement during double and single leg squat tasks.

### **Definition of Measures**

- Medial knee displacement: The difference between initial frontal plane knee angle and the peak frontal plane knee angle that occurs during the descent phase of double and single leg squat tasks.
- Ankle dorsiflexion displacement: The difference between initial ankle dorsiflexion angle and the peak ankle dorsiflexion angle that occurs during the descent phase double and single leg squat tasks.
- Knee valgus displacement: The difference between initial knee valgus angle and the peak knee valgus angle that occurs during the descent phase double and single leg squat tasks.

### **PROCEDURES**

Subjects reported to the Sports Medicine Research Laboratory for a screening session lasting approximately 15 minutes and, if they qualified for the study, returned within 10 days for a testing session lasting approximately one and a half hours.

#### **Screening Session**

Prior to data collection, the researcher reviewed inclusion/exclusion criteria, procedures, and any possible positive/negative effects of participating in the study. Subjects were required to wear shorts and a t-shirt and were barefoot throughout data collection. Subjects read and signed an informed consent form and completed a health history questionnaire to confirm inclusion and exclusion criteria, the participant's dominant leg (the leg used to kick a ball for maximum distance), and contact information.

After completing the questionnaire, anthropometric measurements of height (cm) and mass (kg) were taken.

Subjects then underwent a weight-bearing lunge dorsiflexion range of motion test to confirm inclusion/exclusion criteria (Krause, Cloud et al. ; Denegar, Hertel et al. 2002). The researcher marked 15 cm below the middle of the tibial tuberosity, which served as the point for the middle of the digital inclinometer to be held on the tibia. The subject stood on the dominant leg, held onto the wall for balance, and rested the non-dominant leg in a comfortable position on the floor. The subject then bent the dominant knee and lunged forward as far as possible while keeping the dominant foot in line with the long axis of the leg and the heel on the ground. A researcher held the heel on the ground to ensure that it did not lift off the ground. The foot was then moved posteriorly until the maximum range of dorsiflexion was reached, which was identified by the heel lifting off the ground. The digital inclinometer measurement was taken at the point of maximum dorsiflexion and the distance between the great toe and the wall was also recorded (Krause, Cloud et al. ; Bennell, Techovanich et al. 1998; Denegar, Hertel et al. 2002). Three measurements were taken. Subjects with an average of greater than 40 degrees of dorsiflexion motion were dismissed from the study at this point. Subjects with an average of equal to or less than 40 degrees of dorsiflexion motion continued with the screening procedure.

Next, subjects were tested for posterior talar glide. Subjects sat with the popliteal space at edge of the table. The subject's foot was placed in subtalar neutral while the researcher glided the talus posteriorly and passively dorsiflexed the ankle until a firm end-feel was felt. The digital inclinometer was placed on the tibia and the measurement was



taken when a firm end-feel was felt. Three measurements were taken (Denegar, Hertel et al. 2002; Hubbard, Olmsted-Kramer et al. 2005).

Subjects then underwent an ankle arthrometer test (Hubbard, Kaminski et al. 2004). Subjects lay supine with the foot extended off the table. The dominant foot was positioned and secured onto the arthrometer with the ankle placed in neutral (0 degrees of dorsiflexion). A force load was applied by the researcher in-line with the forceplate and total anterior/posterior talar displacement and internal/external rotation was measured.

Posterior talar glide and arthrometer tests were done during the screening session because the motions of the tests were similar to that of a posterior talar joint mobilization. In order to ensure that control subjects were not receiving a movement similar to a mobilization prior to treatment and post-treatment testing, these tests were done during the screening session.

### **Testing Session**

Subjects meeting the inclusion and exclusion criteria returned for a testing session within 10 days of the screening session. Subjects began with a five-minute upper body bike warm-up at a moderate intensity equal to 3 out of 10 on a rating of perceived exertion scale (RPE). Testing included pre-treatment measurements, treatment, and post-treatment measurements. The order of the pre- and post-treatment measurements was counterbalanced.

Pre-treatment measurements included ankle dorsiflexion range of motion and double and single leg squat measurements. Ankle dorsiflexion range of motion was measured in one passive weight-bearing position and two passive non-weight-bearing positions. The first passive test was the weight-bearing lunge test, in the same manner as

in the screening session (Krause, Cloud et al. ; Denegar, Hertel et al. 2002). Three measurements were taken and averaged. If a subject no longer met the dorsiflexion restriction criteria, they were excluded from the study at this point. Next, subjects lay supine on a treatment table with a foam roller under the distal shank and knee in full extension. Motion was measured with a standard goniometer while the researcher moved the foot so that the ankle was in dorsiflexion until restriction was felt. The axis of the goniometer was centered over the lateral malleolus, the stationary arm aligned with the fibular shaft and the mobile arm aligned with the 5<sup>th</sup> metatarsal. (Bell, Padua et al. 2008; Cosby 2011). Three measurements were taken and averaged. Next, passive motion was measured with the knee flexed to 90 degrees (Bell, Padua et al. 2008; Cosby 2011; Fong, Blackburn et al. 2011). Subjects lay supine with their hip and knee flexed. A goniometer was used to determine 90 degrees of knee flexion and a block will be used to maintain the position. Motion measurement was taken with a standard goniometer, in the same manner as with the knee extended measurement. Three measurements were taken and averaged.

Subjects then performed a series of squatting tasks. After application of the electromagnetic sensors, subjects were asked to perform a double leg squat maneuver, beginning with their feet shoulder-width apart, toes pointing straight ahead, and arms extended over their head. Subjects flexed their knees as if sitting into a chair, and were asked to squat as low as possible. Subjects were instructed to perform a squat and then return to the starting position. After 1-3 practice trials, subjects performed squats to the beat of a metronome (60 beats/minute), descending for 2 beats and returning to standing in 2 beats until 5 successful squats were recorded. A squat was deemed successful if 1) the participant maintained proper testing position throughout the entire motion; 2) the

participant squatted to a depth of at least 60 degrees; 3) the task was completed at the appropriate rate; 4) the heels maintained contact with the ground and; 5) the task was completed in a fluid motion. Next, subjects performed a series of single leg squats. Subjects were instructed to stand on their dominant leg with their hands on their waist and their non-dominant leg flexed to 45 degrees at the hip and 90 degrees at the knee. Subjects then squatted to a depth of at least 60 degrees of knee flexion. After 1-3 practice trials, subjects performed squats to the beat of a metronome (60 beats/minute), descending for 2 beats and returning to standing in 2 beats until 5 successful squats were recorded. A squat was deemed successful if 1) the participant maintained proper testing position throughout the entire motion; 2) the participant squatted to a depth of at least 60 degrees; 3) the task was completed at the appropriate rate; 4) the heels maintained contact with the ground and the legs did not touch together; 5) the participant did not touch down with the non-dominant foot and; 6) the task was completed in a fluid motion.

Subjects then received a treatment, based on the group to which the subject was randomly assigned. The treatment was conducted by a clinician. The researcher collecting data was blinded to the subject's group. The control group received a single treatment session of 2 x 30 second bouts of knee extended calf stretching and 2 x 30 second bouts of knee bent calf stretching on a slant board, with a 20 second rest period between each stretch. Following stretching, subjects received a single treatment session of 3 x 30 second bouts of a sham mobilization consisting of passive knee flexion with subject prone and the ankle held in a neutral orthoplast splint, with a 20 second rest period between each set (Reid, Birmingham et al. 2007). The intervention group received the same stretching treatment as the control group, followed by a single treatment session of 3 x 30 second bouts of weight-

bearing Mulligan's mobilization with movement (MWM) joint mobilizations with a 20 second rest period between each set and 3x30 second bouts of passive Grade III anterior-posterior talocrural joint mobilizations with a 20 second rest period between each set. The MWM mobilization was performed with the subject standing on a treatment table. A nonelastic belt was placed around the subject's distal leg and around the clinician's hips. The subject stood on the dominant leg and placed the nondominant leg on the table for balance. The clinician stabilized the dominant foot and applied a posterior force to the anterior talus while applying an anterior force on the distal leg with the belt. The subject was asked to perform a slow dorsiflexion movement with the dominant leg until the first onset of pain or end of range. Once this end point is reached, the subject returned to a standing position and then immediately repeated the dorsiflexion movement. Approximately 15 movements were performed per 30 second bout of mobilizations. The Grade III mobilizations were performed at a rate of 1 oscillation per second. Oscillations were large amplitude movements from the joint's mid-range to end-range of motion.

Post-treatment measurements were counterbalanced and followed the same procedures as the screening and pre-treatment measurements. Measurements included ankle dorsiflexion range of motion of the weight-bearing lunge; passive, knee extended; and passive, knee flexed to 90 degrees. Additional measurements consisted of double and single leg squat tasks, posterior talar glide test, and the ankle arthrometer test, as previously described.

## **DATA PROCESSING AND REDUCTION**

The Motion Monitor software (Innovative Sports Training, Inc, Chicago, IL) was used to control the Motion Star system. Joint angles were calculated with Euler angles

(Euler sequence  $y, x', z''$ ). Knee flexion/extension was defined as the shank relative to the thigh about the  $y$ -axis, knee valgus/varus was defined as the shank relative to the thigh about the  $x$ -axis, and ankle dorsiflexion/plantarflexion was defined as the foot relative to the shank about the  $y$ -axis. Data were collected for 20 seconds for the double leg squat task, corresponding with 5 double leg squats and for 20 seconds for the single leg squat task, corresponding with 5 single leg squats. Kinematic data were sampled at a frequency of 100 Hz and filtered with a 4<sup>th</sup> order Butterworth with a 14.5 Hz low-pass filter. Data were recorded throughout the tasks and analyzed over the descent phase of the squat from the start of the trial to the point of maximum knee flexion and were averaged across trials for each participant.

## **DATA ANALYSIS**

Two-way mixed model ANOVAs (one-tailed) with repeated measures were run to compare group and time for each dependent variable. Significance was defined by  $\alpha < 0.05$ . Data were analyzed with SPSS (Version 19.0, Chicago, IL).

## CHAPTER IV

### MANUSCRIPT

#### OVERVIEW

**Objective:** To determine the effects of mobilization with movement and anterior to posterior talocrural joint mobilizations on passive dorsiflexion range of motion and double and single leg squat kinematics in healthy subjects with restricted dorsiflexion.

**Design:** Randomized double-blinded controlled study.

**Setting:** Sports medicine research laboratory.

**Participants:** Forty-three healthy subjects (23 females, 20 males) with restricted ankle dorsiflexion ( $\leq 40$  degrees of weight-bearing lunge).

**Interventions:** All subjects: 2x30 seconds gastrocnemius stretching (knee extended), 2x30 seconds soleus stretching (knee flexed). Control group: 3x30 seconds sham mobilization. Treatment group: 3x30 seconds mobilization with movement mobilization, 3x30 seconds Grade III anterior to posterior talocrural joint mobilization.

**Main Outcome Measures:** Dorsiflexion range of motion with the knee extended, knee flexed, and a weight-bearing lunge test and double and single leg squatting kinematics of knee valgus displacement, medial knee displacement, and dorsiflexion displacement.

**Results:** All subjects, regardless of group, demonstrated significantly improved DF-ROM at post testing ( $DF_{EXT}$  ( $F_{1,41}=12.39$ ,  $p=0.001$ ),  $DF_{FLX}$  ( $F_{1,41}=18.83$ ,  $p<0.005$ ), WBL ( $F_{1,41}=32.65$ ,  $p<0.005$ )). During squatting tasks, dorsiflexion displacement increased significantly from pre- to post-testing in both the double ( $F_{1,41}=5.078$ ,

$p=0.030$ ) and single ( $F_{1, 41}=14.862$ ,  $p<0.005$ ) leg squats. No significant differences were observed for knee valgus displacement or medial knee displacement.

**Conclusions:** Calf stretching improved passive and active dorsiflexion range of motion in subjects with dorsiflexion restrictions. Joint mobilizations did not have an additive effect on dorsiflexion gains nor affect squatting kinematics at the knee.

**Key Words:** Dorsiflexion, talocrural joint mobilization, knee valgus

## INTRODUCTION

Injury to the anterior cruciate ligament (ACL) is unfortunately commonplace in both competitive and recreational sports. Such injuries are painful, expensive, and often lead to long-term disability, decreased physical activity, and the development of early knee osteoarthritis (Lohmander, Ostenberg et al. 2004; Hewett, Myer et al. 2006; Siegel, Vandenakker-Albanese et al. 2012). Due to the high prevalence of ACL injuries, ongoing research is working towards identifying the best methods to prevent and rehabilitate ACL injuries in order to promote return to sport and limit risk of re-injury. In order to do so, identifying modifiable factors that predispose individuals to demonstrating lower extremity movement patterns known to increase the risk of ACL injury is essential.

Non-contact ACL injuries are associated with excessive knee valgus, medial knee displacement (MKD), hip adduction, and hip internal rotation, which are seen during dynamic tasks like squatting (Olsen, Myklebust et al. 2004; Hewett, Myer et al. 2005; Krosshaug, Nakamae et al. 2007; Bell, Padua et al. 2008; Hewett, Torg et al. 2009; Padua, Bell et al. 2012; Mauntel, Begalle et al. 2013). One modifiable factor that plays a role in MKD during dynamic tasks is ankle dorsiflexion range of motion (DF-ROM). DF-ROM

has been associated with increased MKD during squatting tasks (Bell, Padua et al. 2008; Mauntel, Begalle et al. 2013). Additionally, affording individuals more ankle dorsiflexion with the use of a heel lift during a squat eliminated the presence of MKD (Bell, Padua et al. 2008; Padua, Bell et al. 2012).

Ankle DF-ROM is modifiable and restrictions can be caused by decreased osteokinematic or arthrokinematic motion and/or bony positional faults (Denegar, Hertel et al. 2002; Mulligan 2004; Grindstaff 2009). Restrictions in osteokinematic motion are caused by contractile tissue such as muscle, tendon, and fascia (Prentice 2004; Radford, Burns et al. 2006), whereas arthrokinematic motion restrictions are due to inert connective tissue such as ligaments and joint capsule (Prentice 2004). Bony positional faults in the ankle such as an anteriorly positioned talus may limit the amount of posterior talar glide during dorsiflexion, thus limiting DF-ROM (Mulligan 2004).

Efforts to increase DF-ROM may need to address one or more of these factors to successfully improve ankle DF-ROM. Osteokinematic motion can be increased with static stretching (Radford, Burns et al. 2006). However, static stretching alone is not likely to affect arthrokinematic restrictions to ankle DF-ROM. Arthrokinematic motion and bony positional faults are addressed through joint mobilizations (Prentice 2004). Joint mobilizations are gentle, passive movements of a joint aimed at decreasing pain and/or restoring motion (Landrum, Kelln et al. 2008). Increases in ankle DF-ROM following joint mobilizations may be due to a restoration of posterior glide of the talus or because of a correction of the bony positional fault (Denegar, Hertel et al. 2002). Research has assessed the role of both stretching and joint mobilizations on DF-ROM. There is a gap in the literature, however, in comparing interventions which address both soft tissue and bony



involvement in dorsiflexion restriction. This is an important area to address given the multiple factors that may ultimately limit DF-ROM.

As previously discussed, limited ankle DF-ROM is also associated with altered lower extremity biomechanics, such as greater MKD. Thus, in addition to improving ankle DF-ROM concomitant improvements in lower extremity biomechanics may also be observed following appropriately designed interventions to increase ankle DF-ROM. To our knowledge, no research has examined the acute effects of increasing ankle DF-ROM through either static stretching or joint mobilization techniques on lower extremity biomechanics. This study will identify the specific contributions of joint mobilizations in addition to stretching, and will also look at a variety of ankle and knee kinematics prior to and immediately following intervention during functional movement. Therefore, the purpose of this study is to determine the effects of Mulligan's mobilization with movement (MWM) and anterior to posterior (AP) talocrucal joint mobilizations on passive DF-ROM and double and single leg squat kinematics in subjects with restricted dorsiflexion. This study aims to determine if efforts to increase ROM should be included in existing ACL prevention programs.

## **METHODS**

Forty-three individuals (23 females, 20 males) met the inclusion criteria and volunteered to participate in this study. Inclusion criteria for the study consisted of having less than or equal to 40 degrees of passive dorsiflexion during a weight-bearing lunge (WBL) test, being between the age of 18-35 years and being physically active defined as participation in at least 90 minutes of physical activity per week for the past six months.

Subjects were excluded if they had a history of lower extremity surgery, knee or ankle injury in the past 6 months, or a known vestibular, balance, or neurological disorder that would prevent them from completing the movement tasks. Subjects were randomly assigned to either the control group or treatment group, using a random number generator. All subjects read and signed an informed consent form approved by the University's institutional review board prior to testing.

Screening of approximately 100 potential subjects was performed to identify those with less than or equal to 40 degrees on a WBL test. This cut point was based on previous research conducted in our laboratory (Dill, 2013, In Review). A digital inclinometer was used to measure the tibia angle relative to the vertical start position during the WBL (Bennell, Techovanich et al. 1998). The inclinometer was held 15cm distal to the middle of the tibial tuberosity. The subject stood on the dominant leg and rested the non-dominant leg in a comfortable position on the floor. The subject then bent the dominant knee and lunged forward as far as possible while keeping the dominant foot in line with the long axis of the leg and the heel on the ground. A researcher held the heel on the ground to ensure that it did not lift off the ground. The foot was then moved posteriorly until the maximum range of dorsiflexion was reached. The digital inclinometer measurement was taken at the point of maximum dorsiflexion (Krause, Cloud et al. ; Bennell, Techovanich et al. 1998; Denegar, Hertel et al. 2002) (Figure 10).

Subjects reported for a single testing session and were assessed on all measures prior to and after the completion of the treatment or control intervention. Subjects began with a five-minute upper body bike warm-up at a moderate intensity equal to 3 out of 10 on a rating of perceived exertion scale (RPE). Pre- and post- measurements included DF-

ROM and squatting tasks, and the order of the DF-ROM measurements was counterbalanced. Ankle DF-ROM was measured on the dominant limb of each subject by three methods; WBL and non-weight-bearing with the knee fully extended ( $DF_{EXT}$ ) and the knee flexed to 90 degrees ( $DF_{FLX}$ ) (Piva, Fitzgerald et al. 2006). Subjects lay supine on a treatment table with a foam roller under the distal shank and knee in full extension. Motion was measured with a standard goniometer while the researcher moved the foot so that the ankle was in dorsiflexion until restriction was felt. The axis of the goniometer was centered over the lateral malleolus, the stationary arm aligned with the fibular shaft and the mobile arm aligned with the 5<sup>th</sup> metatarsal (Bell, Padua et al. 2008; Cosby 2011).  $DF_{FLX}$  motion measurement was taken in the same manner as with the knee extended, and a block was placed under the subject's thigh to ensure 90 degrees of knee flexion. The WBL test was performed in the same manner as during the screening. Three measurements were taken and averaged for each DF-ROM test.

Prior to motion analysis of the double leg and single leg squat tasks, electromagnetic sensors were placed on the subject's dominant leg at the sacrum, anterior thigh, anterior shank, and dorsal surface of the foot using double sided tape, pre-wrap, and athletic tape. Data collection for squatting tasks occurred using Motion Monitor software (v 8.0, Innovative Sports Training, Inc, Chicago, IL) to control the Motion Star electromagnetic motion capture system (Ascension Technologies, Inc, Burlington, VT). Global and segment axis systems were established with the X-axis designated as positive anteriorly, the Y-axis positive medially, and the Z-axis positive superiorly. The lower extremities were modeled by digitizing the second phalanx, ASIS, and the knee and ankle joint centers. Knee and ankle joint centers were defined as the midpoints between the

digitized medial and lateral femoral condyles and medial and lateral malleoli, respectively. Joint angles were calculated with Euler angles (Euler sequence y, x', z'') and were defined as the distal segment relative to the proximal segment. Kinematic data were sampled at a frequency of 100 Hz and filtered with a 4<sup>th</sup> order Butterworth with a 14.5 Hz low-pass filter. Data were recorded throughout the tasks and analyzed over the descent phase of the squat from the start of the trial to the point of maximum knee flexion and were averaged across trials for each participant. These data were used to measure knee valgus displacement, medial knee displacement, and ankle dorsiflexion displacement. Subjects then performed 5 successive double leg squats to the beat of a metronome (60 beats/minute). Subjects were instructed to perform a double leg squat maneuver, beginning with their feet shoulder-width apart, toes pointing straight ahead, heels on the ground, and arms extended over their head. Subjects flexed their knees as if sitting into a chair, and were asked to squat as low as possible. 1-3 practice squats were performed before data collection. Next, subjects were instructed to stand on their dominant leg with their hands on their waist and their non-dominant leg flexed to 45 degrees at the hip and 90 degrees at the knee. Subjects performed 5 successive single leg squats in a similar manner to double leg squats. Trials were repeated if subjects heels came off the ground, peak knee flexion was less than 60 degrees, or the non-dominant foot touched down during single leg squatting.

At the completion of pre-test, subjects immediately received a treatment, based on the group to which the subject was randomly assigned. The treatment was conducted by a certified athletic trainer with clinical experiences administering stretching and joint mobilization treatments. The researcher collecting data was blinded to the subject's group.

All subjects first received a single treatment session of 2 x 30 second bouts of knee extended calf stretching and 2 x 30 second bouts of knee bent calf stretching on a slant board, with a 20 second rest period between each stretch. Following stretching, the control group received a single treatment session of 3 x 30 second bouts of a sham mobilization consisting of passive knee flexion with subject prone and the ankle held in a neutral orthoplast splint, with a 20 second rest period between each set (Reid, Birmingham et al. 2007). Following stretching, the intervention group received both a 3 x 30 second bout of Mulligan's mobilization with movement (MWM) joint mobilizations followed by 3 x 30 second bouts of passive Grade III AP talocrucral joint mobilizations. There was a 20 second rest period between each set. The MWM mobilization was performed with the subject standing on a treatment table. A nonelastic belt was placed around the subject's distal leg and around the clinician's hips. The subject stood on the dominant leg and placed the nondominant leg on the table for balance. The clinician stabilized the dominant foot and applied a posterior force to the anterior talus while applying an anterior force on the distal leg with the belt. The subject was asked to perform a slow dorsiflexion movement with the dominant leg until the first onset of pain or end of range. Once this end point was reached, the subject returned to a standing position and then immediately repeated the dorsiflexion movement. Approximately 15 movements were performed per 30 second bout of mobilizations. The Grade III mobilizations were performed at a rate of 1 oscillation per second. Oscillations were large amplitude movements from the joint's mid-range to end-range of motion (Figures 8-9).

Separate two-way mixed model ANOVAs with repeated measures were performed for each dependent variable with group as the fixed factor and time as the repeated measure.

Dependent variables included DF-ROM measures as well as knee valgus displacement, medial knee displacement, and ankle dorsiflexion displacement during the descent phase of both squats. Knee valgus displacement is defined as the difference between initial knee valgus angle and the peak knee valgus angle, medial knee displacement is the difference between initial frontal plane knee angle and the peak frontal plane knee angle, and dorsiflexion displacement is the difference between initial ankle dorsiflexion angle and the peak ankle dorsiflexion angle. Significance was defined by  $\alpha < 0.05$ . Data were analyzed with SPSS (Version 19.0, Chicago, IL).

## RESULTS

Prior to testing there were no significant differences between groups on demographic data indicating randomization was successful (Table 3).

Inter-rater reliability was established prior to testing with intraclass correlation coefficients (ICC) and standard errors of measurement (SEM) performed for the WBL, ankle DF<sub>FLX</sub> and DF<sub>EXT</sub> measurements (ICC<sub>3,1</sub> range 0.917-0.998; SEM range 0.17-2.56).

All subjects, regardless of group, demonstrated improved DF-ROM at post testing as a main effect for time was observed for the WBL ( $F_{1,41} = 32.65$ ,  $p \leq 0.001$ ), DF<sub>EXT</sub> ( $F_{1,41} = 12.39$ ,  $p = 0.001$ ), and DF<sub>FLX</sub> ( $F_{1,41} = 18.83$ ,  $p \leq 0.001$ ). There was no significant Group x Time interaction for ROM assessments. Both the control group and treatment group had small to moderate effect sizes for DF Ext (control  $d = 0.49$ , tx  $d = 0.29$ ), DF Flx (control  $d = 0.21$ , tx  $d = 0.44$ ), and WBL (control  $d = 0.34$ , tx  $d = 0.30$ ) (Cohen 1992). Means, standard deviations, and 95% confidence intervals for ROM assessments are presented in Table 4.

A main effect of time was also observed for ankle dorsiflexion displacement during both squatting tasks, whereby displacement increased significantly from pre- to post-testing in both the double ( $F_{1,41}=5.078$ ,  $p=0.030$ ) and single ( $F_{1,41}=14.862$ ,  $p\leq 0.001$ ) leg squats. Both the control group and treatment group had small to moderate effect sizes for dorsiflexion displacement during double (control  $d=0.08$ , tx  $d=0.16$ ) and single (control  $d=0.27$ , tx  $d=0.16$ ) leg squats (Cohen 1992). No main effects for group or interaction effects for time by group were detected for double or single leg squats (Tables 6-7). These results suggest that subjects did not display significant changes in movement patterns between pre- and post-testing.

## **DISCUSSION**

Our most important finding was that all subjects had increased ankle DF-ROM at post-test, however the joint mobilizations did not promote greater gains in comparison to stretching alone. Improvements in ankle DF-ROM were accompanied by significant increases in ankle dorsiflexion displacement during the squat tasks. However, this did not translate into concomitant changes in frontal plane knee kinematics during the squat tasks.

We theorize that subjects in both the control (stretching) and treatment (stretching and joint mobilization) groups had increases in DF-ROM due to a combination of gastrocnemius/soleus stretching and performing dynamic squatting tasks. We hypothesized the treatment group to gain additional benefits when compared to the control group, but this hypothesis was not supported by our results. There are several possible reasons for this lack of difference between groups. The subjects selected for our study were included based on having restricted dorsiflexion during a WBL test (Dill, Begalle et al. In Review). We

did not attempt to determine if subjects had a positional fault of the talus nor if they truly needed a joint mobilization treatment. Clinically there is no objective way of identifying if a patient needs a joint mobilization, especially in a healthy population. Research has shown benefits of ankle mobilizations on ROM after ankle sprains or immobilization (Collins, Teys et al. 2004; Vicenzino, Branjerdporn et al. 2006; Landrum, Kelln et al. 2008) but to our knowledge, there has not been a study investigating the effect of mobilizations on a healthy but restricted population. It is possible that our subjects' movement restrictions were not due to a bony restriction and thus they did not receive additional benefits from the joint mobilization treatments.

Another factor that may have limited our ability to see greater improvements in the mobilization group is the dosage effect of the joint mobilization treatment. Our study administered a single treatment session 3 sets of 30 seconds of both MWM and AP talocrural mobilizations. Previous studies have reported increases in ROM after a single treatment of MWM (3 sets of 10 repetitions) (Collins, Teys et al. 2004), MWM (4-10 second mobilizations) (Vicenzino, Branjerdporn et al. 2006), and AP talocrural mobilizations (1 set of 30 seconds) (Landrum, Kelln et al. 2008), but greater changes may have been seen after a series of treatments over a period of time. In addition to an insufficient dosage, it is possible that the type of joint mobilizations we utilized may have influenced our results. There are a variety of possible joint mobilizations that may increase ankle dorsiflexion. Our study included a clinically applicable treatment of two types of talocrural joint mobilizations, but did not include other types of mobilizations such as tibiofibular that may also affect dorsiflexion (Beazell 2012). The addition of a tibiofibular



joint mobilization may have caused larger increased in DF-ROM. Future research should determine the effects of different treatment parameters and types of mobilizations.

We were surprised to see no changes in frontal plane knee kinematics given the significant improvements in both ankle DF-ROM and ankle dorsiflexion displacement during the squat tasks. Previous research demonstrated improvements in frontal plane knee motion when subjects are afforded more available ankle dorsiflexion during an overhead squat by placing the heels on a 2-inch block (Bell, Padua et al. 2008). Thus, we expected that by increasing both passive and active ankle motion, subjects would exhibit decreased knee valgus and/or medial knee displacement during squatting tasks. Contrary to our original hypotheses, subjects did not show any consistent changes in knee motion post-treatment. This may be because subjects did not achieve a clinically significant increase in DF-ROM. Despite gaining motion after treatment, all but one subject remained in the “restricted” category based on WBL measurements. The changes in motion seen in our study were on average less than 2 degrees, which is much smaller than the 10-15 degrees of DF-ROM afforded by a 2-inch block (Bell, Padua et al. 2008). Subjects in our study may not have had large enough gains in DF-ROM to facilitate alterations in knee frontal plane motion.

Additionally, subjects in our study did not have excessive frontal plane knee motion to begin with and thus may not need to improve their squatting kinematics. Our subjects had an average of 5.95-6.90° of knee valgus displacement during double leg squatting compared to a study where subjects displayed an average of 16.9-17.7° of knee valgus displacement (Macrum, Bell et al. 2012). Previous research has selected subjects with medial knee displacement and reported that they also exhibited dorsiflexion

restrictions when compared to controls (Bell, Padua et al. 2008; Mauntel, Begalle et al. 2013), but by screening only for subjects with decreased ankle motion we may have found a population without excessive medial knee motion. Further research is needed to investigate the effect of stretching and mobilizations on subjects with excessive MKD.

Another explanation for subjects not altering their movement patterns is that while subjects did gain ankle motion, they did not receive any neuromuscular control training or movement pattern feedback. It is likely that the muscle firing patterns these subjects have been using for years would not change immediately, especially without any coaching. The treatment may have given subjects the potential to move better but it may be necessary to alter habitual movement patterns and teach proper techniques in order for people to move more effectively once they are afforded more motion. Research exploring the effect of neuromuscular control training on movement patterns is warranted.

Clinical recommendations based on this study are to use stretching and joint mobilizations for patients or athletes with decreased ankle dorsiflexion. We suggest more than one treatment session in order to see clinically significant gains in motion and to incorporate training sessions to teach proper movement patterns during lower body exercises. Through a combination of increased motion and repetitive movements, we believe faulty movement patterns can be corrected.

The following limitations may have impacted the results of our study. First, only one treatment session of the mobilization was administered. Future authors should investigate the effects of a longer dosage of treatment and should also look at the effect of movement training in addition to stretching and joint mobilizations. Second, subjects recruited for our study exhibited restricted dorsiflexion, but may not have needed a joint

mobilization to correct arthrokinematics. Research to investigate the possibilities of identifying subjects who need a joint mobilization treatment should be done, possibly through the use of x-ray or ultrasound to identify those with a talar positional fault. Studies may also use subjects with both decreased dorsiflexion and medial knee displacement to determine if a treatment can decrease medial knee displacement.

## FIGURES



**Figure 1:** Dorsiflexion Range of Motion Measure with Knee Extended



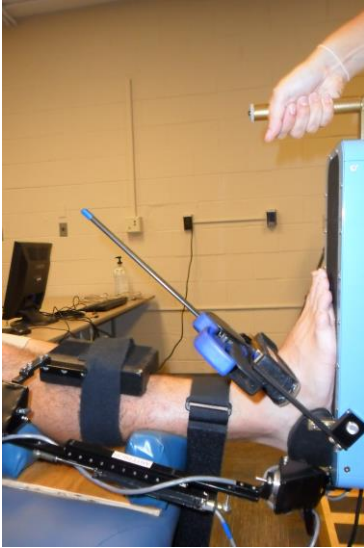
**Figure 2:** Dorsiflexion Range of Motion Measure with Knee Flexed



**Figure 3:** Weight-Bearing Lunge



**Figure 4:** Posterior Talar Glide Test for Talar Laxity



**Figure 5:** Ankle Arthrometer Test for Ankle Stiffness



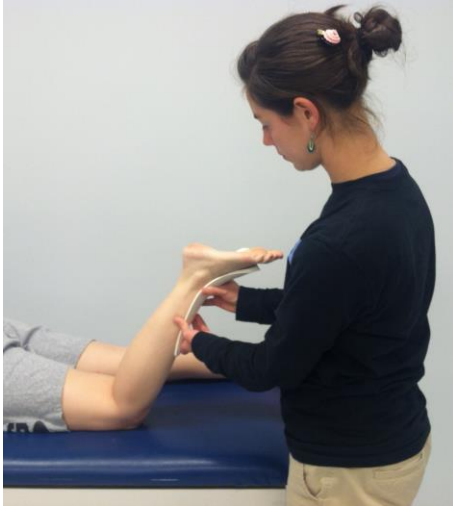
**Figure 6:** Double Leg Squat with Electromagnetic Motion Capture Sensors



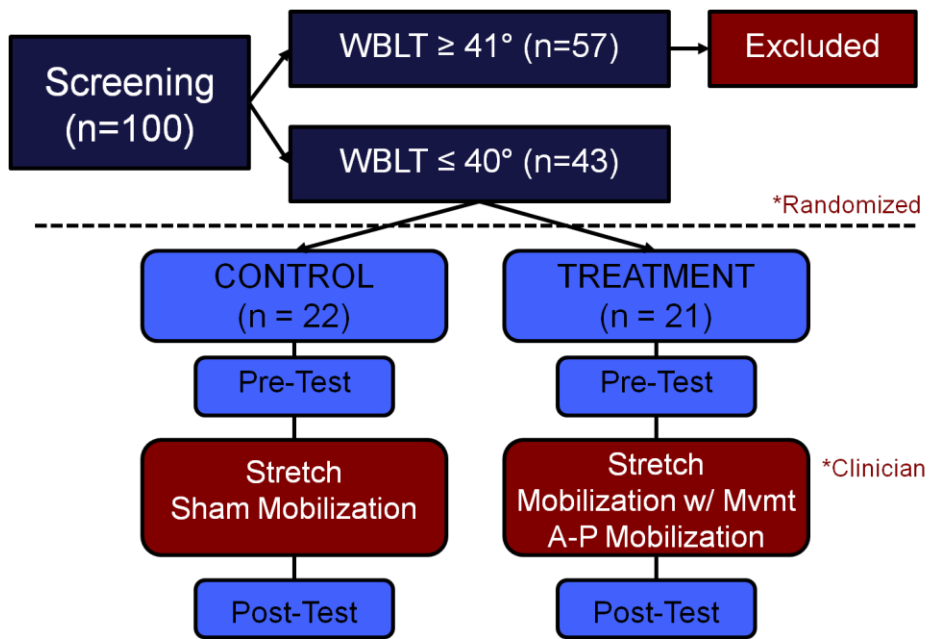
**Figure 7:** Single Leg Squat with Electromagnetic Motion Capture Sensors



**Figure 8:** Mulligan with Movement Joint Mobilization Treatment



**Figure 9:** Sham Mobilization Treatment



**Figure 10:** Procedures Flowchart



## TABLES

**Table 1:** Statistical Methods

<u>Question</u>	<u>Description</u>	<u>Data Source</u>	<u>Comparison</u>	<u>Method</u>
1	<p>Is there a significant difference between the effect of ankle joint mobilizations and stretching alone on passive measures:</p> <ul style="list-style-type: none"> <li>• Passive ROM</li> <li>• Ankle stiffness</li> <li>• Posterior talar laxity</li> </ul>	Post-treatment measures of ROM, ankle stiffness, and posterior talar laxity	Pre-treatment measures of ROM, ankle stiffness, and posterior talar laxity	2-way mixed model ANOVA (one-tailed) with repeated measures
2	<p>Is there a significant difference between the effect of ankle joint mobilizations and stretching alone on functional measures:</p> <ul style="list-style-type: none"> <li>• Medial knee displacement</li> <li>• Dorsiflexion displacement</li> <li>• Knee valgus displacement</li> </ul>	Post-treatment measures of medial knee displacement, dorsiflexion displacement, and knee valgus displacement	Pre-treatment measures of medial knee displacement, dorsiflexion displacement, and knee valgus displacement	2-way mixed model ANOVA (one-tailed) with repeated measures

**Table 2:** Intraclass Correlation Coefficients and Standard Error of the Measurement

	ICC	SEM (°)
DF <sub>EXT</sub>		
Between Trials	0.917	2.56
Between Days	0.941	1.98
DF <sub>FLX</sub>		
Between Trials	0.977	0.97
Between Days	0.976	1.09
WBL		
Between Trials	0.998	0.17
Between Days	0.998	0.18
Posterior Talar Glide		
Between Trials	0.981	0.69
Between Days	0.981	0.71

**Table 3:** Group Characteristics Presented As Means  $\pm$  SD For Each Group

	Control (n=22)	Treatment (n=21)
Age (years)	19.68 $\pm$ 1.17	20.90 $\pm$ 3.35
Height (cm)	170.27 $\pm$ 1.77	170.33 $\pm$ 1.73
Mass (kg)	79.68 $\pm$ 24.82	71.81 $\pm$ 17.24

**Table 4:** Ankle Dorsiflexion Range of Motion (Degrees) presented as Means  $\pm$  SD (95% Confidence Intervals) for each group at Pre and Post time point

	Control (n=22)	Treatment (n=21)	F-Statistic	P-Value
DF <sub>EXT</sub>				
Pre	2.46 $\pm$ 3.90 (.746, 4.182)*	2.64 $\pm$ 4.09 (.880, 4.40)*	12.396	0.001
Post	4.80 $\pm$ 5.62 (2.70, 6.91)*	3.79 $\pm$ 3.98 (1.63, 5.94)*		
DF <sub>FLX</sub>				
Pre	9.24 $\pm$ 6.15 (6.74, 11.74)*	5.81 $\pm$ 5.42 (3.25, 8.37)*	18.833	$\leq$ 0.001
Post	10.52 $\pm$ 6.13 (8.25, 12.78)*	7.92 $\pm$ 4.15 (5.60, 10.24)*		
WBL				
Pre	34.01 $\pm$ 3.80 (32.39, 35.64)*	34.47 $\pm$ 3.73 (32.81, 36.13)*	32.652	$\leq$ 0.001
Post	35.23 $\pm$ 3.44 (33.65, 36.80)*	35.61 $\pm$ 3.87 (34.00, 37.22)*		

\*Significant differences (p<0.05)

**Table 5:** Ankle Laxity (Degrees) and Stiffness (mm) presented as Means  $\pm$  SD (95% Confidence Intervals) for each group at Pre and Post time point

	Control (n=22)	Treatment (n=21)	F-Statistic	P-value
Posterior Talar Glide				
Pre	11.46 $\pm$ 3.46 (10.10, 12.82)	11.81 $\pm$ 2.66 (10.45, 13.17)	2.550	0.118
Post	12.77 $\pm$ 3.31 (11.39, 14.15)	12.20 $\pm$ 2.94 (10.82, 13.58)		
Anterior-Posterior Stiffness				
Pre	11.71 $\pm$ 8.79 (8.42, 15.01)	11.65 $\pm$ 6.11 (8.20, 15.10)	1.802	0.187
Post	11.86 $\pm$ 7.99 (9.06, 14.67)	9.26 $\pm$ 4.28 (6.33, 12.20)		
Medial-Lateral Stiffness				
Pre	16.54 $\pm$ 6.36 (13.41, 19.67)	20.82 $\pm$ 8.15 (17.54, 24.11)	0.153	0.698
Post	17.19 $\pm$ 7.13 (12.56, 21.82)	18.72 $\pm$ 13.68 (13.86, 23.57)		

**Table 6:** Knee and Ankle Kinematics During the Double Leg Squatting Task presented as means  $\pm$  SD (95% Confidence Intervals) for each Group at Pre and Post time points (Knee Valgus ( $^{\circ}$ ), Ankle DF ( $^{\circ}$ ), Medial Knee (m))

	Control (n=22)	Treatment (n=21)	F-Statistic	P-Value
Knee Valgus Disp.				
Pre	-6.52 $\pm$ 6.96 (-9.30, -3.74)	-6.48 $\pm$ 5.89 (-9.32, -3.63)	0.024	0.877
Post	-5.95 $\pm$ 6.78 (-8.96, -2.94)	-6.90 $\pm$ 7.20 (-9.98, -3.82)		
Ankle DF Disp.				
Pre	-25.66 $\pm$ 8.02 (-28.84, -22.48)*	-25.47 $\pm$ 6.66 (-28.73, -22.22)*	5.078	0.030
Post	-26.35 $\pm$ 8.45 (-29.71, -22.99)*	-26.54 $\pm$ 7.06 (-29.99, -23.11)*		
Medial Knee Disp.				
Pre	.0060 $\pm$ .0092 (.002, .010)	.0073 $\pm$ .0106 (.003, .012)	0.510	0.479
Post	.0067 $\pm$ .0068 (.004, .009)	.0054 $\pm$ .0057 (.003, .008)		

\*Significant differences (p<0.05)

Knee valgus (-); Ankle DF (-)

**Table 7:** Knee and Ankle Kinematics During the Single Leg Squatting Task presented as means  $\pm$  SD (95% Confidence Intervals) for each Group at Pre and Post time points (Knee Valgus ( $^{\circ}$ ), Ankle DF ( $^{\circ}$ ), Medial Knee (m))

	Control (n=22)	Treatment (n=20)	F-Statistic	P-Value
Knee Valgus Disp.				
Pre	-6.73 $\pm$ 6.28 (-9.20, -4.26)	-5.89 $\pm$ 5.07 (-8.48, -3.30)	0.101	0.752
Post	-6.08 $\pm$ 6.25 (-8.78, -3.39)	-6.88 $\pm$ 6.28 (-9.71, -4.05)		
Ankle DF Disp.				
Pre	-22.60 $\pm$ 5.20 (-24.91, -20.28)*	-23.76 $\pm$ 5.55 (-26.18, -21.33)*	14.862	$\leq 0.001$
Post	-24.10 $\pm$ 6.04 (-26.90, -21.29)*	-25.58 $\pm$ 6.98 (-28.52, -22.65)*		
Medial Knee Disp.				
Pre	.0318 $\pm$ .0257 (.022, .041)	.0277 $\pm$ .0160 (.018, .038)	0.914	0.345
Post	.0290 $\pm$ .0256 (.019, .038)	.0280 $\pm$ .0171 (.018, .038)		

\*Significant differences ( $p < 0.05$ )

Knee valgus (-); Ankle DF (-)

## APPENDICES

### Appendix 1: Supplementary Results and Discussion

Inter-rater reliability between trials and between days was calculated with intraclass coefficients (ICC) and standard errors of the measurement (SEM) for posterior talar glide. The results were strong for ( $ICC_{3,1}=0.981$ ; SEM range 0.69-0.71) (Table 2).

#### Ankle stiffness and posterior talar laxity

There were no significant main effects for time for measures of ankle stiffness (anterior-posterior ( $F_{1,40}=1.80$ ,  $p=0.187$ ); medial-lateral ( $F_{1,40}=0.15$ ,  $p=0.698$ )) or posterior talar laxity ( $F_{1,40}=2.55$ ,  $p=0.118$ ) or group for measures of ankle stiffness (anterior-posterior ( $F_{1,40}=0.43$ ,  $p=0.516$ ); medial-lateral ( $F_{1,40}=1.86$ ,  $p=0.181$ )) or posterior talar laxity ( $F_{1,40}=0.019$ ,  $p=0.891$ ). Additionally, there were no significant interaction effects for group by time for measures of ankle stiffness (anterior-posterior ( $F_{1,40}=2.29$ ,  $p=0.138$ ); medial-lateral ( $F_{1,40}=0.54$ ,  $p=0.466$ )) or posterior talar laxity ( $F_{1,40}=4.48$ ,  $p=0.392$ ). Thus, based on these findings, no effect of the testing measurements or the treatment was observed on stiffness or laxity (Table 5).

When comparing our results to other studies looking at posterior talar glide, our average of 11.46-12.77° was less than studies with subjects without restricted dorsiflexion, which reported average values of 16° (Denegar, Hertel et al. 2002; Hubbard, Olmsted-Kramer et al. 2005). This supports that our subjects had motion restrictions.



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