

ABSTRACT

Mark E. Ridgeway Jr.

Advances in High Field Asymmetric Ion Mobility Spectrometry (FAIMS) Analyzers and FAIMS-Mass Spectrometry Interfaces

(Under the direction of Dr. Gary L. Glish)

High field asymmetric ion mobility spectrometry (FAIMS) is a gas phase separation technique that separates ions by the ratio of high to low electric field mobility, which is a characteristic of the three dimensional structure of ions. FAIMS separation in front of mass spectrometric analysis has the ability to reduce chemical noise thereby increasing signal-to-noise ratios and limits of detection; it can also be used to separate isobaric and isomeric compounds. FAIMS analyzers are simple to construct and are easily integrated into the atmospheric pressure ion source of current mass spectrometers without major modifications. The motivation of developing FAIMS analyzers in the Glish lab has been for the study of three dimensional gas phase ion structure of biological molecules, as well as to improve separation of compounds which are irresolvable using low resolution mass spectrometry and liquid chromatography. This dissertation does not focus on the application of FAIMS for structural elucidation, but instead on the development of a FAIMS device that combines excellent speed, resolution, and sensitivity in a simple to use small package. Described in the following chapters are the background for selection of gas phase ions by shape-to-charge, the development of a high amplitude asymmetric waveform power supply, modifications made the planar FAIMS

device designed by the Pacific Northwest National Lab and the development of four generations of planar FAIMS devices. For each device limitations and flaws in the design are discussed along with proposed solutions and data demonstrating the results of modifications to analyzer design, and the successful construction of a planar FAIMS device with high speed, high sensitivity, and resolving power equal to much larger and more expensive devices.

ACKNOWLEDGEMENTS

I would like to thank my grandmother “Mama”, a lifetime teacher who never let summer vacations get in the way of her grandson’s learning.

I would like to thank my parents and sisters for everything they have done for me, which includes forgiving me when I disassembled your things but didn’t know how to put them together, and using your personal living space for parts storage. I would not have learned half of what I know now if it hadn’t been for your kind support.

I would like to thank Dr. Gary L. Glish for allowing so much diversity and freedom in the research of his group members, who are encouraged to try their own ideas, and follow their own interests.

Thanks to all the Glish lab members who are always helpful, even when I’m being a diva.

TABLE OF CONTENTS

LIST OF TABLES.....	xiv
LIST OF FIGURES.....	xv
LIST OF ABBREVIATIONS AND SYMBOLS.....	xvii
1. INTRODUCTION TO SHAPE-TO-CHARGE ANALYSIS OF GAS PHASE IONS.....	1
1.1 What mass analyzers can tell you.....	1
1.1.1 Primary structure via dissociation.....	2
1.1.1.1 Collisions with neutral gasses or surfaces.....	3
1.1.1.2 Fragmentation by irradiation with photons.....	4
1.1.2 Higher order structure.....	4
1.1.2.1 Studied by irradiation with electrons and hydrogen/deuterium exchange.....	6
1.1.2.2 Gas phase laser spectroscopy.....	7
1.2 What shape-to-charge instruments provide.....	8
1.2.1 How many conformations are present	9
1.2.2 Cross section and energy minimized structures.....	9
1.2.3 High speed gas phase ion filtering.....	12
1.3 Tools for selecting shape-to-charge.....	12
1.3.1 Ion mobility spectrometry.....	13
1.3.2 High field asymmetric ion mobility spectrometry	15
1.4 Interfacing shape-to-charge analyzers with mass spectrometers.....	17

1.4.1	Coupling IMS drift cells with mass analyzers.....	18
1.4.2	FAIMS analyzers.....	19
1.5	Summary.....	20
1.6	References.....	23
2.	A LOW COST, HIGH AMPLITUDE, ASYMMETRIC WAVEFORM GENERATOR.....	30
2.1	Introduction to asymmetric waveform generators.....	30
2.1.1	The linear amplifier.....	31
2.1.2	Flyback power oscillator.....	33
2.1.3	Dual resonance waveform power supply.....	35
2.1.4	Differential sum waveform generator	38
2.2	The UNC-built power supply.....	39
2.2.1	Circuit design.....	40
2.2.2	Transformer design.....	44
2.3	Tuning	45
2.4	Supply performance.....	46
2.5	Conclusions.....	49
2.6	References	50
3.	MODIFICATIONS TO CONVENTIONAL PLANAR FAIMS DEVICES.....	52
3.1	Introduction to FAIMS geometries.....	52
3.1.1	Cylindrical FAIMS analyzers.....	52
3.1.2	Planar FAIMS analyzers.....	56
3.1.3	Tradeoffs in sensitivity vs. resolution.....	58
3.1.4	Advantages in operational modes and tuning.....	59
3.2	The PNNL device.....	60
3.2.1	Design.....	61
3.2.2	PNNL device performance.....	62

3.3	Modifying the PNNL device.....	63
3.3.1	Converting from orthogonal to co-linear ion injection.....	64
3.3.2	Incorporating Peltier thermoelectric elements.....	65
3.3.3	Cooling the carrier gas.....	66
3.4	Results and discussion of modified FAIMS.....	67
3.4.1	Increasing signal intensity in active mode.....	69
3.4.2	Shifts in compensation voltage observed under cold conditions.....	70
3.4.3	Is it worth it?.....	71
3.5	Summary.....	71
3.6	References.....	72
4.	DEVELOPMENT OF A PLANAR FAIMS DEVICE FOR HIGH ION TRANSMISSION AND HIGH RESOLUTION.....	75
4.1	Introduction to the problems of planar FAIMS	75
4.1.1	Poor ion transmission for planar FAIMS.....	75
4.1.2	FAIMS must always be active.....	77
4.2	Generation one (G1).....	78
4.2.1	Results.....	81
4.2.2	G1 lessons learned.....	82
4.3	Generation two (G2).....	82
4.3.1	G2 Results.....	84
4.3.2	G2 lessons learned.....	85
4.4	Generation three (G3).....	85
4.4.1	Ion transmission in “transparent mode”	87
4.4.2	Improved ion transmission.....	88
4.4.3	Resolution increases when gap size decreases.....	90
4.4.4	Increased electric fields at smaller gaps.....	92

4.4.5 Simplification of spectra.....	93
4.4.6 High speed analysis.....	96
4.5 Summary.....	97
4.6 References.....	98
5. UNCONVENTIONAL CARRIER GAS COMPOSITIONS IN PLANAR FAIMS.....	101
5.1 Carrier gasses in ion mobility.....	101
5.1.1 Characteristics of gases in low field mobility.....	103
5.1.2 Carrier gas influence on high electric field mobility.....	104
5.2 Nitrogen with modifiers.....	105
5.3 Nitrogen/argon blends.....	106
5.4 Nitrogen/carbon dioxide blends.....	107
5.5 Helium.....	110
5.5.1 Instrumental limitations.....	110
5.5.2 Loss of signal due to increased diffusion.....	113
5.5.3 Increased resolving power.....	114
5.5.4 The future of helium in FAIMS.....	116
5.6 Summary.....	117
5.7 References.....	119
6. DESIGN AND DEVELOPMENT OF IN-SOURCE FAIMS ANALYZER.....	121
6.1.1 Generation 4.0 (G4) goals.....	121
6.1.2 G4.0 Design.....	121
6.2 Ion transmission.....	124
6.2.1 Low mass-to-charge discrimination.....	125
6.2.2 Improved capillary union.....	126
6.2.3 Space charge in trapping instruments.....	129
6.2.4 Improved transmission.....	131

6.3 Resolution.....	132
6.3.1 Reduced resolution?.....	133
6.3.2 Generation 4.1 (G4.1) design.....	135
6.4 Applications.....	136
6.4.1 Atmospheric pressure ion filter.....	137
6.4.2 Separation of isobars.....	139
6.4.3 Separation of protein conformations.....	140
6.5 Summary.....	142
6.6 References.....	143
7. SUMMARY AND FUTURE DIRECTION.....	146
7.1 General Summary.....	146
7.2 FAIMS waveform generators.....	146
7.3 Modifications to conventional planar FAIMS devices and the use of carrier gas blends.....	147
7.4 Development of planar FAIMS devices for high ion transmission, resolution, and speed.....	148
7.5 Future direction.....	149
Appendix.....	151

LIST OF TABLES

Table

3.1	List of Cryogens used to cool modified PNNL FAIMS.....	66
5.1	Ion signal relative to 100% N ₂ in blends of N ₂ /He.....	114

LIST OF FIGURES

Figure

1.1	Propellers showing the result of a 2D orientational averaging.....	11
1.2	Schematic of an IMS drift tube.....	13
1.3	Schematic of FAIMS analyzer.....	16
2.1	Ideal asymmetric FAIMS waveform.....	31
2.2	Schematic of a linear power amplifier	32
2.3	Schematic of a flyback oscillator waveform generator.....	34
2.4	Schematic dual resonance waveform generator.....	37
2.5	General circuit diagram for UNC-built waveform supply.....	41
2.6	Differential sum of two sin waves to form an asymmetric wave.....	41
2.7	Detailed circuit diagram of FET module.....	42
2.8	Photograph of the assembled UNC waveform generator.....	43
2.9	Stability plot of asymmetric waveform generator output.....	48
3.1	Drawings of cylindrical FAIMS geometries.....	53
3.2	Operational modes in cylindrical FAIMS	55
3.3	Drawing and photograph of planar FAIMS device.....	57
3.4	CV scans at different DVs showing loss of sensitivity with resolution.....	58
3.5	CV scans for +6 and +7 charge state of ubiquitin.....	63
3.6	Photograph of PNNL FAIMS analyzer after modifications.....	68
3.7	CV scan using PNNL FAIMS with and without modifications.....	69

4.1	Figure of G1 capillary along with schematic of first vacuum region.....	80
4.2	Ion transmission as a function of capillary material and dimension.....	81
4.3	Drawings of the G2 FAIMS device.....	83
4.4	CV scans performed with the G2 FAIMS device.....	85
4.5	Cutaway of the G3 FAIMS device.....	86
4.6	G3 installed on the Bruker Esquire 3000 ESI source.....	86
4.7	Mass spectra of bovine ubiquitin with and without G3 attached.....	88
4.8	Photographs of flared capillaries used in union with G3.....	89
4.9	Comparison of standard vs flared capillaries with G3.....	89
4.10	CV scans for varying gap sizes in the G3 device.....	91
4.11	Paschen's curve for nitrogen.....	93
4.12	Separation of BSA and ubiquitin using G3.....	95
4.13	Separation of ubiquitin and angiotensin I with G3.....	95
4.14	CV spectra for G3 device performed at high scan rate.....	97
5.1	CV scans using G3 with N ₂ /Ar blends.....	107
5.2	Ion transmission for G3 in passive mode with blends of N ₂ /CO ₂	108
5.3	CV scan using the G3 with blends of N ₂ /CO ₂	109
5.4	Paschen's curve for N ₂ and He.....	111
5.5	Ion transmission for G3 in passive mode using blends of N ₂ /He.....	113
5.6	CV scans using G3 with blends of N ₂ /He.....	115
5.7	Proposed scans varying composition of gas with CV.....	117
6.1	Mechanical drawings of the initial G4.0 design.....	122

6.2	Drawings and photograph of installed G4.....	123
6.3	Ion transmission vs mass-to-charge for G3.....	126
6.4	Cutaway drawing of G3 mounted to a flared capillary.....	127
6.5	G3 electrodes modified with tape funnel.....	128
6.6	Ion transmission for G3 device showing effects of space charge.....	130
6.7	Comparison of ion transmission in G3 and G4 devices.....	132
6.8	The first CV spectrum acquired using the G4 device.....	133
6.9	CV scan for G4 device after proper alignment of electrodes.....	135
6.10	Side view cutaway image of the G4.1.....	136
6.11	Separation of BNP and serum using G4.0 device.....	139
6.12	Separation of isobars mianserine and tetracain using G4.0 device.....	140
6.13	Separation of ubiquitin with multiple conformations present.....	141

LIST OF ABBREVIATIONS AND SYMBOLS

3D	three dimensional
A	Ampere
<i>a</i>	acceleration
Å	Angstrom; (10^{-10} meters).
Ar	Argon
AWG	American wire gauge; a measure of wire thickness
BNC	Bayonet Neill-Concelman; a bayonet style electrical connection
BSA	bovine serum albumin
<i>C</i>	capacitance
CID	collision induced dissociation
cm	centimeter
CO ₂	carbon dioxide
CV	compensation voltage
CV _{peak}	value of compensation voltage at center of analyte peak
Δ CV _{FWHM}	width of peak in compensation voltage scan at full width half maximum
D	diffusion coefficient
D _{II}	diffusion coefficient parallel to the analytic gap in FAIMS
<i>d_h</i>	distance traveled by an ion during the <i>E_h</i>
<i>d_l</i>	distance traveled by an ion during <i>E_l</i>
Da	Dalton; unit of mass equal to 1 atomic mass unit
dc	direct current
DV	dispersion voltage

D_z	potential well depth for ions stored in an ion trap
E	electric field strength
e	fundamental charge constant; equal to $1.602e^{-19}$ coulombs/mole
E_h	electric field during high field portion of an asymmetric waveform
E_l	electric field during low field portion of an asymmetric waveform
E/N	electric field divided by gas number density
E/N_{critical}	E/N at which ions begin to deviate from low field conditions
ECD	electron capture dissociation
E_{com}	center of mass collision energy
E_{lab}	laboratory frame collision energy
ESI	electrospray ionization
eV	electron volt; unit of energy equal to $1.602e^{-19}$ joules
F	force
f	high frequency sinusoidal wave used to construct asymmetric waveform
FET	field effect transistor
G1	first generation FAIMS developed in UNC-Bruker collaboration
G2	second generation FAIMS developed in UNC-Bruker collaboration
G3	third generation FAIMS developed in UNC-Bruker collaboration
G4	fourth generation FAIMS developed in UNC-Bruker collaboration
g	the distance between two parallel FAIMS electrodes
g_{opt}	optimum FAIMS analytical gap width for a ratio of K_h/K_l
g_e	effective gap width for specific mobility ion
F	force

F_3	form factor coefficient for a FAIMS waveform
f	frequency
FAIMS	high field asymmetric waveform ion mobility spectrometry
FT-ICR	Fourier transform ion cyclotron resonance mass spectrometer
FWHM	full width at half maximum
GC	gas chromatography
GC/MS	gas chromatography coupled with mass spectrometry
H/D exchange	hydrogen deuterium exchange mass spectrometry
He	helium
Hz	Hertz; unit of frequency equal to 1 cycle per second
i	current
ICC	ion current control
IMS	ion mobility spectrometry
IMS-MS	ion mobility spectrometry coupled with mass spectrometry
IR	infrared
IRMPD	infrared multiphoton dissociation
K	mobility
K_0	reduced mobility; corrected for temperature and pressure
K	Kelvin; unit of temperature
k_b	Boltzmann's constant $1.38e^{-23}$ J/K
kDa	kiloDalton; $1e^3$ Da
kHz	kiloHertz; $1e^3$ Hz
K_h	mobility during the high electric field portion of FAIMS waveform

K_l	mobility during the low electric field portion of FAIMS waveform
kV	kilovolt
L	inductance
L	drift tube length
LC	liquid chromatography
LC/MS	liquid chromatography coupled with mass spectrometry
m	meter
m	mass
m/z	mass-to-charge ration
m_1	mass of ion
m_2	mass of neutral molecule
MHz	MegaHertz; $1e^6$ Hz
mm	millimeter
mTorr	unit of pressure; $1e^{-3}$ Torr
MOSFET	metal-oxide-semiconductor field effect transistor
MS	mass spectrometry
ms	millisecond
MS/MS	tandem mass spectrometry
$M\Omega$	megaOhms
N	number density
N_2	nitrogen
NMR	nuclear magnetic resonance
ns	nano seconds; $1e^{-9}$ seconds

<i>P</i>	pressure in Torr
pA	pico Ampere = $1e^{-12}$ Amperes
PCB	printed circuit board
PEEK	polyetheretherketone
pf	pico Farad; unit of capacitance
p-FAIMS	planar FAIMS
PNNL	Pacific Northwest National Labs
<i>q</i>	charge
q_z	Mathieu stability parameter for an ion in the <i>z</i> dimension
Q-TOF	hybrid mass spectrometer coupling a linear quadrupole and time of flight
R	resolving power
r_0	radial dimension of an rf ion trap
rf	radio frequency
RLC	resonant circuit using inductance and capacitance
RSD	relative standard deviation
s	seconds
SF ₆	sulfur-hexafluoride
S/N	signal to noise ratio
<i>T</i>	temperature
<i>t</i>	time
“T” connection	an electric connector with three equal potential fittings.
ΔT	difference in temperature between two points
t_d	drift time

t_{DV}	duration of the dispersion voltage
t_h	duration of the high field portion of FAIMS waveform
t_l	duration of the low field portion of FAIMS waveform
TOF	time of flight (a type of mass analyzer)
Torr	unit of pressure (760 Torr = 1 atm)
TTL	transistor transistor logic; high/low logic consisting of 0 or 5 V amplitude
t_{res}	time an ion spends in the analytical gap of a FAIMS analyzer
U	a standard height of rack mounted electronics enclosure
UNC	University of North Carolina
V	Voltage
$V_{0\text{-peak}}$	Voltage of waveform measured from 0 V to maximum amplitude
V_{cc}	voltage between ground and the collector of a bipolar junction transistor
v_d	ion drift velocity
$V_{\text{peak-to-peak}}$	Voltage amplitude measured from peak to peak of an AC signal
W	Watt; unit of power; 1 Joule per second
z	charge
z_0	dimensions of an ion trap in the z direction
ΔF	correctional form factor used to correct for no idea FAIMS waveforms
μF	micro Farad; unit of capacitance
π	3.14
Ω	drive frequency for rf ion traps
Ω_{avg}	orientationally averaged collision cross section
Φ	phase shift between two sinusoidal waveforms

ω frequency of sinusoidal waveform in radians/second
 $^{\circ}\text{C}$ temperature on the Celsius scale